

**Appendix E**  
**Technical Memoranda**

# TECHNICAL MEMORANDUM #1

RE: Common Datasets and Assumptions used in the Delta-Mendota Subbasin GSPs

PREPARED BY: Woodard & Curran

DATE: July 25, 2019

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During development of the six coordinated Groundwater Sustainability Plans (GSPs) for the Delta-Mendota Subbasin (Subbasin), the twenty-three Groundwater Sustainability Agencies (GSAs) in the Subbasin agreed upon methodologies and assumptions for water budgets, change in storage, and sustainable yield. The common data and methodologies required in Water Code Section 10727.6 and Title 23, California Code of Regulations, Section 357.4 to prepare coordinated plans and utilized in preparation of the Delta-Mendota Subbasin GSPs are set forth in Technical Memoranda. Each of the individual Memoranda satisfies a requirement agreed upon in the Coordination Agreement and, collectively when combined with the Coordination Agreement, provides an explanation of how the six Subbasin GSPs implemented together satisfy the requirements of the Sustainable Groundwater Management Act (SGMA) for the entire Subbasin.

The Technical Memoranda will be utilized by the Coordination Agreement Parties (representing the twenty-three GSAs in the Subbasin) during the implementation of their GSPs in order to ensure coordination of the GSPs. The Coordination Committee is responsible for ongoing review and updating of the Technical Memoranda, as needed, during GSP implementation.

The following datasets and assumptions were used in a coordinated fashion by those preparing the six GSP for the Delta-Mendota Subbasin. These data sets and assumptions were agreed upon by the Delta-Mendota Subbasin Technical Working Group and approved by the Delta-Mendota Coordination Committee over the period extending from December 2017 through June 2019.

## 1. DATASETS

The technical development for the six GSPs in the Subbasin relied on the best available data for their respective Plan areas. The following outlines common datasets and instances of localized data use during the development of the GSPs.

### Groundwater Level Data and Contour Mapping

1. Subbasin-wide groundwater level contour maps for the upper aquifer were developed for the selected historic water budget period (Spring 2003 and 2012) and current water budget period (Spring 2013 and Fall 2013). Contours were developed for the upper aquifer for the years identified. Thirty-foot contour intervals were used; individual GSAs compromised on this contour spacing following initial attempts at smaller contours due to variability in data. The lower aquifer's historic water surface elevation (WSE) data inventory was too limited to develop groundwater level contours for the entire Subbasin and is anticipated to be addressed in future GSPs and annual reports as these data gaps are addressed. Water level contour maps were composed from the following data sources:
  - i. California Department of Water Resources (DWR):
    1. California Statewide Groundwater Elevation Monitoring (CASGEM) Program
    2. Water Data Library (WDL)
  - ii. Water level data from local monitoring programs.

2. Subbasin-wide change in storage was evaluated for the upper aquifer using annual groundwater contour maps from Spring 2003 to Spring 2013 developed from the same datasets identified above and compared to each GSP's change in groundwater storage as calculated from historic and current water budgets for consistency. Change in storage for the lower aquifer was evaluated using specific yield and historic land subsidence provided by each GSP Group along with change in groundwater levels and storativity where lower aquifer groundwater level data were available. Datasets used to assess subsidence are discussed below.

#### Subsidence

3. Each GSP Group determined the historic rate of subsidence in their respective Plan area using the following data sources and period of record. The subsidence rates were combined using a 'sum-of-the-parts' methodology to develop an understanding of subsidence in the Subbasin.
  - a. Aliso Water District GSP: United States Bureau of Reclamation (USBR) San Joaquin River Restoration Program (SJRRP) 2011-2017.
  - b. Farmers Water District GSP: United States Geological Survey (USGS) and University-NAVSTAR Consortium (UNAVCO) 2004-2017.
  - c. Fresno Management Areas A & B GSP: USGS and UNAVCO 2004-2017.
  - d. Grassland GSP: USBR 2011-2017 with Ken D. Schmidt & Associates (KDSA) edits.
  - e. Northern & Central Delta-Mendota GSP (without Tranquillity Irrigation District): USBR's Delta-Mendota Canal subsidence surveys interpolated from 1984 to 2014 (Pools 3 through 18) as well as the Department of Water Resources 2017 CA Aqueduct Subsidence Study.
  - f. Northern & Central Delta-Mendota GSP (Tranquillity Irrigation District): Tranquillity Irrigation District's (TRID) local subsidence data from 2014 to 2018.
  - g. San Joaquin River Exchange Contractors GSP: USBR's SJRRP subsidence monitoring network, USBR's Delta-Mendota Canal subsidence survey data, USGS continuous monitoring sites (including extensometers and CPGS sites), and local surveying data for years 2003-2012, 2013, and 2014-2018.

#### Water Budgets

4. Each GSP group developed Historic, Current, and Projected Water Budgets using the best available local and publicly available data for their respective Plan area. The six individually-developed water budgets were compared and combined for the Delta-Mendota Subbasin water budgets. Instances in which common data sources were used are as follows:
  - a. The Historic, Current, and Projected Water Budgets relied on a common data source for water year type; the California Data Exchange Center (CDEC): San Joaquin River Index was used. The San Joaquin River Exchange Contractors water year type behavior is influenced by inflow to Shasta Reservoir, as does the managed wetlands in the Grassland GSP area that have federal contracts for refuge water supplies. Therefore, the Full Natural Flow (FNF) into Shasta Reservoir was considered to refine the water year type to distinguish between a critically dry year under the San Joaquin River Index and a critically dry year with reduced surface water deliveries to the San Joaquin River Exchange Contractors and the refuges due to a critical year under the Exchange Contract and refuge contracts (reduced inflows to Shasta Reservoir).
  - b. The six GSP Groups also coordinated the use of DWR's 2030 and 2070 Climate Change Factors (CCF or CCFs) for the Projected Water Budget.

Groundwater Dependent Ecosystems

5. Groundwater Dependent Ecosystems (GDEs) were evaluated by each GSP Group. The Natural Communities (NC) Dataset Viewer's GDE delineations, produced by The Nature Conservancy (TNC) in partnership with the Department of Fish and Wildlife and DWR, was reviewed and vetted using the following data sources:

- a. Aliso Water District GSP, Farmers Water District GSP, Fresno Management Areas A & B GSP, Northern & Central Delta-Mendota Regions GSP, and the San Joaquin River Exchange Contractors GSP used 2015 groundwater contours comprised of local and DWR's WDL depth to water data.
- b. Grassland GSP used current Ducks Unlimited Wetland Inventory data for the Wetland GDE map, because the NC Dataset for wetland GDEs in this unique wetland habitat area is not accurate. The Wetland GDE map assumes that all wetlands identified by Ducks Unlimited are possible GDEs, and the Vegetative GDE map assumes that all TNC-delineated Vegetative GDEs are possible GDEs. The GSP Groups reserve the opportunity to gather more local data to refine the GDE maps in future updates.
- c. Northern & Central Delta-Mendota Regions GSP used aerial satellite photos and field verification at locations with infrastructure, farms, ditches and canals, etc. to ground-truth the GDE data produced by TNC.

## 2. ASSUMPTIONS

Coordination and limited data required assumptions to be made to meet GSP requirements. Assumptions that affected the Delta-Mendota Subbasin's coordinated effort are outlined below along with the data and methodologies applied. The basis upon which the methodologies and assumptions were developed includes data and information provided by local agencies, State and federal data, best management practices, and/or best modeled or projected data available.

### Mapping

#### 1. Historic WSE Mapping – Assumed accurate and best available locally provided data

- a. Upper Aquifer
  - i. Spring 2003 and Spring 2013 WSE contours were developed for the upper aquifer using datasets identified in item 1.1 above. Spring data was defined as being measured from January 1 through April 8.
  - ii. The groundwater levels at individual wells were plotted for both Spring 2003 and Spring 2013. Contours were refined by Luhdorff & Scalmanini, Consulting Engineers (LSCE) in the southern portion of the Subbasin and by KDSA for the entire Delta-Mendota Subbasin.
  - iii. The Spring 2003 and 2013 surfaces were overlaid to produce a change in groundwater level map for the historic period.
  - iv. The contour maps for the upper aquifer were developed on the following dates:
    1. UPPER Change Spring 2003 vs. 2013 – Last edited February 7, 2019
    2. UPPER Spring 2003 – Last edited February 6, 2019
    3. UPPER Spring 2013 – Last edited February 6, 2019
- a. Lower Aquifer
  - i. All available wells from the inventory identified in the datasets section above that had lower aquifer WSE readings in Spring 2013 and Fall 2013 were used to generate two maps showing lower aquifer 2003 and 2013 water levels (WSE values at individual wells). The spatial coverage was insufficient for contouring due to the distribution aligning linearly

along the Delta-Mendota Canal and the limited well count. This effort was ultimately determined to be a data gap by the Technical Working Group on January 15, 2019.

1. Spring 2013: 37 water elevation measurements
2. Fall 2013: 48 water elevation measurements
3. Final maps for depiction of the lack of coverage and to meet GSP regulations were developed on February 6, 2019. Contours were unable to be developed for reasons noted above. Data will be collected in the future allowing for the development of lower aquifer contour maps as required in future annual reports.

## **2. Current WSE Mapping – Assumed accurate and best available locally provided data**

### **a. Upper Aquifer**

- i. The upper aquifer Spring 2013 contour map developed on February 6, 2019 was also used to meet the requirements of the Current WSE contour maps. An additional upper aquifer Fall 2013 contour map was developed on March 1, 2019 using similar methodology and data from September 1 to October 31.

### **b. Lower Aquifer**

- i. As with the determination for the historic period, the spatial coverage was insufficient, and this effort has been determined to be a data gap by the Technical Working Group on January 15, 2019.

## **3. Groundwater Extraction Data**

Extraction data were estimated or measured by local GSAs for use in the development of individual GSPs. Groundwater extraction volumes used for the Delta-Mendota Subbasin water budgets were compiled from the six individual GSP water budgets.

## **4. Surface Water Supply**

Surface Water Supply allocations, deliveries, imports, and projected supplies were provided or estimated by local GSAs for use in the development of individual GSPs. Applied surface water volumes used for the Delta-Mendota Subbasin water budgets were compiled from the six individual GSP water budgets.

## **5. Total Water Use**

Total Water Use was estimated or measured by local GSAs for use in the development of individual GSPs. Total water use included in the Delta-Mendota Subbasin water budgets was compiled from the individual GSP water budgets.

## **6. Change in Groundwater Storage**

### **a. Upper Aquifer**

- i. Upper aquifer change in groundwater storage was evaluated using annual groundwater level contours from Spring 2003 to Spring 2013 developed using the same datasets identified above and applying specific yield (defined as the volume of water released from storage by an unconfined aquifer per unit surface area of aquifer per unit decline of the water table) provided by each individual GSP Group. The Delta-Mendota Subbasin upper aquifer change in groundwater storage assessment considered a ‘sum-of-the-parts’ methodology, combining the change in groundwater storage for each GSP to determine the overall change in groundwater storage for the Subbasin.

### **b. Lower Aquifer**

- i. On January 15, 2019, the Technical Working Group discussed addressing the historic period change in groundwater storage in the lower aquifer. Instead of using scarce data, the change was compared against loss of storage from inelastic land subsidence as calculated using change in land surface elevation multiplied by the area and supplemented by change in groundwater levels and storativity in areas of the Subbasin where those data were available.

## **7. GDEs**

The Natural Communities Dataset Viewer's (NC Dataset Viewer) GDE delineations, produced by The Nature Conservancy (TNC) in partnership with the Department of Fish and Wildlife and DWR, were reviewed and vetted by each GSP Group. The primary reasons for not fully utilizing the NC Dataset Viewer GDE delineations were as follows: (1) A mapping error was identified, noting the land use is incompatible with the presence of GDEs; (2) for wetlands within the Grassland GSP, a more accurate and comprehensive wetland data set was available; and (3) The depth to groundwater exceeds 30 feet. The 30-foot criterion was used with the understanding that the deepest rooting depth of a vegetative GDE identified in NC Dataset Viewer is 30 feet, and further refined using effective rooting depths published by TNC. The GDE determinations and Spring 2015 depth to groundwater contours were compiled into a Wetland GDE map and Vegetative GDE map on May 29, 2019 and approved by the Subbasin Coordination Committee

The methods for GDE determinations are as follows.

- a. Aliso Water District GSP:
  - i. Spring 2013 and 2015 groundwater contours were assessed in Aliso Water District to evaluate areas in which the depth to water exceeded 30 feet, demonstrating unsuitable hydrologic conditions for vegetative or wetland GDEs. Aliso WD GSP's GDE determinations remained constant when using either Spring 2013 or Spring 2015 water levels for consideration.
  - ii. GDEs identified within a 100-foot buffer from the San Joaquin River remained "Possible GDEs," as consistent with a typical wetland setback standard used by CalTrans. (See the Aliso Water District GSP for detailed references relating to this standard.)
- b. Farmers Water District GSP:
  - i. Using GIS, Spring 2015 groundwater elevation contours were overlain on the TNC GDE delineations identified in Farmers Water District to evaluate areas in which the depth to water exceeded 30 feet, demonstrating unsuitable hydrologic conditions for vegetative or wetland GDEs.
  - ii. Local understanding of recent land use was also considered when vetting the TNC GDE delineations.
- c. Fresno Management Areas A & B GSP:
  - i. Spring 2015 groundwater contours were overlain on the TNC GDE delineations used for Fresno Management Areas A & B to evaluate areas in which the depth to water exceeded 30 feet, demonstrating unsuitable hydrologic conditions for vegetative or wetland GDEs.
  - ii. Local understanding of recent land use was also considered when vetting the TNC GDE delineations.

- d. Grassland GSP:
  - i. The Ducks Unlimited Wetland Inventory data were used in place of TNC GDE delineations for the identification of possible Wetland GDEs, with the understanding that the TNC GDE delineations for wetlands did not cover the full extent of wetlands in the Grassland Plan area. The Ducks Unlimited wetland delineations were more comprehensive and were developed with ground-truthing surveys which improved accuracy. This deviation in the use of a common dataset for the Subbasin was necessary as this GSP Plan area contains extensive acres of heavily vegetated, shallow seasonal wetlands and therefore required a supplemental approach to GDE delineation beyond the TNC GDE delineation.
  - ii. All TNC Vegetative GDEs were also considered “Possible GDEs” and the Grassland GSP Group recognizes the opportunity to gather more local data to refine this position in future GSP updates, if applicable.
- e. Northern & Central Delta-Mendota Regions GSP:
  - i. Spring 2015 groundwater elevation contours were overlain on the TNC GDE delineations to identify areas in which the depth to water exceeded 30 feet, demonstrating unsuitable hydrologic conditions for vegetative or wetland GDEs.
  - ii. GDEs identified within a 100-foot buffer from the San Joaquin River remained “Possible GDEs,” as consistent with a typical wetland setback standard in California.<sup>1,2</sup>
  - iii. Local understanding of recent land use was also considered when vetting the TNC GDEs.
- f. San Joaquin River Exchange Contractors GSP:
  - i. Aerial imagery was reviewed for possible mapping errors based on land use and infrastructure. Remaining potential GDE’s used Spring 2015 groundwater contours to identify areas in which the groundwater level exceeded the effective rooting depth published by TNC.

## **8. Subsidence**

- a. NASA JPL and USBR subsidence maps were provided to the Technical Working Group on October 16<sup>th</sup>, 2018.
  - i. These maps were used for discussion purposes.
- b. Subsidence values were produced by each GSP Group, using the most temporally and spatially representative data for their respective GSP on February 7, 2019. The GSP-specific subsidence values are listed in the table below. See the individual GSPs for more detailed information as to how the GSP-specific subsidence values were derived.



| GSP Region                             | Subsidence Rate                              | Units   | Rate       | Period of Record | Source           | Additional Notes  |
|--|--|---------|------------|------------------|------------------|---|
| Aliso                                  | 0.15   | ft/year | Annual     | 2011-2017        | USBR             | Local Surveys and SJRRP monitoring data   |
| Farmers                                | 0.689  | ft      | Cumulative | 2004-2017        | USGS and UNAVCO  | USGS Fordel-upper aquifer Compaction, Total = 0.031 ft<br>P304-Total Subsidence = 0.72 ft<br>Lower aquifer Compaction, Total = 0.689 ft   |
| Fresno                                 | 0.689  | ft      | Cumulative | 2004-2017        | USGS and UNAVCO  | USGS Fordel-upper aquifer Compaction, Total = 0.031 ft<br>P304-Total Subsidence = 0.72 ft<br>Lower aquifer Compaction, Total = 0.689 ft   |
| Grassland                              | 0.075  | ft/year | Annual     | 2011-2017        | USBR and KDSA    | The estimated rate of subsidence is based on monitoring points outside of the GSA and therefore has not been verified; Initial data came from USBR, KDSA provided edits to that data. |
| Northern & Central                     | Varies by DMC Pool, ranges from 0.7 to -0.88 | ft      | Cumulative | 2003-2013        | SLDMWA           | Interpolated from 1984 and 2014 Subsidence Surveys for Pools 3-18   |
| Northern & Central                     | 0.53   | ft/year | Annual     | 2014-2018        | TRID             | Survey data   |
| San Joaquin River Exchange Contractors | 0.35   | ft      | Cumulative | 2003-2012        | Various datasets | Local surveys, CGPS/CORS/Extensometer data, SJRRP monitoring data, DMC surveys  |

### HCM/Groundwater Conditions

- Four distinct hydrogeologic layers were initially identified for the Hydrogeological Conceptual Model: shallow layer (0-30 ft), medium layer (30 ft – top of Corcoran Clay), Corcoran Clay, and below Corcoran Clay. However, given that some areas in the Subbasin have more complex hydrogeology than others, these layers were consolidated to three regionally-recognized hydrogeologic features with management areas used further define localized hydrogeologic complexities as needed for SGMA compliance. At the Subbasin level, the three regionally-recognized hydrogeologic features are two principle aquifers – an upper aquifer (unconfined to semi-confined above the Corcoran Clay) and a lower aquifer (confined below the Corcoran Clay), and the intervening regional aquitard known as the Corcoran Clay. This hydrogeologic conceptual model was recommended by the Technical Working Group and approved by the Coordination Committee.
- SGMA requires a description of the definable bottom of the basin (§354.14 of the GSP Emergency Regulations). The agreed-upon definable bottom of the basin for the Delta-Mendota Subbasin is the base of fresh water consistent with the published definition of the Base of Fresh Water found in R. W. Paige (USGS, Hydrologic Investigations Atlas HA-489, 1973), defined as >3,000 micromhos/cm [ $\mu\text{mhos/cm}$ ] at 25°C.
- The current year (2013) seasonal high (spring) ranges from January to April, and seasonal low (fall) ranges from August to October. Data collected during these periods were used for WSE mapping.
- Data collected during the aforementioned period (as noted in #3, above) were used to prepare water surface contour maps for the upper aquifer. No water surface elevation contour maps were prepared for the lower aquifer for 2013 Fall and Spring (as required by the GSP regulations) due to a lack of aquifer-specific data in most areas of the Subbasin. However, lower aquifer data collected during the aforementioned period were plotted on maps in lieu of the required contour maps. Woodard & Curran / Provost & Pritchard prepared 2013 Fall and Spring WSE contouring for the upper aquifer.



5. Timeframe for upper aquifer WSE mapping defined spring as January 1<sup>st</sup> to April 8<sup>th</sup> and fall as September 1<sup>st</sup> to October 31<sup>st</sup>.
6. The water year types for water year (WY) 2011 (wet water year), WY2012 (dry water year), and WY2015 (Shasta dry/critical water year) were used to compare WSE maps between GSP Plan areas.
7. Kenneth D. Schmidt & Associate's (KDSA) mapping of interconnected reaches of the San Joaquin River (SJR) based on the SJRRP was used for areas within the SJREC and Grassland GSP Plan areas. A table is included in the Common Chapter showing which SJR reaches are within each GSP Plan area and whether those reaches are gaining or losing. For other GSP Plan areas adjacent to the San Joaquin River, determinations of interconnectedness were provided by those preparing individual GSPs.

### Water Budget

#### **1. Historic Water Budget**

The historic period was defined as WY2003 through WY2012 by the Technical Working Group on August 8, 2018 and confirmed by the Coordination Committee on August 13, 2018. The historic water budget period was ratified by the Coordination Committee on January 14, 2019 following the Coordination Agreement and Cost Share Agreement being finalized on December 12, 2018.

Each GSP Group determined the surface and groundwater inputs and outputs using the best available public and local data for each respective GSP Plan area. The historic water budget was split into 1) a land interactions water budget and 2) a groundwater budget. The parameters that each GSP Group evaluated were coordinated and summed to develop the Subbasin-wide water budget used to assess the change in storage in the upper aquifer for each GSP Group on February 15, 2019. For details regarding the approach to developing the Subbasin water budgets using numerical and non-numerical tools and the associated discussions with DWR staff, see Technical Memorandum #3 – Assumptions for the Historic, Current and Projected Water Budgets of the Delta-Mendota Subbasin, Change in Storage Cross-Check, and Sustainable Yield.

The change in lower aquifer groundwater storage considered the best available subsidence data per GSP Group and the respective specific yield. The lower aquifer change in storage for the Subbasin total was compiled on February 15, 2019.

#### **2. Current Water Budget**

The current Water Budget follows similar methodology to the historic water budgets for both upper and lower aquifer change in groundwater storage. The current period was defined as WY2013 by the Technical Working Group on August 8, 2018 and confirmed by the Coordination Committee on August 13, 2018. The current water budget period was formally ratified by the Coordination Committee on January 14, 2019 following the Coordination Agreement and Cost Share Agreement being finalized on December 12, 2018.

#### **3. Projected Water Budget**

Each GSP Group developed their own projected water budgets, using a similar comparison strategy to the historic and coordinated water budgets. The Subbasin-wide projected water budget was presented to the Technical Working Group and Coordination Committees on April 1, 2019. For more details regarding determinations of the projected water budget period and associated representative water years, see Technical Memorandum #3 – Assumptions for the Historic, Current and Projected Water Budgets of the Delta-Mendota Subbasin, Change in Storage Cross-Check, and Sustainable Yield.

The representative period, functioning as surrogate years, for a 50(+)-year historic period (WY2014-2070) was proposed by the Technical Working Group on January 15, 2019. Use of DWR’s CCF modeling was also coordinated for changes in precipitation, evapotranspiration and streamflows.

For years 1 through 4 of the projected water budgets (WY2014 through WY2017), actual data were used and no CCF’s were applied. Water year types are based on the SJR index except for Shasta Critical years. The following water year types will therefore be used: Shasta Critical, Critical, Dry, Below Normal, Above Normal, and Wet, with all designations based on the San Joaquin River Index except Shasta Critical, which is defined by Shasta indices under the Exchange Contract and refuge water supply contracts. For the projected simulation, four water year types were used for representative water years: Average (above or below normal), Dry (dry or critical), Wet and Shasta Critical.

Climate Change Factors for precipitation and evapotranspiration (ET) were applied considering representative historical water years surrogating for the future year until 2070. Fifty-three years of historical data (1965-2017) were used to model the projected water budget. However, to better match the existing hydrologic cycle, the six GSP Groups decided to begin the projected period with the representative year of 1979 for WY2018 (versus 1965 for WY2018). The coordinated representative year pattern is as follows:

- 1979 data represents WY2018
- 1980 data represents WY2019 (and so on until WY2056)  
and
- 1965 data represents WY2057
- 1966 data represents WY2058 (and so on until WY2070)

For years 38-43 (repeated WY2012-2017), the DWR model did not establish precipitation or ET CCF. The following CCFs for ET and precipitation were used:

- WY 2012 used 2001’s 2070 CCF
- WY 2013 used 1992’s 2070 CCF
- WY 2014 used 1976’s 2070 CCF
- WY 2015 used 1977’s 2070 CCF
- WY 2016 used 2002’s 2070 CCF
- WY 2017 used 2011’s 2070 CCF

For years 30 – 43 (repeated WY 2004-2017), the DWR modeling did not establish streamflow CCFs. For this reason, DWR suggested to use surrogate years’ CCFs for the projection. The following CCFs were selected for streamflows:

- WY2004 used 2002’s 2030 CCF
- WY2005 used 2002’s 2030 CCF
- WY2006 used 1998’s 2030 CCF
- WY2007 used 1992’s 2070 CCF
- WY2008 used 1992’s 2070 CCF
- WY2009 used 2002’s 2070 CCF
- WY2010 used 2003’s 2070 CCF
- WY2011 used 1997’s 2070 CCF
- WY2012 used 1992’s 2070 CCF
- WY2013 used 1992’s 2070 CCF
- WY2014 used 1976’s 2070 CCF
- WY2015 used 1977’s 2070 CCF
- WY2016 used 2002’s 2070 CCF
- WY2017 used 1998’s 2070 CCF

## 9. Sustainable Yield

Methodologies for calculating upper aquifer sustainable yield were discussed by both the Coordination Committee and the Technical Working Group. After reviewing several options for this calculation, the Coordination Committee requested that the Technical Working Group further discuss potential options and provide a recommendation back to the Coordination Committee for adoption. On April 16, 2019, a joint workshop of the Coordination Committee and the Technical Working Group was held to discuss options for upper aquifer sustainable yield estimation and to identify a recommendation.

During the April workshop, several basic concepts and principles were discussed to calculate the upper aquifer sustainable yield value. Consideration was given to several potential options with increasing detail, including some combination of the following: total Subbasin upper aquifer pumping volumes, total Subbasin upper aquifer change in storage (which includes the effects of precipitation, evapotranspiration, and deep percolation), and Subbasin upper aquifer subsurface inflows and outflows. Inflow from certain neighboring subbasins, based on groundwater flow direction, as well as subsurface inflow from the Coast Range at existing gradients (as part of the inflow to the Northern & Central Delta-Mendota GSP area) was considered. Outflow to neighboring subbasins at existing gradients was also considered in certain applicable areas along the Delta-Mendota Subbasin boundary based on groundwater flow characteristics. Outflow from the Aliso GSP area, which lies east of the San Joaquin River, was not considered as outflow for purposes of developing these principles.

The formula for determining upper aquifer sustainable yield was applied to rolled-up Delta-Mendota Subbasin projected water budgets (WY2014-2070) in two categories:

- *Projected Baseline values with Climate Change Factors*
- *Projected Baseline values with Climate Change Factors and Projects and Management Actions*

If the projected baseline values for the Subbasin are expected to have undesirable results, the GSAs are required to implement projects or management actions that will offset the overdraft and result in a sustainable condition. The Technical Working Group recommended calculation of both a projected baseline for sustainable yield with applied climate change factors and a projected baseline for sustainable yield with climate change factors plus planned projects and management actions. Staff completed preliminary calculations for both baselines using average annual values from the Subbasin projected water budgets and following the formula below:

$$\text{Upper Aquifer Sustainable Yield} = \text{Pumping} + \text{Change in Storage} + (\text{Outflow} - \text{Inflow})$$

The Technical Working Group determined that a +/- 10% factor should be applied to determine a range for the upper aquifer sustainable yield value. The +/- 10% factor is applied based on the percentage difference between the values from change in storage contour mapping (prepared by Provost & Pritchard) and reported changes in storage from the Subbasin consolidated historic water budgets (WY2003-2012) for the upper aquifer.

In summary, the most detailed range for the upper aquifer sustainable yield is calculated using the above formula for both categories of water budgets: projected baseline with climate change factors and projected baseline with climate change factors plus projects and management actions. The 10% factor is applied to the results for both categories. This range aims to demonstrate the Subbasin's upper aquifer sustainable yield without implementing any projects and management actions (low end of range) and how the Subbasin's upper aquifer sustainable yield will be impacted by implementing planned projects and management actions (high end of range).

Within the Delta-Mendota Subbasin, the distribution of known lower aquifer water level data and extraction volume data are limited and not sufficient to allow for a calculation of lower aquifer sustainable yield. The Technical Working Group therefore look to studies and/or analysis conducted in adjoining subbasins with similar hydrogeologic conditions for consideration in developing a preliminary sustainable yield estimate. A recent study conducted in the adjoining Westside Subbasin was identified and selected for use in developing this preliminary estimate.

The Westlands Water District GSA completed a recent study using groundwater modeling, in conjunction with the Westside Subbasin GSP development, to estimate sustainable yield for that subbasin. An analysis of their data reflected an initial assumption of lower aquifer sustainable yield equivalent to approximately 0.35 acre-feet per acre within the Westside Subbasin (Westlands Water District GSA, *Groundwater Management Strategy Concepts* presentation to the WWD Board on October 16, 2018). Using this analysis, a slightly lower (and therefore more conservative) sustainable yield value for the lower aquifer was selected (0.33 acre-feet per acre), amounting to approximately 250,000 acre-feet per year over the approximately 750,000-acre Delta-Mendota Subbasin.

The lower criteria for a lower aquifer sustainable yield estimation compared to that considered by Westlands Water District reflects DWR's classification of the Delta-Mendota Subbasin as critically overdrafted due to the subsidence issues and was therefore considered to be more protective against the potential for future inelastic land subsidence. After more data are obtained in future years, the lower aquifer sustainable yield value may undergo revisions.

For both the upper and lower aquifer sustainable yield, the Delta-Mendota Coordination Committee acknowledges that sustainable management criteria will be the primary indicator for managing lower aquifer extractions.

## **10. Boundary Flows**

Boundary flows were evaluated by comparing inflows and outflows assessed by each GSP Group's water budget analyses and associated data, as well as groundwater flow trends from groundwater contours and hydrogeologist input. Each set of neighboring GSP Groups had independent meetings to coordinate and compare their respective contributions to inflows and outflows, and the results were provided and discussed by the Delta-Mendota Subbasin's Technical Working Group and Coordination Committee. More details on the applicable datasets can be found in the water budgets and groundwater contours sections of this Technical Memo.

## TECHNICAL MEMORANDUM #2

RE: Assumptions for Hydrogeological Conceptual Model of the Delta-Mendota Subbasin

PREPARED BY: Woodard & Curran

DATE: July 25, 2019

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During development of the six coordinated Groundwater Sustainability Plans (GSPs) for the Delta-Mendota Subbasin (Subbasin), the twenty-three Groundwater Sustainability Agencies (GSAs) in the Subbasin agreed upon methodologies and assumptions for water budgets, change in storage, and sustainable yield. The common data and methodologies required in Water Code Section 10727.6 and Title 23, California Code of Regulations, Section 357.4 to prepare coordinated plans and utilized in preparation of the Delta-Mendota Subbasin GSPs are set forth in Technical Memoranda. Each of the individual Memoranda satisfies a requirement agreed upon in the Coordination Agreement and, collectively when combined with the Coordination Agreement, provides an explanation of how the six Subbasin GSPs implemented together satisfy the requirements of the Sustainable Groundwater Management Act (SGMA) for the entire Subbasin.

The Technical Memoranda will be utilized by the Coordination Agreement Parties (representing the twenty-three GSAs in the Subbasin) during the implementation of their GSPs in order to ensure coordination of the GSPs. The Coordination Committee is responsible for ongoing review and updating of the Technical Memoranda, as needed, during GSP implementation.

The following common assumptions for the Delta-Mendota Hydrogeological Conceptual Model were agreed upon by the Delta-Mendota Subbasin Technical Working Group and approved by the Delta-Mendota Coordination Committee over the period extending from December 2017 through April 2019.

1. Four distinct hydrogeologic layers were initially identified for the Hydrogeological Conceptual Model: shallow layer (0-30 ft), medium layer (30 ft – top of Corcoran Clay), Corcoran Clay, and below Corcoran Clay. However, given that some areas in the Subbasin have more complex hydrogeology than others, these layers were consolidated to three regionally-recognized hydrogeologic features with management areas used further define localized hydrogeologic complexities as needed for SGMA compliance. At the Subbasin level, the three regionally-recognized hydrogeologic features are two principle aquifers – an upper aquifer (unconfined to semi-confined above the Corcoran Clay) and a lower aquifer (confined below the Corcoran Clay), and the intervening regional aquitard known as the Corcoran Clay. This hydrogeologic conceptual model was recommended by the Technical Working Group and approved by the Coordination Committee.
2. SGMA requires a description of the definable bottom of the basin (§354.14 of the GSP Emergency Regulations). The agreed-upon definable bottom of the basin for the Delta-Mendota Subbasin is the base of fresh water consistent with the published definition of the Base of Fresh Water found in R. W. Paige (USGS, Hydrologic Investigations Atlas HA-489, 1973), defined as  $>3,000$  micromhos/cm [ $\mu\text{mhos/cm}$ ] at 25°C.
3. For the required water surface elevation mapping for the defined current year (WY2013), data from January to April were used for the seasonal high (spring) mapping, and data from August to October were used for the seasonal low (fall) mapping to provide sufficient spatial distribution of data for mapping (recommended by the Technical Working Group during the period from March 2018 through August 2018).
4. Data collected during the aforementioned period (as noted in #3, above) were used to prepare water surface contour maps for the upper aquifer. No water surface elevation contour maps were prepared for the lower aquifer for 2013 Fall and Spring (as required by the GSP regulations) due to a lack of aquifer-specific data in most areas of the Subbasin. However, lower aquifer data collected during the aforementioned period were plotted on maps in lieu of the required contour maps.

5. The Technical Working Group used WY2011 (wet water year), WY2012 (dry water year), and WY2015 (Shasta critical water year) to compare groundwater elevation mapping prepared by the various GSP Groups for their respective GSP Plan areas.
6. Kenneth D. Schmidt & Associates mapping of interconnected reaches of the San Joaquin River based on the San Joaquin River Restoration Program was used for areas within the SJREC and Grassland GSP Plan areas. For other GSP Plan areas adjacent to the San Joaquin River, determinations of interconnectedness were provided by those preparing individual GSPs. A table will be provided showing which San Joaquin River reaches are within each GSP Plan area and whether those reaches are interconnected. If necessary to implement the sustainability goal of the Subbasin, the GSAs will coordinate estimating volumes of gains and losses at these reaches of the San Joaquin River.



## **TECHNICAL MEMORANDUM #3**

RE: Assumptions for the Historic, Current and Projected Water Budgets of the Delta-Mendota Subbasin, Change in Storage Cross-Check and Sustainable Yield

PREPARED BY: Woodard & Curran

DATE: July 25, 2019

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During development of the six coordinated Groundwater Sustainability Plans (GSPs) for the Delta-Mendota Subbasin (Subbasin), the twenty-three Groundwater Sustainability Agencies (GSAs) in the Subbasin agreed upon methodologies and assumptions for water budgets, change in storage, and sustainable yield. The common data and methodologies required in Water Code Section 10727.6 and Title 23, California Code of Regulations, Section 357.4 to prepare coordinated plans and utilized in preparation of the Delta-Mendota Subbasin GSPs are set forth in Technical Memoranda. Each of the individual Memoranda satisfies a requirement agreed upon in the Coordination Agreement and, collectively when combined with the Coordination Agreement, provides an explanation of how the six Subbasin GSPs implemented together satisfy the requirements of the Sustainable Groundwater Management Act (SGMA) for the entire Subbasin.

The Technical Memoranda will be utilized by the Coordination Agreement Parties (representing the twenty-three GSAs in the Subbasin) during the implementation of their GSPs in order to ensure coordination of the GSPs. The Coordination Committee is responsible for ongoing review and updating of the Technical Memoranda, as needed, during GSP implementation.

The following common assumptions were utilized by each GSP Group in the Subbasin in developing the historic and projected water budgets for their respective GSP Plan areas. These GSP-specific water budgets were then compiled (rolled-up) to the Subbasin level for inclusion in the Common Chapter. Also included herein are the assumptions used in developing Subbasin-level sustainable yield estimates for each principal aquifer. These assumptions were recommended by the Delta-Mendota Subbasin Technical Working Group and approved by the Delta-Mendota Coordination Committee.

### **1. Water Budgets**

On September 25, 2017, the Delta-Mendota Subbasin Technical Working Group met with Trevor Joseph (Senior Engineering Geologist) and Mark Nordberg (Senior Engineering Geologist) from the California Department of Water Resources (DWR) to discuss how the development of six GSPs for the Subbasin will be coordinated to implement the best available science while also coordinating to use the same data and methodologies. DWR expressed concerns regarding coordination between those GSPs using a numerical model and those using a non-numerical (spreadsheet) model. Mr. Joseph advised that SGMA requires sustainability for the entire subbasin and was concerned about coordinating a subbasin water budget. The SJREC have experience sustainably managing groundwater using a non-numerical model. A follow-up meeting took place on November 17, 2017 with DWR representatives Trevor Joseph, Tyler Hatch (Senior Engineer) and Amanda Peisch-Derby (Regional SGMA Coordinator) to showcase how this spreadsheet model has been used. It was further discussed that the hydrogeologic principles and equations used for both types of modeling in the Delta-Mendota Subbasin are the same. DWR agreed that coordination amongst the GSP Groups, ensuring use of the same data and methodologies, can be achieved for SGMA modeling purposes in the Subbasin.



## **Historic Water Budget**

The historic period adopted by the Subbasin Coordination Committee was defined as Water Year (WY) 2003 through WY2012. A water year is the period beginning October 1<sup>st</sup> and ending on September 30<sup>th</sup> of the subsequent year. The historic water budget period was ratified by the Coordination Committee on January 14, 2019.

Each GSP Group in the Delta-Mendota Subbasin developed land surface water budgets and groundwater budgets for the historic period using the best available public and local data for each respective GSP Plan area. The parameters (specific inputs and outputs) that each GSP Group evaluated were coordinated and summed to develop the Subbasin-wide water budget and to estimate the change in groundwater storage in the upper aquifer in each GSP Plan area. Parameters included pumping/tile drainage, subsurface inflows/outflows, and deep percolation of precipitation and applied surface water. Estimates of changes in groundwater levels in the upper aquifer over the historic water budget period were also utilized to estimate change in groundwater storage. The estimated change in groundwater storage for the upper aquifer from the compiled water budgets was compared to that estimated from changes in groundwater level. For purposes of developing a change in groundwater storage in the upper aquifer over the historic water budget period, the estimates developed from the water budget methodology were used for the Subbasin.

Development of the change in lower aquifer storage value was limited as a result of a lack of available aquifer-specific groundwater level data in most areas of the Subbasin. As a result, a methodology for estimating change in lower aquifer storage from subsidence, along with changes in potentiometric head (where groundwater level data were available), was used. For GSP Plan areas where groundwater level data were not available to support calculations of change in lower aquifer storage, change in land surface elevations was used as a proxy for estimates of change in lower aquifer storage. The best available subsidence data by GSP Group and representative specific yield values (defined as the volume of water released from storage by an unconfined aquifer per unit surface area of aquifer per unit decline of the water table) were used to estimate change in lower aquifer storage from subsidence.

## **Change in Storage Cross-Check**

Groundwater elevation contour maps were developed for the upper aquifer for Spring 2003 and Spring 2013 to assess changes in groundwater storage during the historic and current water budget periods. The contour maps were used to estimate upper aquifer change in storage during the historic and current period by subtracting the Spring 2013 contours from the Spring 2003 contours and multiplying the change in groundwater elevations by GSP Plan area and specific yield of the aquifer. Estimates were made for each GSP Plan area and compared to the overall change in storage estimated in the individual GSP historic and current groundwater budgets. The results of the two methodologies were comparable (within 20%).

Change in land surface elevation is used as a proxy for lower aquifer change in storage using a similar methodology, multiplying the change in land surface elevation between 2003 and 2013 by the area covered by individual GSP Plan areas to estimate the change in lower aquifer storage.

## **Current Water Budget**

The current year for the associated water budget was set as WY2013 by the Delta-Mendota Technical Working Group on August 8, 2018 and confirmed by the Delta-Mendota Coordination Committee on August 13, 2018. The current water budget and associated changes in storage (by principal aquifer) were calculated in the same manner as the historic water budgets. The current water budget period was ratified by the Coordination Committee.

## Projected Water Budget

Each GSP Group developed their own GSP-specific projected water budgets using a similar methodology to the historic and current water budgets. GSP-specific water budgets were compiled at the Subbasin level, and the Subbasin projected water budget was recommended and approved at a joint meeting of the Delta-Mendota Technical Working Group and Coordination Committee.

Per SGMA and the GSP regulations, the projected water budget period begins with the year subsequent to the current water budget year and extends for a projection period of at least 50 years to WY2070 for application of the required climate change factors. For the Delta-Mendota Subbasin, the current water budget is WY2013, and the projected water budget period is WY2014 through WY2070.

As future hydrology (e.g. precipitation totals) is not known, historic hydrology is used to simulate projected future hydrology. As a result, each year in the projected water budget is assigned a representative water year from the historic period. For example, WY2018 is assumed to have hydrology similar to that of WY1979; WY2019 is assumed to have hydrology similar to that of WY1980; and so forth. The pattern of historic hydrology used to simulate future hydrology is established based on actual hydrology from WY2014 - WY2017 (known water year types at the start of the projected water budget period). This resulted in the following projected hydrologic pattern.

For the first four years of the projected water budget (WY2014 through WY2017), actual data are used and no climate change factor is applied. For WY2018 through WY2070, the following representative water year sequencing is used:

- WY2018 is equivalent to WY1979.
- Each subsequent projected water year (WY2019 through WY2056) will follow the equivalent subsequent historic water year (e.g. WY2019 is equivalent to WY1980; WY2020 is equivalent to WY1981, and so forth, with WY2056 being equivalent to WY2017).
- WY2057 is equivalent to WY1965 with each subsequent water year (WY2058 through WY2070) equivalent to the subsequent historic water year (with WY2070 being equivalent to WY1978).

Representative water years used the associated historic water year types for assumptions relative to projected hydrology (precipitation, stream flows, and evapotranspiration [ET]). Water year types were based on the San Joaquin River Index except for Shasta Critical Years, which required simulation of the SJREC and wildlife refuge surface water deliveries. Therefore, in summary, the following water year types were assigned to projected water years based on the associated representative water year type: Shasta Critical, Critical, Dry, Below Normal, Above Normal, and Wet, with all designations based on the San Joaquin River Index, except Shasta Critical defined by Shasta index (as recommended by the Technical Working Group). For projected simulations, water year types were 'lumped' into four categories as follows: wet, average (above and below normal), dry (dry and critical) and Shasta critical (as recommended by the Technical Working Group).

As agreed, upon, Climate Change Factors (CCFs) for precipitation and ET were applied considering representative historical year types surrogating for future years through WY2070. For projected years WY2038 through WY2043 (repeated WY2012 through WY2017), DWR did not establish precipitation or ET CCFs. Based on conversations with DWR, the following CCFs for precipitation and ET were used for this intervening period:

- WY 2012 used the 2001 2070 CCF
- WY 2013 used the 1992 2070 CCF
- WY 2014 used the 1976 2070 CCF
- WY 2015 used the 1977 2070 CCF
- WY 2016 used the 2002 2070 CCF
- WY 2017 used the 2011 2070 CCF

For projected years WY2030 - WY2043 (repeated WY2004 - WY2017), DWR did not establish streamflow CCFs. For this reason, DWR suggested to use surrogate years' CCFs for the projected period. The following CCFs were selected for streamflows:

- WY 2004 used the 2002 2030 CCF
- WY 2005 used the 2002 2030 CCF
- WY 2006 used the 1998 2030 CCF
- WY 2007 used the 1992 2070 CCF
- WY 2008 used the 1992 2070 CCF
- WY 2009 used the 2002 2070 CCF
- WY 2010 used the 2003 2070 CCF
- WY 2011 used the 1997 2070 CCF
- WY 2012 used the 1992 2070 CCF
- WY 2013 used the 1992 2070 CCF
- WY 2014 used the 1976 2070 CCF
- WY 2015 used the 1977 2070 CCF
- WY 2016 used the 2002 2070 CCF
- WY 2017 used the 1998 2070 CCF

The projected water budget period and associated representative water years were recommended by the Technical Working Group. Use of DWR's CCFs was also coordinated, and it was agreed that CCFs will only be applied to hydrology.

## **2. Sustainable Yield**

The following methodologies were recommended by the Delta-Mendota Technical Working Group and approved by the Coordination Committee for establishing the required sustainable yield estimate for each principal aquifer:

### **Upper Aquifer Sustainable Yield**

The following formula was agreed upon for the calculation of the sustainable yield of the upper aquifer:

$$\text{Sustainable Yield} = (\text{Pumping} + \text{Change in Storage}) + (\text{Outflow} - \text{Inflow})$$

Data used in the calculation are from the Delta-Mendota Subbasin compiled projected water budget with Climate Change Factors and Projects/Management Actions, as well as Baseline Projected Water Budget with Climate Change Factors. A  $\pm 10\%$  factor was applied to the resulting sustainable yield estimate; this factor was estimated based on the percent difference in the WY2003-2012 upper aquifer change in storage calculations between the compiled historic water budget and the estimate of change in storage utilizing change in groundwater level contours cross-check analysis (see above). Data incorporated into the equation are the average annual values from the indicated projected water budgets (WY2014 - WY2070) using only upper aquifer values.

Sustainable management criteria (Minimum Thresholds and Measurable Objectives) will be the primary indicator governing upper aquifer extractions. The sustainable yield estimates will be updated as part of the five-year GSP review.

### **Lower Aquifer Sustainable Yield**

Within the Delta-Mendota Subbasin, the distribution of known lower aquifer water level data and extraction volume data are limited and not sufficient to allow for a calculation of lower aquifer sustainable yield. A Northern & Central Delta-Mendota Region Management Committee memo dated April 10, 2019 outlined the alternative method used to estimate sustainable yield method for the lower aquifer and is summarized below.

The Westlands Water District GSA has completed a recent study using groundwater modeling, in conjunction with the Westside Subbasin GSP development, to estimate sustainable yield for that subbasin. Based on an analysis of their data and reflected an initial assumption of lower aquifer sustainable yield equivalent to approximately 0.35 acre-feet per acre within the Westside Subbasin (Westlands Water District GSA, Groundwater Management Strategy Concepts presentation to the WWD Board on October 16, 2018). Using this analysis, a slightly lower sustainable yield value for the lower aquifer was selected (0.33 acre-feet per acre), amounting to approximately 250,000 acre-feet per year over the approximately 750,000-acre Delta-Mendota Subbasin.

The lower criteria for a lower aquifer sustainable yield estimation compared to that considered by Westlands Water District reflects DWR's classification of the Delta-Mendota Subbasin as critically-overdrafted due to the subsidence issues. After more data are obtained in future years, the lower aquifer sustainable yield value may undergo revisions.

### **3. Other**

The Technical Working Group of the Subbasin Coordination Committee discussed that not-yet implemented plans or programs (e.g. Delta conveyance, Updates to the Bay-Delta Water Quality Control Plan/SED, proposed large storage projects, etc.) would not be incorporated into the current GSPs. However, projects or programs may be qualitatively incorporated or described in individual GSPs, and such programs will be monitored during the next five years and incorporated into the GSPs in future updates as appropriate.

## TECHNICAL MEMORANDUM #4

RE: Assumptions for Delta-Mendota Subbasin Management Areas, Sustainability Management Criteria

PREPARED BY: Woodard & Curran

DATE: July 25, 2019

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During development of the six coordinated Groundwater Sustainability Plans (GSPs) for the Delta-Mendota Subbasin (Subbasin), the twenty-three Groundwater Sustainability Agencies (GSAs) in the Subbasin agreed upon methodologies and assumptions for water budgets, change in storage, and sustainable yield. The common data and methodologies required in Water Code Section 10727.6 and Title 23, California Code of Regulations, Section 357.4 to prepare coordinated plans and utilized in preparation of the Delta-Mendota Subbasin GSPs are set forth in Technical Memoranda. Each of the individual Memoranda satisfies a requirement agreed upon in the Coordination Agreement and, collectively when combined with the Coordination Agreement, provides an explanation of how the six Subbasin GSPs implemented together satisfy the requirements of the Sustainable Groundwater Management Act (SGMA) for the entire Subbasin.

The Technical Memoranda will be utilized by the Coordination Agreement Parties (representing the twenty-three GSAs in the Subbasin) during the implementation of their GSPs in order to ensure coordination of the GSPs. The Coordination Committee is responsible for ongoing review and updating of the Technical Memoranda, as needed, during GSP implementation.

The following common assumptions were utilized by each GSP Group in the Subbasin for preparing a subbasin-level description of management areas and sustainable management criteria.

### 1. Management Areas

The Coordination Committee left management areas and management of their respective GSPs to the six GSP Groups. Management areas were determined individually by each GSP Group with Woodard & Curran preparing a map showing all management areas ('sum of the parts' approach).

### 2. Sustainable Management Criteria

Per the GSP Regulations, definitions of undesirable results must be provided at the Subbasin level. The Technical Working Group defined these as follows:

- Chronic Lowering of Groundwater Levels: Significant and unreasonable chronic change in water levels, as defined by each GSP Group, that has an impact on the beneficial users of groundwater in the Subbasin through either intra- and/or inter-basin actions.
- Long-term Reduction of Groundwater Storage: Significant and unreasonable chronic decrease in groundwater storage, as defined by each GSP Group, that has an impact on the beneficial users of groundwater in the Subbasin through either intra- and/or inter-basin actions.
- Degraded Water Quality: Significant and unreasonable degradation of groundwater quality, as defined by each GSP Group, that has an impact on the beneficial users of groundwater in the Subbasin through either intra- and/or inter-basin actions and/or activities.
- Depletions of Interconnected Surface Water: Depletions of interconnected surface water, as defined by each GSP Group, that have significant and unreasonable adverse impacts on the beneficial uses of surface water

- Land Subsidence: Changes in ground surface elevation that cause damage to critical infrastructure that would cause significant and unreasonable reductions of conveyance capacity, damage to personal property, impacts to natural resources or create conditions that threaten public health and safety.
- Seawater Intrusion: The Coordination Committee recognized that the Subbasin is not in a coastal location and therefore seawater intrusion is unable to occur and therefore a definition of an undesirable result is not necessary.

Each GSP Group individually defined significant and unreasonable for each sustainability indicator, as well as established sustainability goals, interim milestones, minimum thresholds and measurable objectives. This process was discussed during the February 2019 meetings of the Technical Working Group, and ultimately recommended and approved by the Coordination Committee.

## TECHNICAL MEMORANDUM #5

RE: Assumptions for Delta-Mendota Subbasin Monitoring Network

PREPARED BY: Woodard & Curran

DATE: July 25, 2019

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During development of the six coordinated Groundwater Sustainability Plans (GSPs) for the Delta-Mendota Subbasin (Subbasin), the twenty-three Groundwater Sustainability Agencies (GSAs) in the Subbasin agreed upon methodologies and assumptions for water budgets, change in storage, and sustainable yield. The common data and methodologies required in Water Code Section 10727.6 and Title 23, California Code of Regulations, Section 357.4 to prepare coordinated plans and utilized in preparation of the Delta-Mendota Subbasin GSPs are set forth in Technical Memoranda. Each of the individual Memoranda satisfies a requirement agreed upon in the Coordination Agreement and, collectively when combined with the Coordination Agreement, provides an explanation of how the six Subbasin GSPs implemented together satisfy the requirements of the Sustainable Groundwater Management Act (SGMA) for the entire Subbasin.

The Technical Memoranda will be utilized by the Coordination Agreement Parties (representing the twenty-three GSAs in the Subbasin) during the implementation of their GSPs in order to ensure coordination of the GSPs. The Coordination Committee is responsible for ongoing review and updating of the Technical Memoranda, as needed, during GSP implementation.

The following common assumptions and approaches were utilized in developing the required Subbasin monitoring network for sustainability indicators:

- The required Subbasin-level monitoring networks will be a compilation of networks developed by each individual GSP Group.
- The compilation of the individual GSP monitoring networks will provide sufficient data in order to develop required water surface elevation contouring for each principal aquifer in the Subbasin, if applicable.
- The GSP groups will use CASGEM monitoring network data for 2018 and 2019 data collection and will supplement with locally collected data where available.
- Each monitoring location or point within the GSP network will be monitored, at a minimum, at the agreed upon frequency for each of the data types.
- Field Collection will follow agreed-upon protocols which may be the same as, or equal to, data collection protocols (i.e. industry standards and best management practices).
- For non-monitored data to be reported as part of the annual reports (e.g. groundwater extractions, surface water deliveries), actual metered data will be used where such data exists, and when direct data do not exist, estimated quantities will be calculated based on existing indirect data (e.g. electrical usage, crop demand, ET) and/or other industry best practices.
- Seasonal high groundwater elevation data will be collected between February and April, and seasonal low groundwater elevation data will be collected between September and October.
- Each GSP Group may use supplemental data in addition to the SGMA-required monitoring network documented in their GSP in order to comply with these requirements and those set forth in the Coordination Agreement.



- Individual data gaps in the monitoring networks and monitoring data identified in the GSPs will progressively be addressed by the applicable GSA or GSP Group during the 20-year GSP implementation timeframe (2020 to 2040).

## TECHNICAL MEMORANDUM #6

RE: Coordination of the Delta-Mendota Subbasin Data Management System

PREPARED BY: Woodard & Curran

DATE: July 25, 2019

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During development of the six coordinated Groundwater Sustainability Plans (GSPs) for the Delta-Mendota Subbasin (Subbasin), the twenty-three Groundwater Sustainability Agencies (GSAs) in the Subbasin agreed upon methodologies and assumptions for water budgets, change in storage, and sustainable yield. The common data and methodologies required in Water Code Section 10727.6 and Title 23, California Code of Regulations, Section 357.4 to prepare coordinated plans and utilized in preparation of the Delta-Mendota Subbasin GSPs are set forth in Technical Memoranda. Each of the individual Memoranda satisfies a requirement agreed upon in the Coordination Agreement and, collectively when combined with the Coordination Agreement, provides an explanation of how the six Subbasin GSPs implemented together satisfy the requirements of the Sustainable Groundwater Management Act (SGMA) for the entire Subbasin.

The Technical Memoranda will be utilized by the Coordination Agreement Parties (representing the twenty-three GSAs in the Subbasin) during the implementation of their GSPs in order to ensure coordination of the GSPs. The Coordination Committee is responsible for ongoing review and updating of the Technical Memoranda, as needed, during GSP implementation. This Technical Memorandum describes the development and anticipated use of the coordinated Subbasin Data Management System (DMS) for GSP implementation.

### **Coordinated Data Management System**

As required in Section 352.6, Data Management System, of the GSP regulations, the Delta-Mendota Subbasin GSAs will develop and maintain a data management system that is capable of storing and reporting information relevant to the reporting requirements, implementation of the GSPs, and the monitoring networks of the Subbasin. Additionally, per Section 354.4, Reporting Monitoring Data to the California Department of Water Resources (DWR), all monitoring data are to be stored in a DMS with copies of the monitoring data included in the annual report and submitted electronically on forms provided by DWR. Recognizing that GSP implementation, including annual reporting, will require some efforts at the subbasin level, the 23 GSAs overlying the Delta-Mendota Subbasin have chosen to develop a coordinated DMS that can be utilized by each GSP Group for management of their data but which will allow for the required compilation of data sets for preparation of Subbasin annual reports. The coordinated DMS, once developed, will provide a generic framework that can be used by any GSP Group or GSA in the Subbasin for individual data management while allowing for consistent formatting and the simplified uploading of compiled datasets into the Subbasin-wide coordinated DMS.

The Parties have also developed and will maintain separate data storage processes or Data Management Systems. Each separate DMS developed for each GSP will store information related to implementation of each individual GSP, monitoring network data and monitoring sites requirements, and water budget data requirements. Each system will be capable of reporting all pertinent information to the respective GSA and/or GSP Group, and ultimately to the Coordination Committee. After providing the Coordination Committee with data from the individual GSPs, the Subbasin Plan Manager and Coordination Committee will ensure the data are stored and managed in a coordinated manner throughout the Subbasin and reported to DWR on an annual basis.

Leading up to the development of the DMS, the Subbasin used an *ad hoc* DMS working group and survey to develop a conceptual design for the software requirements. This was followed by the software vendor creating wireframes to communicate the functionality of the DMS. This *ad hoc* working group developed data standards for each data type to make the aggregation feasible at a subbasin level and established weekly calls to develop import wizards, attribute

tables, interpretations of reporting requirements, and an annual report format. Data provided by Santa Nella County Water District were used to beta-test the completed DMS prior to release as a generic system for Subbasin-wide use.

The DMS includes permissions and business rules so each GSP can only upload data for their GSP based upon usernames and roles. GSP Groups, or GSAs within a GSP Group, are also not allowed to see other GSP Groups' data until all annual reporting has been completed and accepted by the Plan Manager. DMS development is ongoing, with development concurrent with final GSP development, and has been designed to support the needs of the severely disadvantaged communities, disadvantaged communities, and GSAs within the Subbasin. The DMS is scheduled to be completed for use in developing annual reports by January 2020.

The DMS constructed for the Delta-Mendota Subbasin is a secured web-based application hosted on Amazon Web Services (AWS). The DMS focuses on five core business requirements including: centralized data warehouse, security of data, permissioned based access, data visualization and reporting. Other goals of the DMS focus around improving data collection/aggregation processes, creating data standards, gaining efficiencies in reporting and improving data sharing with stakeholders. The DMS is designed to aggregate data through import processes by GSP to support data visualization and annual report generation.

Underlying the web application is a relationship database used to store the information aggregated from GSPs across primary data types identified to support monitoring and Annual Report development. Those data types include groundwater extractions, surface water deliveries, groundwater storage, groundwater elevations, groundwater quality, interconnected surface water and land subsidence. The web application functionality includes an embedded GIS viewer, screens to view tables of time series data, and charting capabilities for hydrographs. The embedded GIS viewer contains functionality to store map layers such as reference data, GSA/GSP boundaries and derived information such as water level contours.

In order to facilitate data synthesis, the GSP Groups agreed on the following frequencies for monitoring data collection:

- Groundwater elevations – twice a year (seasonal high and seasonal low)
- Interconnected surface water – twice a year (seasonal high and seasonal low)
- Groundwater quality – once a year
- Land subsidence – continuous monitoring sites or by Management Area

These datasets will be augmented with other data collection required for annual report preparation, including estimates of groundwater extractions and surface water diversions.

Additionally, the GSP Groups agreed to utilize the same general monitoring protocols or similar industry standards to ensure that the data were collected in a consistent and coordinated fashion. All monitoring locations in the Delta-Mendota Subbasin were assigned a unique identifier in the DMS. The number system is in a format of ##-####, where the first two digits indicates which GSA the monitoring location is associated with, and the subsequent four digits indicate the specific monitoring location in that GSA area. The general methodology agreed upon for data import and management is as follows:

- Each GSA collects their respective data per agreed-upon protocols and transmits it to the GSA representative.
- Each GSA representative then compiles the data and conducts a quality control check.
- The GSA representative transmits the compiled data set to the GSP Lead or Representative, who then aggregates the data from all GSAs and conducts a second quality control check.
- The GSP Lead or Representative uploads the data set into the DMS using import wizards designed specifically for this process.

- The Subbasin Plan Manager then uses the data in the DMS to compile information as required for the annual report.

Compiled data sets from the DMS will be augmented with required maps generated externally to produce the required annual report. Mapping prepared outside the DMS will be subsequently imported into the DMS as GIS files to ensure all data are kept in one place.

The DMS will be maintained by the San Luis & Delta-Mendota Water Authority, while acting as the Plan Manager, with a contract with the software vendor for hosting, maintenance and future updates. Each GSP will pay a maintenance fee for the continued hosting and support of the Subbasin coordinated DMS.

The Subbasin-level DMS, as described herein, may be supplemented by additional DMSs developed and maintained by each GSP Group or GSA in the Subbasin. The reader is referred to each of the six Subbasin GSPs for specific information relative to data collection and management in each GSP Plan area.

# TECHNICAL MEMORANDUM #7

RE: Adoption and Use of the Subbasin Coordination Agreement

PREPARED BY: Woodard & Curran

DATE: July 25, 2019

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During development of the six coordinated Groundwater Sustainability Plans (GSPs) for the Delta-Mendota Subbasin (Subbasin), the twenty-three Groundwater Sustainability Agencies (GSAs) in the Subbasin agreed upon methodologies and assumptions for water budgets, change in storage, and sustainable yield. The common data and methodologies required in Water Code Section 10727.6 and Title 23, California Code of Regulations, Section 357.4 to prepare coordinated plans and utilized in preparation of the Delta-Mendota Subbasin GSPs are set forth in Technical Memoranda. Each of the individual Memoranda satisfies a requirement agreed upon in the Coordination Agreement and, collectively when combined with the Coordination Agreement, provides an explanation of how the six Subbasin GSPs implemented together satisfy the requirements of the Sustainable Groundwater Management Act (SGMA) for the entire Subbasin.

This Technical Memorandum describes the Delta-Mendota Subbasin governance structure, participating parties, the Delta-Mendota Subbasin Coordination Agreement (Coordination Agreement), and details of this Coordination Agreement. Each GSA in the Subbasin is included in this memorandum. Additional details of the organization, management structure, and legal authority of each GSA and their associated GSPs, and accompanying GSA boundary maps, are described in the Delta-Mendota Subbasin Common Chapter (Common Chapter). Descriptions of intrabasin and interbasin coordination agreements in place for the development and implementation of the GSPs overlying the Subbasin are also referenced.

## 1. GSP and Coordination Agreement Submission

A Delta-Mendota Subbasin Common Chapter has been developed to “knit” the six Delta-Mendota GSPs together for cohesive implementation. The Common Chapter includes a separate signature page that contains a disclosure statement and professional stamp for the consultant charged with compiling the chapter (Woodard & Curran), as agreed upon by the Technical Working Group on April 17, 2018 and January 15, 2019. Each Subbasin GSP is stamped and signed by the professional overseeing their preparation. The Common Chapter was developed as part of a collaborative process, with input from the various GSAs, technical consultants, and stakeholders. The Coordination Agreement, Common Chapter, and Technical Memoranda collectively serve as the mechanism through which the GSAs and individual GSPs are coordinated during implementation.

The GSAs have agreed to submit their respective GSPs to the California Department of Water Resources (DWR) through the Delta-Mendota Subbasin Coordination Committee (Coordination Committee) and the Plan Manager, along with all developed Common Chapter and Technical Memoranda, by January 31, 2020. When submitted to DWR, the collective documents will be available for public review and comment as part of the 60-day public comment period per SGMA regulations.

## 2. GSP Groups and GSAs in the Delta-Mendota Subbasin

Below is a summary of the six GSP Groups and twenty-three GSAs (and their respective signatories) to the Coordination Agreement. Some signatories (also referred to as parties) are participating in multiple GSAs and/or GSPs.

---

Northern & Central Delta-Mendota Region GSP

- Patterson Irrigation District GSA
  - Patterson Irrigation District, Twin Oaks Irrigation District
- West Stanislaus Irrigation District GSA
  - West Stanislaus Irrigation District
- DM-II GSA
  - Del Puerto Water District, Oak Flat Water District
- City of Patterson GSA
  - City of Patterson
- Northwestern Delta-Mendota GSA
  - Merced County, Stanislaus County
- Central Delta-Mendota GSA
  - San Luis Water District, Santa Nella County Water District, Panoche Water District, Mercy Springs Water District, Tranquillity Irrigation District, Merced County, Fresno Slough Water District, Fresno County, Eagle Field Water District, Pacheco Water District
- Widren Water District GSA
  - Widren Water District
- Oro Loma Water District GSA
  - Oro Loma Water District

San Joaquin River Exchange Contractors (SJREC) GSP

- San Joaquin River Exchange Contractors Water Authority GSA
  - Central California Irrigation District, Columbia Canal Company, Firebaugh Canal Water District, San Luis Canal Company
- Turner Island Water District-2 GSA
  - Turner Island Water District
- City of Mendota GSA
  - City of Mendota
- City of Firebaugh GSA
  - City of Firebaugh
- City of Los Banos GSA
  - City of Los Banos
- City of Dos Palos GSA
  - City of Dos Palos
- City of Gustine GSA
  - City of Gustine
- City of Newman GSA
  - City of Newman
- Madera County GSA
  - Madera County
- Portion of Fresno County Management Area B GSA
  - Fresno County
- Portion of Merced County – Delta-Mendota GSA
  - Merced County

#### Grassland GSP

- Grassland GSA
  - Grassland Water District, Grassland Resource Conservation District
- Portion of Merced County GSA
  - Merced County

#### Farmers Water District GSP

- Farmers Water District GSA
  - Farmers Water District

#### Fresno County GSP

- Fresno County Management Area A GSA
  - Fresno County
- Fresno County Management Area B GSA
  - Fresno County

#### Aliso Water District GSP

- Aliso Water District GSA
  - Aliso Water District

With respect to the San Benito County portion of the Delta-Mendota Subbasin, this area will be included in the Central Delta-Mendota GSA of the Northern & Central Delta-Mendota Region GSP. In 2017, the San Benito County Water District Groundwater Sustainability Agency indicated its intent to act as the GSA for certain areas within its jurisdiction, but not for the unmanaged *de minimis* area in the most southwest portion of the Delta-Mendota Subbasin. For purposes of assuring that all land within the Subbasin is part of a GSP as required by DWR regulations, the Central Delta-Mendota GSA entered into a Memorandum of Understanding with San Benito County to include the unmanaged *de minimis* area in the Northern & Central Delta-Mendota Region GSP.

### **3. Delta-Mendota Subbasin Intrabasin Coordination Agreement**

The aforementioned GSAs are coordinating development and implementation of the six GSPs under the Delta-Mendota Subbasin Coordination Agreement. All GSAs within the Subbasin agree to work collaboratively to meet the objectives of SGMA and the Coordination Agreement. Each GSA acknowledges that it is bound by the terms of this Coordination Agreement.

The Coordination Agreement for the Delta-Mendota Subbasin covers the following topics:

1. Purpose of the Agreement, including:
  - a. Compliance with SGMA and
  - b. Description of Criteria and Function;
2. Definitions
3. General Guidelines, including:
  - a. Responsibilities of the Parties and
  - b. Adjudicated or Alternative Plans in the Subbasin;
4. Role of San Luis & Delta-Mendota Water Authority (SLDMWA), including:
  - a. Agreement to Serve,
  - b. Reimbursement of SLDMWA, and
  - c. Termination of SLDMWA's Services;



5. Responsibilities for Key Functions, including:
  - a. Coordination Committee,
  - b. Coordination Committee Officers,
  - c. Coordination Committee Authorized Action and Limitations,
  - d. Subcommittees and Workgroups,
  - e. Coordination Committee Meetings, and
  - f. Voting by Coordination Committee;
6. Approval by Individual Parties;
7. Exchange of Data and Information, including:
  - a. Exchange of Information and
  - b. Procedure for Exchange of Information;
8. Methodologies and Assumptions, including:
  - a. SGMA Coordination Agreements,
  - b. Pre-GSP Coordination, and
  - c. Technical Memoranda Required;
9. Monitoring Network
10. Coordinated Water Budget
11. Coordinated Data Management System
12. Adoption and Use of the Coordination Agreement, including:
  - a. Coordination of GSPs and
  - b. GSP and Coordination Agreement Submission;
13. Modification and Termination of the Coordination Agreement, including:
  - a. Modification or Amendment of Exhibit "A" (Groundwater Sustainability Plan Groups including Participation Percentages),
  - b. Modification or Amendment of Coordination Agreement, and
  - c. Amendment for Compliance with Law;
14. Withdrawal, Term, and Termination;
15. Procedures for Resolving Conflicts;
16. General Provisions, including:
  - a. Authority of Signers,
  - b. Governing Law,
  - c. Severability,
  - d. Counterparts, and
  - e. Good Faith; and
17. Signatories of all Parties

The Coordination Agreement, effective as of December 12, 2018, has been signed by all thirty-six parties in the Delta-Mendota Subbasin. These signatories to the Coordination Agreement have formed a total of 23 GSAs in the Subbasin. A key goal of basin-wide coordination is to ensure that the Subbasin GSPs utilize the same data and methodologies during their plan development and that the elements of the Plans necessary to achieve the sustainability goal for the Subbasin are based upon consistent interpretations of the basin setting, as required by SGMA and associated regulations. It is the intent that the Coordination Agreement become part of each individual GSP within the Delta-Mendota Subbasin.

### **Delta-Mendota Subbasin Coordination Committee**

The Delta-Mendota Subbasin Coordination Agreement establishes the Delta-Mendota Subbasin Coordination Committee (Coordination Committee), which provides representation from each of the six GSP groups. The Coordination Committee complies with requirements of the Brown Act. The Coordination Agreement describes the Coordination Committee's requirements for meeting noticing, attendance, voting, data sharing, governance of subcommittees and working groups, and approval of Subbasin documents.

The Coordination Agreement allows for development of individual subcommittees or working groups to support the development of the Technical Memorandums and to coordinated data, methodologies, and assumptions. For this purpose, the Coordination Committee recommended formation of an ad hoc Technical Working Group, Communications Working Group, and Data Management System Working Group.

The Coordination Committee provides specific direction to the Plan Manager. The initial Plan Manager for the six coordinated GSPs is Andrew Garcia, Senior Civil Engineer for San Luis & Delta-Mendota Water Authority (SLDMWA); however, the Coordination Committee and Coordination Agreement allow for a consultant of the SLDMWA to act as Plan Manager, if necessary. If the SLDMWA ceases to serve as Plan Manager, the Coordination Committee can name a successor per the Coordination Agreement. In the meantime, Mr. Garcia's contact information is included below:

Mr. Andrew Garcia, Plan Manager  
San Luis & Delta-Mendota Water Authority  
842 6<sup>th</sup> Street  
Los Banos, CA 93635  
Phone: (209)-832-6200 / Fax (209)-833-1034  
[andrew.garcia@sldmwa.org](mailto:andrew.garcia@sldmwa.org)

Contact information for each GSP plan administrator is included in the respective GSPs.

### **Technical Memoranda**

The Coordination Agreement describes the development of Technical Memoranda. These memoranda collectively explain the data, methodologies, and assumptions approved and used by the six GSP Groups within the Subbasin. The Coordination Agreement specifically referenced four Technical Memoranda; the Technical Working Group of the Coordination Committee subsequently recommended development of additional Technical Memoranda during the GSP development efforts. The Technical Memoranda are subject to the Coordination Committee's review and unanimous approval and will be submitted along with the Coordination Agreement to DWR. The Technical Memoranda will be used throughout GSP implementation to ensure continued coordination and compliance with SGMA.

The Technical Memoranda include:

1. Common Datasets Used in the Delta-Mendota Subbasin GSPs
2. Assumptions for Hydrogeological Conceptual Model of the Delta-Mendota Subbasin
3. Assumptions for the Historic, Current and Projected Water Budgets of the Delta-Mendota Subbasin, Change in Storage Cross-Check and Sustainable Yield
4. Assumptions for Delta-Mendota Subbasin Management Areas, Sustainability Management Criteria
5. Assumptions for Delta-Mendota Subbasin Monitoring Network
6. Coordination of the Delta-Mendota Subbasin Data Management System
7. Adoption and Use of the Subbasin Coordination Agreement
8. Coordinated Noticing, Communication, and Outreach Activities in the Delta-Mendota Subbasin

**Interbasin Coordination**

The Delta-Mendota Subbasin adjoins nine neighboring subbasins. These subbasins range in basin condition as determined by DWR, so some subbasins are also on the January 31, 2020 GSP submission deadline, while others have a 2022 deadline. With this multitude of neighbors and variety of timelines, the Delta-Mendota Subbasin has initiated interbasin coordination efforts with all of the adjoining subbasins. The SLDMWA, on behalf of the Northern and Central Delta-Mendota Regions, executed an interbasin data sharing agreement with Westlands Water District, the coordinating agency for the Westside Subbasin. The agreement establishes common assumptions for groundwater conditions as well as a process for continued data sharing for data located within five miles of the boundary between Westside Subbasin and the Delta-Mendota Subbasin.

Additional interbasin coordination efforts have been initiated with other adjoining subbasins. No other agreements have been formalized at the time of the Delta-Mendota Subbasin's GSP submissions, but may be developed later. The Delta-Mendota Subbasin intends to coordinate with neighboring subbasins to develop shared understandings of data and technical approaches.

# TECHNICAL MEMORANDUM #8

RE: Coordinated Noticing, Communication, and Outreach Activities in the Delta-Mendota Subbasin

PREPARED BY: Stantec

DATE: July 25, 2019

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## 1. Introduction

The Sustainable Groundwater Management Act of 2014 (SGMA) and subsequent Emergency Regulations developed by the California Department of Water Resources (DWR) in May 2016 identified a number of requirements for public notice and communication related to Groundwater Sustainability Agency (GSA) formation and Groundwater Sustainability Plan (GSP) development. California Code of Regulations §354.10 identifies the requirements for notice and communication information in a GSP:

“Each Plan shall include a summary of information relating to notification and communication by the Agency with other agencies and interested parties including the following:

- (a) A description of the beneficial uses and users of groundwater in the basin, including the land uses and property interests potentially affected by the use of groundwater in the basin, the types of parties representing those interests, and the nature of consultation with those parties.
- (b) A list of public meetings at which the Plan was discussed or considered by the Agency.
- (c) Comments regarding the Plan received by the Agency and a summary of any responses by the Agency.
- (d) A communication section of the Plan that includes the following:
  - (1) An explanation of the Agency’s decision-making process.
  - (2) Identification of opportunities for public engagement and a discussion of how public input and response will be used.
  - (3) A description of how the Agency encourages the active involvement of diverse social, cultural and economic elements of the population within the basin.
  - (4) The method the Agency shall follow to inform the public about progress implementing the Plan, including the status of projects and actions.”

Pursuant to these requirements, GSAs in the Delta-Mendota Subbasin (Subbasin) conducted a number of activities to engage beneficial users of groundwater, interested parties, and the general public in the development of the six Subbasin GSPs. Each GSA was responsible for conducting outreach and engagement related to SGMA within its service area; however, recognizing efficiencies in pooling resources and the importance of consistent messaging, the GSAs also conducted a series of coordinated activities aimed at engaging stakeholders across the Subbasin. This document describes the coordinated tools, methods, and activities the GSAs used to inform and engage stakeholders in development of the Subbasin GSPs.

## 2. Situation Assessment and Communications Plan

To assist in GSA formation and GSP development, agencies in the Subbasin sought and received Facilitation Support Services funding from DWR in August 2016. Under this funding, a neutral, third-party facilitation team conducted a situation assessment on behalf of the Subbasin GSAs. The purpose of the assessment was to

understand how stakeholders perceived the status of the Subbasin’s groundwater resources and identify potential barriers to the successful development of the GSPs.

The facilitation team, with input from local agencies, identified 30 stakeholders representing diverse interests and beneficial users in the Subbasin, together with disadvantaged communities, agricultural well owners, government and land use agencies, and environmental and ecosystem interests. From February 2017 to May 2017, the facilitators conducted over 30 phone and in-person interviews with stakeholders. The facilitators recorded the interview responses and summarized the results in a presentation made to the GSA representatives.

The assessment results were used to inform the development of the Delta-Mendota Subbasin Sustainable Groundwater Management Act Communications Plan (Communications Plan), which is provided with this document as **Attachment A**. The Communications Plan identifies near- and long-term outreach and engagement strategies, tactics, and tools for stakeholder engagement in GSP development and implementation. The Subbasin GSAs used the Communications Plan as a framework for conducting the stakeholder outreach and engagement activities described in this document.

### 3. Public Noticing and Information

#### Legal Requirements:

**§354.10 (d):** A communication section of the Plan that includes the following:  
 (3) A description of how the Agency encourages the active involvement of diverse social, cultural, and economic elements of population within the basin.

The Subbasin GSAs developed and used several tools to inform members of the public about GSP development activities and promote opportunities for public engagement. These tools are described below.

- **Website:** The Subbasin website – [www.deltamendota.org](http://www.deltamendota.org) – is the primary location for information related to SGMA implementation in the Subbasin. Information provided on the website includes: an overview of SGMA, a description of each of the GSP groups, contact information for each of the GSAs, and upcoming workshops and public meetings. The website also serves as a repository for outreach collateral, workshop materials, and meeting packets and minutes for the Delta-Mendota Subbasin Coordination Committee, Technical Working Group, and Communications Working Group (described below).
- **Delta-Mendota Subbasin Newsletter:** The Delta-Mendota Subbasin Newsletter is distributed on a monthly basis and serves as an informational tool to keep interested parties, beneficial users, and members of the general public informed about the development and status of the GSPs. Newsletter topics include Subbasin-wide activities, general announcements, upcoming meetings and workshops, and past and upcoming GSP development activities. Copies of the newsletters are archived on the Subbasin website.
- **Informational Materials:** GSAs in the Subbasin developed a suite of materials in English and Spanish to educate and inform members of the public about SGMA and topics covered in the GSP. These materials include bilingual presentations, fact sheets, handouts, frequently asked questions, and videos. Copies of the materials are available on the Subbasin website. GSA representatives distributed these materials during meetings, workshops, and other outreach activities.

#### 4. Public Engagement in GSP Development

##### Legal Requirements:

**§354.10(b): A list of public meetings at which the Plan was discussed or considered by the Agency;**

**§354.10 (d): A communication section of the Plan that includes the following:**

**(2) Identification of opportunities for public engagement and a discussion of how public input and response will be used.**

**(3) A description of how the Agency encourages the active involvement of diverse social, cultural, and economic elements of population within the basin.**

This section describes outreach activities coordinated among the Subbasin GSAs to inform, engage, and consult stakeholders in GSP development. Coordinated outreach activities fell into two main categories: general public outreach and targeted outreach. General public outreach activities primarily consisted of committee and working group meetings, and coordinated workshops aimed at informing and receiving public input on the content of the GSPs. The GSAs also conducted outreach activities targeted at hard-to-reach communities and beneficial users, including agricultural interests, school districts, and disadvantaged communities.

##### General Public Engagement Activities

There were two primary opportunities for members of the public to engage in development of the Subbasin GSPs: Coordination Committee and working group meetings and coordinated public workshops. These activities are further described below. In addition, the GSAs also informed and engaged members of the public by posting information on the Subbasin and member-agency websites, distributing the monthly newsletter, disseminating bilingual informational materials, and tabling at public events.

##### Committee Meetings

Comprised of members representing the entities preparing the Subbasin GSPs, the Coordination Committee was formed to provide overall guidance and resolve conflicts among the GSAs to ensure that the GSPs were coordinated as required by SGMA. The Technical Working Group and Communications Working Group were formed under the Coordination Committee to specifically coordinate technical and communication activities, respectively. Public meetings of the Coordination Committee and working groups served as key opportunities for stakeholders to engage and consult in development of the GSPs. Public comments were recorded in the meeting minutes, posted on the Subbasin website, and considered during development of the GSPs.

##### Coordinated Public Workshops

The Subbasin GSAs planned and held a series of public workshops from May 2018 – May 2019 aimed at educating and soliciting input from the public about topics covered in the GSPs. Table 1 identifies the workshop dates, locations, and topics. At these workshops, GSA representatives and their technical consultants presented information on each GSP development phase. Presentations were followed by an open house period to allow participants to talk directly with their GSA representatives. Bilingual interpreters were present at all workshops to provide interpretation services. All workshop materials, in both English and Spanish, are available on the Subbasin website.

Questions, comments, and input from workshop participants were recorded by facilitation staff and summarized the workshop summaries, provided with this document as **Attachment B**. All public comments were taken in consideration by GSAs and technical consultants during development of the GSPs.

The GSAs used a variety of methods to promote the workshops. These methods included distribution of bilingual flyers and utility bill inserts, email notifications, social media posts, website posts, newspaper notices, and press releases. **Attachment C** includes example workshop promotion activities. GSA representatives also directly contacted local organizations throughout the Subbasin. A list of organizations contacted is provided with this document as **Attachment D**.

**Table 1. Coordinated Public Workshops**

| Date                         | Location, Venue                                     | Topic   |
|------------------------------|---|---|
| <b>Spring 2018 Workshop</b>  |   |   |
| May 14, 2018                 | Los Baños, San Luis & Delta-Mendota Water Authority | <ul style="list-style-type: none"> <li>• Sustainable Groundwater Management Act overview</li> <li>• Delta-Mendota Subbasin overview</li> <li>• Opportunities for engagement</li> </ul>  |
| May 16, 2018                 | Patterson, Hammon Senior Center                     |   |
| May 17, 2018                 | Mendota, Mendota Library                            |   |
| <b>Fall 2018 Workshops</b>   |   |   |
| October 22, 2018             | Firebaugh, Firebaugh Middle School                  | <ul style="list-style-type: none"> <li>• GSP development and implementation process</li> <li>• Data collection</li> <li>• Hydrogeologic Conceptual Model</li> <li>• Numerical &amp; Analytical Models</li> <li>• Water budgets</li> </ul> |
| October 24, 2018             | Los Baños, College Greens Building                  |   |
| October 25, 2018             | Patterson, Patterson Senior Center                  |   |
| <b>Winter 2019 Workshops</b> |   |   |
| February 19, 2019            | Los Baños, College Greens Building                  | <ul style="list-style-type: none"> <li>• Historic and current water budgets</li> <li>• Sustainability criteria</li> <li>• Undesirable results</li> <li>• Projects and management actions</li> </ul>                                       |
| February 20, 2019            | Patterson, Patterson City Hall                      |   |
| March 4, 2019                | Santa Nella, Romero Elementary School               |   |
| <b>Spring 2019 Workshops</b> |   |   |
| May 20, 2019                 | Patterson, Patterson City Hall                      | <ul style="list-style-type: none"> <li>• Projected water budgets</li> <li>• Sustainable yield</li> <li>• Groundwater monitoring networks</li> <li>• Projects and management actions</li> </ul>  |
| May 21, 2019                 | Los Baños, College Greens Building                  |   |
| May 22, 2019                 | Santa Nella, Romero Elementary School               |   |
| May 23, 2019                 | Mendota, Mendota Library                            |   |

### Targeted Stakeholder Engagement

The Subbasin GSAs also conducted targeted outreach and engagement to hard-to-reach communities, interested parties, and stakeholders that were previously underrepresented in other engagement activities. This included outreach to the following stakeholder types:

- *Agricultural Interests:* Agricultural stakeholders in the Subbasin include agricultural well operators, growers, ranchers, farmworkers, and agricultural landowners. Strong agricultural representation exists within the leadership of the GSAs. To augment direct outreach being conducted by individuals GSAs, Subbasin representatives also coordinated closely with local county farm bureaus to disseminate information related to GSP development and public workshops.
- *School Districts:* Schools districts are considered for both beneficial users of groundwater (for drinking water), as well communication channels to disseminate information about SGMA and GSP development. GSA representatives directly contacted local school districts to notify them of the public workshops. Some schools also help distributed informational materials and workshop flyers to their students and parents.



- *Disadvantaged Communities:* The GSAs followed best practices identified in Collaborating for Success: Stakeholder Engagement for Sustainable Groundwater Management Act Implementation (Community Water Center, 2015) and other guidance documents to engage disadvantaged and severely disadvantaged communities. This included holding meetings in disadvantaged communities; holding meetings in the evening at known local venues, such as schools, civic centers, and community centers; translating fact sheets, meeting materials, and presentations into other languages; and providing interpreting services at all public workshops.

## 5. GSP Implementation

### Legal Requirements:

**§ 354.10(b)(4): The method the Agency shall follow to inform the public about progress implementing the Plan, including the status of projects and actions.**

Each GSA will utilize its own methods to inform the public about progress implementing its GSP and the status of any projects and management actions. The Subbasin website will continue to be the main source of information for Subbasin-wide announcements, public meetings, workshops, and informational materials. In addition, the GSAs will continue to coordinate public outreach and stakeholder engagement activities related to GSP implementation as-needed.

Attachments: Attachment A - Delta-Mendota Subbasin Sustainable Groundwater Management Act Communications Plan Attachment B – Coordinated Public Workshop Summaries  
Attachment C – Example Public Workshop Promotion Materials  
Attachment D – Stakeholder and Community Organizations Contacted Regarding Coordinated SGMA Workshops

**ATTACHMENT A. DELTA-MENDOTA SUBBASIN SUSTAINABLE GROUNDWATER  
MANAGEMENT ACT COMMUNICATIONS PLAN**



## Forward: How to use this Plan

This Communication Plan provides a high-level overview of near and long-term outreach and engagement strategies, tactics and tools. Its purpose is to assist the Groundwater Sustainability Agencies (GSAs) of the Delta Mendota Subbasin with stakeholder outreach and other related actions as required by the Sustainable Groundwater Management Act (SGMA) of 2014. It is presented as a working public draft, and should be considered a living document that is continuously refined and updated as circumstances suggest.

**Chapter 1: *Introduction and Background*** provides text and information about SGMA and the Delta Mendota Subbasin that can be repurposed directly into websites or printed materials by agencies and/or entities with an interest in SGMA and how it will affect the subbasin. This section also describes the communications activities mandated by SGMA.

**Chapter 2: *Communications Plan Overview*** provides communications planning goals and objectives as well as the scope. This section can be used in support of project management activities.

**Chapter 3: *Situation Assessment*** provides some of the context for communications activities. This section can be used in developing required assessments of stakeholder issues and interests. It also informs project management activities.

**Chapter 4: *Audiences and Messages*** identifies key subbasin audiences and message points for specific audience segments. The goal of this chapter is to provide information that can be used by the subbasin GSAs in preparing to work with key stakeholders.

**Chapter 5: *Risk Management*** is the summary of a communications risk assessment that considers subbasin communications strengths and weakness and proposes on-going adjustments based on best communication management practices. This section informs project management activities and provides a context for some of the recommended communications tactics.

**Chapter 6: *Tactical Approaches*** offers a communications to do list with specific communications activities relevant for project phases and subbasin audiences.

**Chapter 7: *Measurements and Evaluation*** outlines methods to determine the effectiveness of outreach and engagement.

**Chapter 8: *Roles and Responsibilities*** provides a sample list of tasks and illustrates the types of communications roles and responsibilities which might be assigned. This section should be incorporated into project management plans.

Subbasin GSAs should feel free to repurpose any or all parts of the document that will assist them in meeting SGMA requirements.

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| <p>This document was developed with technical support provided by the California Department of Water Resources' (DWR) SGMA Facilitation Support Services Program and completed by the Communication and Engagement Group of MWH/Stantec.</p> |
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# **Delta Mendota Subbasin Sustainable Groundwater Management Act Communications Plan Working Draft**

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## List of Acronyms and Abbreviations

| Item        | Description   |
|-------------|---|
| Basin       | Groundwater Basin or Subbasin   |
| Coms Plan   | Delta Mendota Subbasin, Sustainable Groundwater Management Act, Working Draft Communications Plan |
| CSD         | Community Service District(s):  |
| CV-SALTS    | Central Valley Salinity Alternatives for Long-Term Sustainability                                 |
| DAC         | Disadvantaged Communities   |
| DMC         | Delta-Mendota Canal   |
| DWR         | California Department of Water Resources  |
| GSA         | Groundwater Sustainability Agency   |
| GSP         | Groundwater Sustainability Plan   |
| IRWMP       | Integrated Resource Water Management Plan   |
| PDF         | Portable Document Format  |
| RCD         | Resource Conservation District(s)   |
| SGMA        | Sustainable Groundwater Management Act  |
| SLDMWA      | San Luis Delta- Mendota Water Authority   |
| State Board | State Water Resources Control Board   |



| Item | Description                     |
|------|---------------------------------|
| SA   | Situation Assessment            |
| USGS | United States Geological Survey |

## Revision History

**Table 1. Revision History**

| Revision History      |                 |        |                    |
|-----------------------|-----------------|--------|--------------------|
| Revision/Dock Title # | Date of Release | Author | Summary of Changes |
|                       |                 |        |                    |
|                       |                 |        |                    |

## INTRODUCTION AND BACKGROUND

The purpose of this Communication Plan is to assist the Groundwater Sustainability Agencies (GSAs) of the Delta Mendota Subbasin with stakeholder outreach and other related actions as required by the Sustainable Groundwater Management Act (SGMA) of 2014. Its chapters identify key stakeholders and provide a high-level overview of near and long-term outreach and engagement strategies, tactics and tools. The plan was developed with technical support provided by the California Department of Water Resources' (DWR) SGMA Facilitation Support Services Program.

### 1.1. SGMA Basics<sup>1</sup>

After decades of debate, in 2014 California lawmakers adopted SGMA. This far-reaching law seeks to bring the State's critically important groundwater basins into a sustainable regime of pumping and recharge. The change in water management laws has created new obligations for residents and water managers in the Delta-Mendota Groundwater Subbasin. The San Luis Delta- Mendota Water Authority (SLDMWA) is assisting its members in implementation of this law.



SGMA requires, **by June 30, 2017**, the formation of locally-controlled GSAs in many of the State's groundwater basins and subbasins (basins). A GSA is responsible for developing and implementing a **groundwater sustainability plan** (GSP). These plans assist the basins in meeting sustainability goals. The primary goal is to maintain sustainable yields without causing undesirable results.

#### 1.1.1. GSAs & GSPs

Any local public agency that has water supply, water management, or land use responsibilities in a basin can decide to become a GSA. A single local agency can decide to become a GSA, or a combination of local agencies can decide to form a GSA by using either a Joint Power Authority (JPA), a memorandum of agreement (MOA), or other legal agreement. If no agency assumes this role the GSA responsibility defaults to the County; however, the County may decline.

A GSP may be any of the following (*Water Code § 10727(b)*):

- A single plan covering the entire basin developed and implemented by one GSA.
- A single plan covering the entire basin developed and implemented by multiple GSAs.

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<sup>1</sup> Sections on SGMA are largely drawn, in whole or in part, from publicly available materials from the Department of Water Resources. For more see: <http://www.water.ca.gov/groundwater/sgm>

- Subject to Water Code Section 10727.6, multiple plans implemented by multiple GSAs and coordinated pursuant to a single coordination agreement that covers the entire basin.

If local agencies are unable to form an approved GSA and/or prepare an approved GSP in the required timeframe, then the basin or subbasin would be considered unmanaged. Unmanaged groundwater basins and subbasins are subject to State Water Resources Control Board (State Board) oversight. This is true even if the vast majority of the subbasin is covered by a plan. Should intervention occur, the State Board is authorized to recover its costs from the GSAs.

## 1.2. SGMA Communications and Engagement Requirements

SGMA includes specific requirements for communications and engagement by each planning phase. **Figure 1** (next page) illustrates the requirements and provides water code references. The GSP submittal guidelines also describe the outreach and engagement documentation to be submitted with the plan. **Table 2** describes the submittal requirements. A full list of codes and requirements is also provided in **Appendix 1**.

**Table 2. GSP Submittal Requirements<sup>2</sup>**

| GSP Regulations Section  | Requirement              | Description  |
|--|--------------------------|--|
| <b>Article 5. Plan Contents, Sub-article 1. Administrative Information</b> |                          |  |
| 354.10   | Notice and Communication | <ul style="list-style-type: none"> <li>• Description of beneficial uses and users</li> <li>• List of public meetings with dates</li> <li>• GSP comments and responses</li> <li>• Decision-making process</li> <li>• Public engagement process</li> <li>• Method(s) to encouraging active involvement</li> <li>• Steps to inform the public on GSP implementation progress</li> </ul> |

## 1.3. Planning Approach

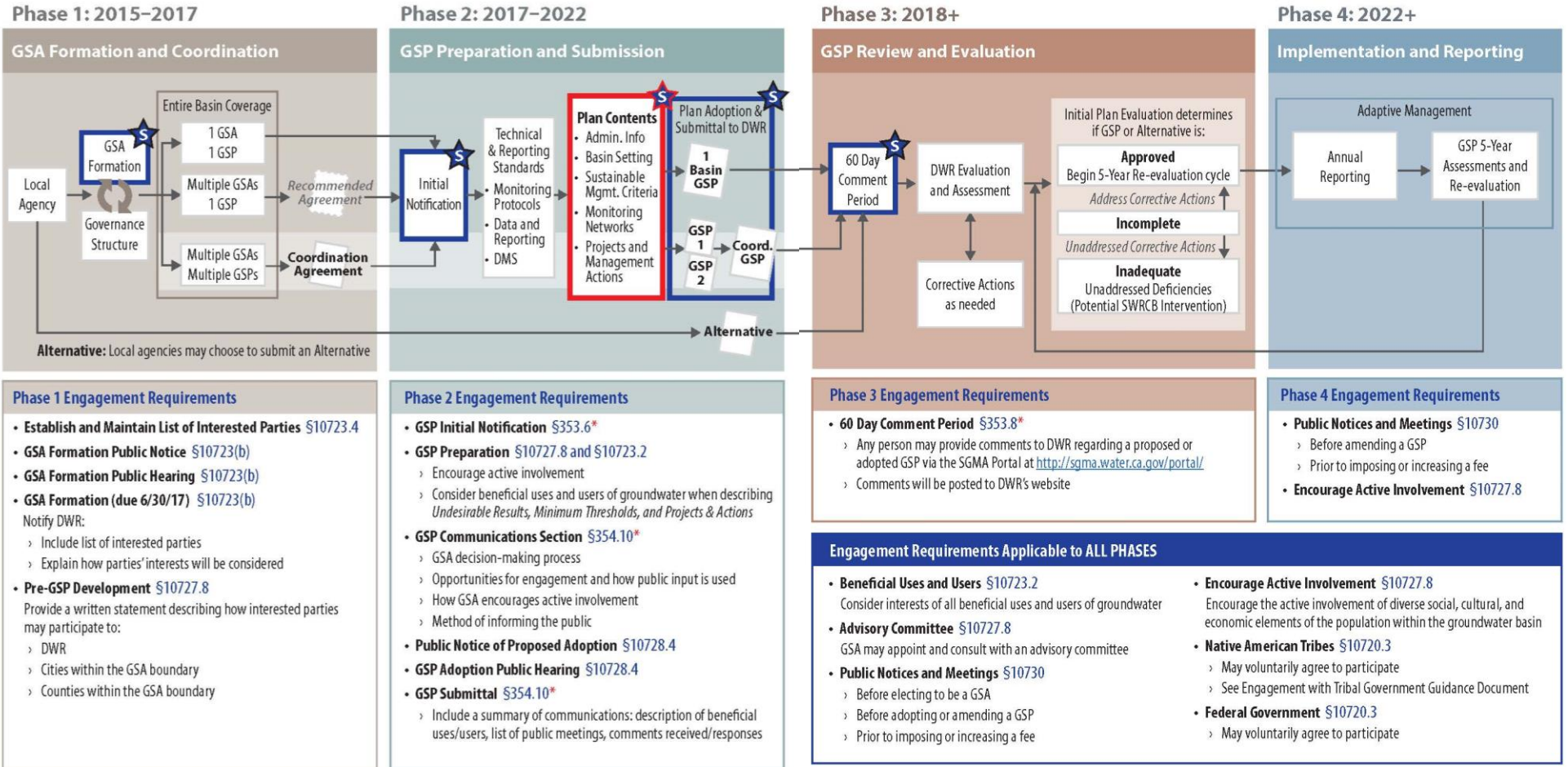
While the SLDMWA is assisting with the coordination of GSP(s) development, this Communications Plan (Coms Plan) is offered for the voluntary use of all of the GSAs of the Delta-Mendota Subbasin. A full Coms Plan schedule should be developed in conjunction with the overall GSP(s) development schedule. One additional option is for the Coordination Committee of GSAs to provide overall communications guidance. This could potentially be included in a section of the Coordination Agreement.

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<sup>2</sup> Guidance Document for the Sustainable Management of Groundwater, Preparation Checklist for GSP Submittal, Department of Water Resources, December 2016

# Stakeholder Engagement Requirements by Phase

Figure 1. Stakeholder Engagement Requirements



Stakeholder Input  
 Stakeholders should be informed throughout the development of Plan Content

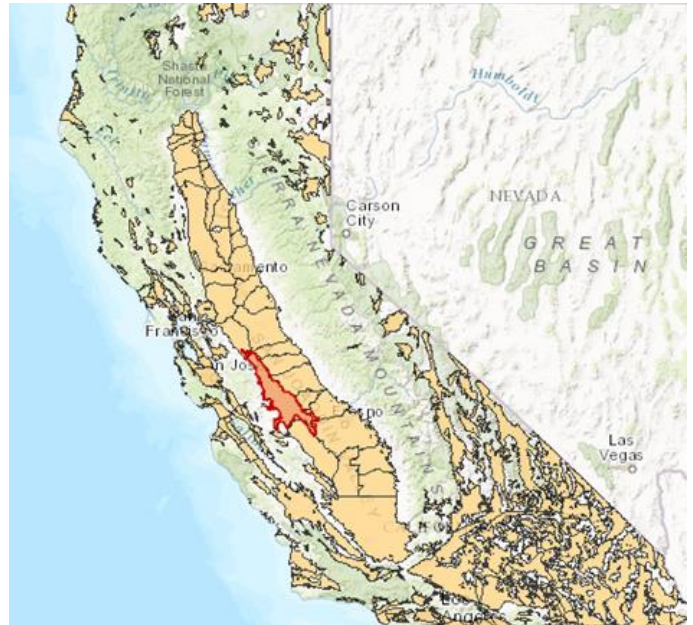
Code References: S(#) = SGMA, S(#) = GSP Regulations

Source: Guidance Document for Groundwater Sustainability Plan Stakeholder Communication and Engagement Department of Water Resources, June 2017

An important additional step will be establishing, in conjunction with the multiple GSAs, the roles and responsibilities for implementing the Coms Plan.

### **1.4. SGMA and the Delta Mendota Subbasin<sup>3</sup>**

The Delta-Mendota Subbasin of the San Joaquin Valley Groundwater Basin is a long, relatively narrow groundwater basin that covers portions of five counties, from north to south, San Joaquin, Stanislaus, Merced, Madera and Fresno Counties (see **Figure 2**). The Delta-Mendota sub-basin is bounded on the west by the Tertiary and older marine sediments of the Coast Ranges. The northern boundary (from west to east) begins on the west by following the Stanislaus/San Joaquin County line, then deviates to the north to encapsulate all of the Del Puerto Water District before returning back to the Stanislaus/San Joaquin County line. The boundary continues east then deviates north again to encapsulate all of the West Stanislaus Irrigation District before returning back to the Stanislaus/San Joaquin County line. The boundary continues to follow the Stanislaus/San Joaquin County line east until it intersects with the San Joaquin River.



**Figure 2. Delta Mendota Subbasin**

The eastern boundary (from north to south) follows the San Joaquin River to within Township 11S, where it jogs eastward along the northern boundary of Columbia Canal Company and then follows the eastern boundary of Columbia Canal company until intersecting the northern boundary of the Aliso Water District. The boundary then heads east following the northern and then eastern boundary of the Aliso Water District until intersecting the Madera/Fresno County line. The boundary then heads westerly following the Madera/Fresno County line to the eastern boundary of the Farmers Water District. The boundary then heads southerly along the eastern boundary of the Farmers Water District, and continues southerly along the section line to the intersection with the northern right-of-way of the railroad. The boundary then heads east along the northern right-of-way of the railroad until intersecting with the western boundary of the Mid-Valley Water District. The boundary then heads south along the western boundary of the Mid-Valley Water District to the intersection with the northern boundary of Reclamation District 1606. The boundary then heads west and then south following the boundary of Reclamation District 1606 and James Irrigation District until its intersection with the Westlands Water District boundary.

The southern boundary (from east to west) matches the northerly boundaries of Westlands Water District legal jurisdictional boundary last revised in 2006. The boundary then

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<sup>3</sup> Information related to the Delta Mendota subbasin is drawn directly from <http://sgma.water.ca.gov/basinmod/basinrequest/preview/23>.

proceeds west along the southernmost boundary of the San Luis Water District. The boundary then projects westward from this alignment until intersecting the Delta-Mendota sub-basin Western boundary described above.

### **1.5. Delta-Mendota Subbasin GSP Planning**

The GSAs of the Delta-Mendota Subbasin intend to work together to meet Sustainable Groundwater Management Act (SGMA) requirements and prepare a Groundwater Sustainability Plan (GSP) or coordinated Sustainability Plans by June 31, 2020. The San Luis Delta- Mendota Water Authority (SLDMWA) is assisting its members and non-members in planning and implementation of this law and has been directly assisting a subset of the local GSA eligible agencies in organizing to accomplish required SGMA tasks. The SLDMWA has also hosted informal, information meetings with all of the subbasin GSAs.

While SLDMWA coordinated GSAs are confident in their ability to prepare a GSP for the areas under their jurisdiction, SGMA requires that an approved GSP or multiple coordinated GSPs are in place to provide sustainable management for the entire subbasin. The identified GSAs have been asked to determine how they wish to proceed in individual GSP development or a coordinated single GSP by July 2017 and whether or not they wish to participate in the Prop 1 Sustainable Groundwater Planning Grant as a joint request.

### **1.6. Delta Mendota Subbasin GSAs**

Following are the DWR identified agencies (as of June 15, 2017).<sup>4</sup>

1. Aliso Water District
2. Central Delta-Mendota Region Multi-Agency GSA
3. City of Dos Palos
4. City of Firebaugh
5. City of Gustine
6. City of Los Baños
7. City of Mendota
8. City of Newman
9. City of Patterson
10. County of Madera—3
11. DM-II
12. Farmers Water District
13. Fresno County—Management Area ‘A’
14. Fresno County—Management Area ‘B’
15. Grasslands Groundwater Sustainability Agency
16. Merced County—Delta-Mendota

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<sup>4</sup> See: <http://sgma.water.ca.gov/portal/>

## Chapter 1

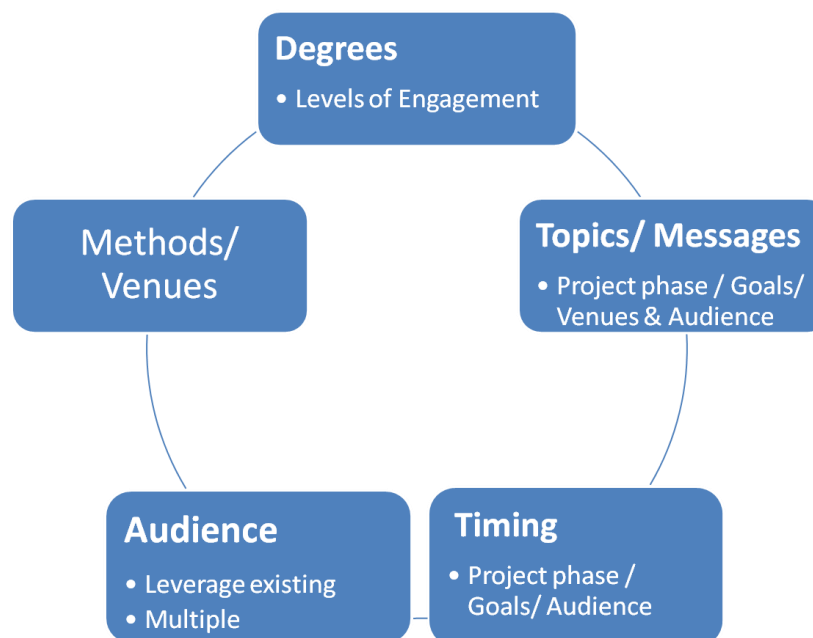
17. Northwestern Delta-Mendota GSA
18. Ora Loma Water District
19. Patterson Irrigation District
20. San Joaquin River Exchange Contractors Water Authority
21. Turner Island Water District-2
22. West Stanislaus Irrigation District GSA
23. Widren Water District GSA



## COMMUNICATIONS PLAN OVERVIEW

Communication is the process of transmitting ideas and information. According to the Project Management Institute, 75%-90% of a project manager's time is spent communicating. A Coms Plan provides the purpose, method, messages, timing, intensity, and audience of the communication, then describes who will do the communicating, and the frequency of the communication (see **Figure 3.**)

**Figure 3. Elements of a Communications Plan**



### 2.1. Purpose

The purpose of the Delta-Mendota Subbasin, Sustainable Groundwater Management Act, Coms Plan is to outline the information and communications needs of the project stakeholders and provide a roadmap to meet them. The Coms Plan then identifies how communications activities, processes, and procedures will be managed throughout the project life cycle.

### 2.2. Importance

While communications are important in every project, a well-executed communications strategy will be essential to the success of the GSP(s) development and adoption process. The financial and regulatory stakes are high and communication missteps can create project risks. Further, development of a viable GSP(s) will require an on-going collaboration among all the stakeholders, both organizational and external. The plan will be comprehensive and consider multiple variables, a range of system elements and project costs and benefits. Stakeholder input will be needed to refine GSP requirements and fully

define the water management system, and potential impacts, costs and benefits that may result in managing for sustainability.

### **2.3. Scope**

The plan focuses on formal communication elements. Other communication channels exist on informal levels and enhance those discussed within this plan. This plan is not intended to limit, but to enhance communication practices. Open, ongoing communication between stakeholders is critical to the success of the project.

### **2.4. Communications Goal**

Development, adoption and implementation of the GSP(s) will require basin external stakeholders, other agencies, staff, managers, and the multiple GSA Boards to evaluate choices, make decisions and commit resources.

The core communications goal is to plan for and efficiently deliver clear and succinct information:

- At the right time
- To the right people
- With a resonating message

This is done to facilitate quality decision making and build accompanying public support

### **2.5. Communications Objectives**

The Coms Plan Objectives are to present strategies and actions that are:

- Realistic and action-oriented
- Specific and measurable
- Minimal in number (a few well delivered are better than many mediocre efforts)
- Audience relevant

### **2.6. Strategic Approach**

Three primary communications strategies have been identified for the GSP(s) development.

- 1) Fully leverage the activities of existing groups. This practical approach is cost effective and respectful of the limited time that stakeholders have to participate in collaborative processes.
- 2) Provide targeted, communications and outreach to opinion leaders in key stakeholder segments.
- 3) Provide user friendly information and intermittent opportunities through existing communication channels and open houses or workshops to allow interested stakeholders (internal and external) to engage commensurate with their degree of interest.

## **2.7. Communications Governance, Communications Team**

Given the relatively large number of stakeholders, a recommendation for coordinated efforts, and the legal requirements for outreach<sup>5</sup>, some form of communications governance is recommended. Several governance options for consideration are offered in Appendix 2. The actual form of the governance is less important than a clear understanding of the roles and responsibilities of those responsible for ensuring required communication. For the purpose of this document, an assumption is made that some form of governance will be identified and a communications team (which may be an individual or multiple individuals, and/or include the project consultants) is designated.

A driving consideration for this recommendation is the level of effort associated with required activities and the fact that communications are highly time dependent. That means that communications activities should be occurring that may happen outside of regularly scheduled GSA meetings. In this case delegation with guidance is efficient and effective.

## **2.8. Constraints**

All projects are subject to limitations and constraints as they must be within scope and adhere to budget, scheduling, and resource requirements. These constraints can be even more challenging in projects with multiple agencies as will be the case with the development and coordination of multiple GSPs.

There are also legislative, regulatory, technology, and other organizational policy requirements which must be followed as part of communications management. These limitations must be clearly understood and communicated where appropriate. While communications management is arguably one of the most important aspects of project management, it must be done in an effective and strategic manner recognizing and balancing the multiple constraints.

All project communication activities should occur within the project's approved budget, schedule, and resource allocations. The GSP(s) project managers and the leadership of the participating GSAs should have identified roles in ensuring that communication activities are performed.

To the extent possible, to support collaboration and reduce costs, GSP(s) partners should utilize standardized formats and templates as well as project file management and collaboration tools.

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<sup>5</sup> See Appendix 1

## SITUATION ASSESSMENT

### 3.1. ***Introduction***

The challenges of asking a community to make changes in how things are done, or forging an agreement among multiple parties are often large. Prior to preparing a Coms Plan, a neutral, 3<sup>rd</sup> party facilitator conducted a stakeholder Situation Assessment (SA).

The facilitator's role was to provide an independent evaluation of potential stakeholder's interest in coordination and governance for GSA formation and GSP development and identify any barriers or concerns that would need to be addressed for the GSA formation process and GSP(s) development to be successful.

### 3.2. ***Situation Assessments***

An SA is an information-gathering process that informs outreach, engagement and collaboration. As part of preparing the basin communication's process, it was important to know more about:

- Stakeholder Categories
- Opinion leaders
- Regulatory and political context
- Advocates and detractors
- Attitudes and knowledge
- Other elements useful to the crafting of decisions

An assessment is also a low risk approach to education and signaling a future relationship. It facilitates the community's appraisal of its needs, wants and values. A well-crafted assessment sets the stage for the parties to better understand and interpret their situation so that they can make informed decisions for actions, in the short term and for the future.

The Delta-Mendota subbasin SA included background research and interviews. Interviews were usually with individuals but in a few cases a very small group was convened. To encourage candor, the results of the input process were bundled so those interviewed were not individually identified unless they explicitly indicated they wished to share their individual response.

### 3.3. ***Background Research***

The facilitator worked closely with the SLDMWA and DWR to identify useful documents, plans and activities that might inform the overall communications planning process.

### 3.4. ***Interviews and Consultations***

Using information gathered during the background research and similar GSA formation efforts throughout the state, the facilitator worked with the SLDMWA to craft interview questions. The facilitator also provided some selection criteria to the SLDMWA to help identify a representative group of interview candidates. Once selected, the SLDMWA staff and facilitation team invited the interviewees to participate. In addition to full interviews,

additional calls and in person communications were conducted to acquire amplifying information. **Figure 4** provides a quick overview.

**Figure 4. Interview and Consultation Quick Facts**



Selected participants were all engaged or otherwise stakeholders in some aspect of the basin GSA development process.

A project background sheet was provided in advance of each formal interview and used again during the interviewee discussions with the facilitator. Each interview followed the same format and included 16-18 questions (depending on whether or not a follow-up question was needed).

The questions covered the following topics pertaining to the GSA formations and GSP(s) development:

1. Overarching perspectives from each key stakeholder on general groundwater conditions, GSA governance; subbasin management and associated SGMA compliance
2. Preferred methods to achieve groundwater sustainability consistent with SGMA requirements
3. The level of agreement/conflict around groundwater governance across the range of stakeholder perspectives
4. Experience with facilitated processes, outreach and engagement, and the goals for such support
5. Potential configurations of governance and formations of GSAs and GSP development

### 3.5. ***Summary of key findings***

Interview results indicate an overall positive environment for the project and project communications; however, the effort will require interactions of a large number of parties and planning for an extremely complex system. Following are the reflections, ideas and suggestions of those contacted.

#### 3.5.1. Related to Groundwater Sources and Trends

- *Significant observed impacts associated with Weather, Water Project Deliveries and Cropping Patterns* – Participants observed a declining

groundwater situation and were able to attribute it to drought and weather (particularly timing of seasonal rainfall and periods of prolonged, higher temperatures), conversion to permanent crops, and significant changes in access to surface water.

- *Surface & Groundwater Nexus* – As noted in comments related to access to surface water, there was a clear understanding of the surface/groundwater nexus. Many believed that any realistic solution would have to include a full assessment of the region’s surface water future.
- *Extremely Complex Systems* – Many of those interviewed reported that parts of the subbasin were doing fine and could, with good management, be sustainable. They described problems as being primarily in pockets of the subbasin. They also characterized some parts of the subbasin as not being managed sustainably and indicated that they believe this would have continued had SGMA not passed. While it was generally agreed that it would have been better if SGMA was not driving the change, they felt change would not occur without something like SGMA. Several of the participants were able to describe specific locations and situations that illustrated this.

Issues related to operations of the Bureau of Reclamation, the Delta-Mendota Canal (DMC), the Mendota Pool and restoration activities are of keen interest to all the stakeholders. Everyone was familiar with issues of subsidence and with the facts and figures represented in graphics like those in **Figure 5**, prepared by the United States Geological Survey (USGS).<sup>6</sup>

Many perceived that groundwater supplies for municipal uses in some parts of the basin were at risk.

- *Historic Rights and Arrangements* – Access to surface water is based on numerous historic rights and agreements as well as more contemporary agreements. As such there is no **single** description of the status of surface water availability among the many subbasin GSAs,<sup>7</sup> although there is a strong understanding of the rights and arrangements that do exist.<sup>8</sup>

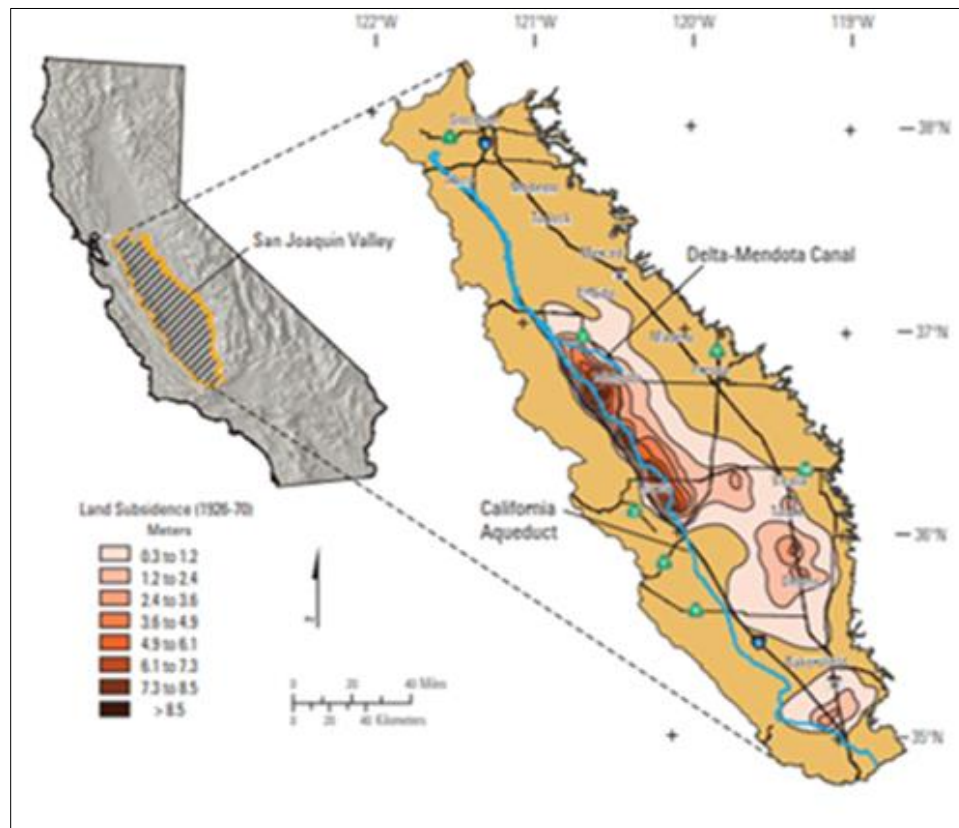
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<sup>6</sup> U.S. Department of the Interior | U.S. Geological Survey: <https://ca.water.usgs.gov/projects/central-valley/delta-mendota-canal.html>, Page Last Modified: Monday, 20-Mar-2017 22:39:47 EDT

<sup>7</sup> A full inventory of water rights and arrangements for the subbasin GSAs is recommended to be prepared as part of the GSP planning process.

<sup>8</sup> In 2010 there were 1,403 water rights claimed in the San Joaquin Delta watershed, the largest number of any watershed in the State. [Source: Associated Press: Original data source is State Water Resources Control Board eWRIMS, Database

Figure 5. USGS Illustration of the DMC and Subsidence



The hierarchy of water rights as well as laws related to groundwater rights will be a significant factor in GSP negotiations.

Another historical factor related to sustainability is the character of land ownership. There was a perceived difference in the values placed on sustainability by multi-generational family farms versus investor driven agriculture and/or water development.

### 3.5.2. Related to GSA Governance; Subbasin Management and SGMA Compliance

- *Numbers* - The subbasin includes numerous Water Agencies (35) and other potential GSA eligible agencies including Cities and Counties (such as Dos Palos, Firebaugh, Gustine, Los Baños, Mendota, Newman, Patterson, Fresno, Madera, Merced, San Joaquin, and Stanislaus) and Community Service Districts (CSDs) including among others Grayson, Westley, and Volta, as well as multiple Resource Conservation Districts (RCDs) that for the most part were within the general boundaries of other GSA eligible authorities (Panoche, Poso and Grasslands as an example).

By the June 30, 2017 filing deadline, 23 eligible entities had formally filed GSA formations and met SGMA requirements for subbasin coverage.



Even with this large number of GSA entities, during the SA interviews and in a follow-up survey, most agencies indicated a preference for a reduced number of GSPs and potentially just one or two.

At the time of this assessment there was not a full understanding of all of the potential requirements of being a GSA and ultimately what might be required to prepare a compliant GSP.

**Table 3. Number of Subbasin Public Water Agencies**

| Number of Public Water Agencies |                    |                          |
|---------------------------------|--------------------|--------------------------|
| • Merced County                 | • Foothill WD      | • Panoche WD             |
| • Fresno County                 | • Fresno Slough WD | • Patterson WD           |
| • Broadview WD                  | • Grasslands WD    | • Romero WD              |
| • Centinella WD                 | • Hospital WD      | • Salado WD              |
| • Central California ID,        | • Kern Canon WD    | • San Luis Canal Company |
| • Davis WD                      | • Laguna WD        | • San Luis WD            |
| • Del Puerto WD                 | • Mercy Springs WD | • Santa Nella C.WD       |
| • Eagle Field WD                | • Mustang WD       | • Sunflower WD           |
| • El Solyo WD                   | • Oak Flat WD      | • Tranquility ID         |
| • Farmers WD                    | • Orestimba WD     | • West Stanislaus ID     |
| • Firebaugh Canal WD            | • Oro Loma WD      | • Widren WD              |
|                                 | • Pacheco WD       | • Quinto WD              |

At the time of this assessment participants did not fully recognize the potential number of stakeholders and/or the requirements to conduct outreach.

- *Subbasin Governance Structures* – Many individuals and entities within the subbasin have experience working in cooperative governance and related structures. For example, the SLDMWA provides leadership for an Integrated Resource Water Management Plan (IRWMP) illustrated in **Figure 6**<sup>9</sup> on the following page. Many of the stakeholders are also involved with Irrigated Lands Coalitions (see **Figure 7**).<sup>10</sup>

Likewise, many are also involved in efforts related to the Central Valley Salinity Alternatives for Long-Term Sustainability (CV-SALTS) initiative (see **Figure 8**).

<sup>9</sup> Source : San Luis & Delta-Mendota Water Authority, Westside-San Joaquin Integrated Water Resources Plan, July 2014

<sup>10</sup> Source: Central Valley Regional Water Resources Control Board

# Existing Cooperative / Collaborative Governance Structures with Delta Mendota Subbasin Stakeholders

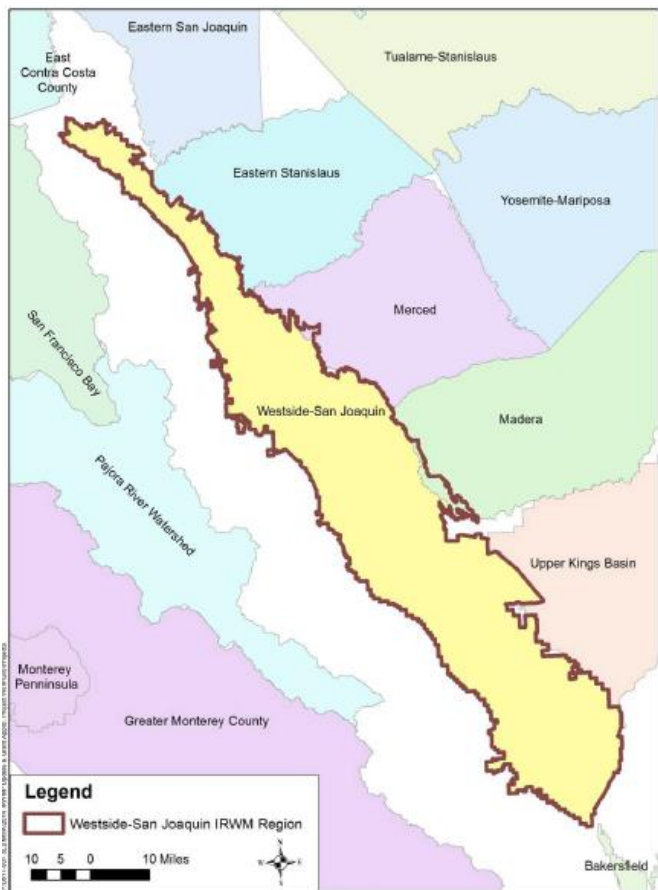


Figure 6. Integrated Regional Water Management Groups

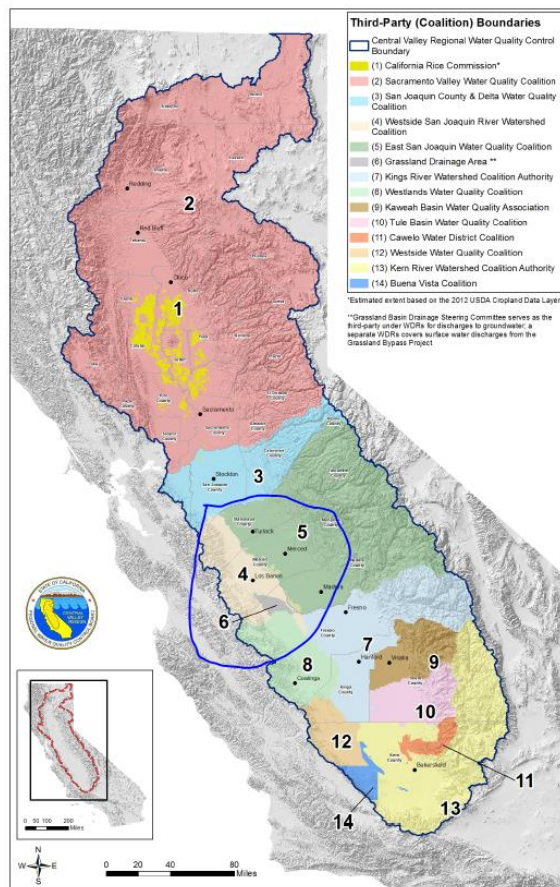


Figure 7. Irrigated Lands Coalitions

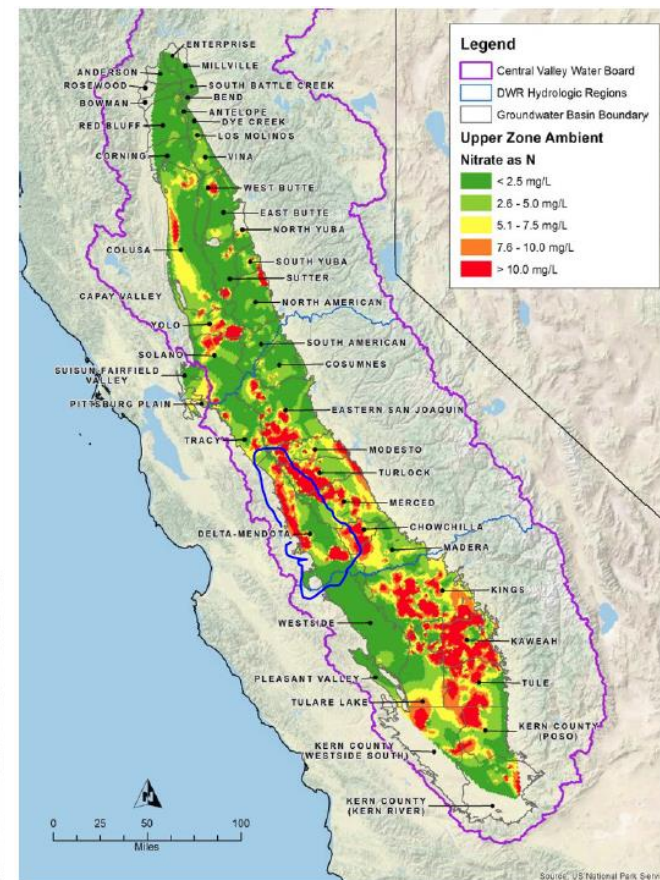


Figure 8. CV-Salts Initiative

CV-Salts was launched to develop sustainable salinity and nitrate management planning for the Central Valley. (See **Figure 8.**<sup>11</sup>)

Finally, there are multiple arrangements in place related to surface water transfers and other previous groundwater management planning efforts.

Experience with these programs has created a capacity for collaborative planning that will be essential for GSP development. It also creates opportunities to access and leverage existing stakeholder meetings and events rather than needing to convene multiple new stakeholder processes.

### 3.5.3. Issues to be Addressed in Creating a Sustainability Plan

Some of the participants indicated they had an extremely good understanding of their section of the subbasin, with exact and extensive records to support their perspective. They found that making projections using historical data had been more reliable than some of the groundwater models that were in use.

In thinking about development of a GSP they felt there could be some difficulty in developing water balances due to lack of quality data for some locations. Another mild concern was the potential for disagreements about the selection of a groundwater model(s) or reconciling differences among methods.

Still another concern was the capacity of the GSAs and/or GSA members to fully participate. Some of these agencies are very lightly staffed and have varying levels of knowledge related to groundwater management. All of the participants had significant other duties prior to the passage of SGMA.

One concern, expressed after completion of the assessment, was the potential for some agencies to simply opt out of participating in the development of a GSP but still receive the benefits of the region having an approved plan without having contributed to the larger good of the subbasin.

### 3.5.4. Representation

The State Board lists the following as Required Interested Parties for the purpose of SGMA outreach:

- All Groundwater Users
- Holders of Overlying Rights (agriculture and domestic)
- Municipal Well Operators and Public Water Systems
- Tribes
- Counties
- Planning Departments /Land Use
- Local Landowners
- Disadvantaged communities
- Business

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<sup>11</sup> Ibid



- Federal Government
- Environmental Uses
- Surface Water Users (if connection between surface and ground water)

All of these stakeholder categories were contacted in the interview process excepting tribes. In the case of tribes, there are no classified tribal lands in the Delta-Mendota subbasin, therefore no planning, outreach or communication needs are currently anticipated for tribes.

Due to subbasin characteristics, a primary focus of the assessment was on agricultural, disadvantaged communities (DACs) and municipal groundwater users.

- *Related to Agricultural Representation* - most respondents believed that the elected leadership of the GSA agencies would do a good job in representing agriculture and noted that many of them were growers themselves. It was also noted that farmers were busy and would be far more interested in any specifics of a GSP that would impact operations or the degree of certainty about water availability than the particulars of GSA governance.
- *Regarding DACs* - Much of the subbasin and its counties (San Joaquin, Stanislaus, Merced, and Fresno) have communities that meet the DAC definition and the region is generally considered disadvantaged. The ability of DACs to participate in GSP development was considered limited and it was thought that there would be a need for specific and direct outreach to DACs through elected leadership and via use of trusted community advocates. As part of the SA, several of those interviewed identified themselves as being able to represent a DAC perspective and one in particular was particularly concerned about the availability of Spanish language materials. As a result, Spanish language materials were included in the meeting materials of the public GSA adoption meetings and the SLDMWA provided a fluent Spanish speaker to assist with meetings.



In the past, to promote DAC identification and involvement, the Westside-San Joaquin IRWM previously conducted an extensive survey of private and public community representatives to educate and encourage understanding of the IRWM process, to help understand the issues confronted by DACs, and to



better address the needs of minority and/or low-income communities. This effort resulted in identification of DACs in the Region and an initial list of 22 projects that would benefit DACs and low-income communities. Given known constraints on this community it is recommended that more focused DAC outreach should be coordinated with the IRWM. This effort is now in progress.

- *Regarding Municipals* - The SA outreach also included interviewing Municipal Stakeholders. A significant number of the Cities are fully dependent on wells for water supply and issues related groundwater management are of grave concern. These representatives all felt that even while it would be difficult to make time to participate in GSAs and GSP development, that they must make the time. Many had also determined that they wished to form their own GSA to reflect their specific interests in any kind of broader GSP negotiation.
- *Regarding Environmental Interests* - There appeared to be a less defined stakeholder segment representing traditional, environmentally focused issues. Outreach was made to subbasin government agencies that often serve as a surrogate for these interests and an informal consultation occurred with a representative of the Planning and Conservation League to identify any known, active stakeholders. However, no specific entity or individual was identified by those contacted. A general perception was that this community would desire engagement and would designate representatives if the GSP development was thought to potentially impact existing restoration or other environmental concerns but the formation of GSAs per-se, was of less interest. The next phase of communications should include outreach to organizations such as Audubon, the Nature Conservancy and Ducks Unlimited just to ensure due diligence. These connections will be important going forward, particularly if environmental issues are identified.
- *Regarding Industrial Users* – The region includes some industrial water users. This sector has a relatively lower percent of water use compared to other subbasins users; however, representatives of the sector pointed out how essential access to water was to their industry. The interviewees also emphasized how important these industries were to the local economies. There was a stated concern about representation since there didn't appear to be a direct way to engage, particularly with multiple GSAs being formed.





- *Regarding Counties & Planning Agencies* – All of the subbasin counties have designated representatives and all are assisting with GSA coverage for areas not otherwise covered by a GSA. All of the city and county representatives had direct engagement with the planning arms of their jurisdictions, or were staff to the planning departments. These representatives, like the municipal representatives, viewed this as critical issue even as it creates new workload for the already busy entities.

### 3.5.5. Communications and Facilitation Preferences

Participants were asked to describe their communications preferences. Several offered specific suggestions on written materials. Most did not believe there would be a need for a high frequency of communications directly with non-GSA stakeholders.

Several suggested using regularly scheduled activities of existing groups and gatherings to share information rather than creating stand-alone events. They listed annual meetings of the water agencies as one good venue as well as meetings related to the IRWM and Irrigated Lands. Several also thought that it would be good to go to places like Farmers Markets, particularly for the disadvantaged communities, and County Fairs.

Farm Bureau representatives also indicated a willingness to support outreach efforts. The Merced Farm Bureau, in particular, has already helped to advertise public meetings related to GSA formations.

Related to facilitation there was not a broad exposure to professional facilitators among many of the stakeholders. Even so, participants consistently listed qualities such as fairness and transparency, a good understanding of the issues, and confidence as helpful facilitator strengths. There was a sense that the GSAs would not need hand holding but that facilitation could be useful for helping the stakeholders forge decisions and making what many believed would need to be compromises.

### 3.5.6. Success Factors, Barriers to Success

The participants were asked to describe their view on the odds for success as well as any barriers that would prevent successful completion of a GSP.

Overall, most participants expressed a medium to high likelihood for success. They noted that the carrot (grants and technical support) and stick (significant regulatory intervention) by the State creates a dynamic that is supportive to success.

Participants stated barriers related to the capacity of the GSAs to participate and ultimately agree to, and implement changes. The much diffused governance structure of multiple GSAs amplifies this dilemma as do actions beyond the control of the subbasin entities (such as climate and water deliveries).

In addition to perceived barriers, participants outlined their thoughts on opportunities and success strategies.

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- *Drought* – While the drought was unwelcome it increased awareness of the need for changes. Many felt it would be easier to move forward while the topic is prominent in everyone’s minds.
- *Short and Long Game* – Several suggested it will be important to have a plan that includes long and short term strategies and activities.
- *Integrated Planning* – Many of the participants emphasized the importance of integrated planning.

### 3.5.7. Other Comments and Advice

Many participants expressed appreciation for being contacted and invited the facilitator to contact them again if there were questions.

## 3.6. ***Promising messages and methods***

Three primary communications strategies have already been identified for the GSP(s) development:

- Leveraging the activities of existing groups
- Providing targeted, communications and outreach to opinion leaders in key stakeholder segments
- Providing user friendly information and intermittent opportunities for a broader range of stakeholders

The same strategies aligned with the recommendations of the SA participants. These methods will allow stakeholders to engage commensurate with their degree of interest while providing sufficient information to ensure long-term success for plan development and implementation.

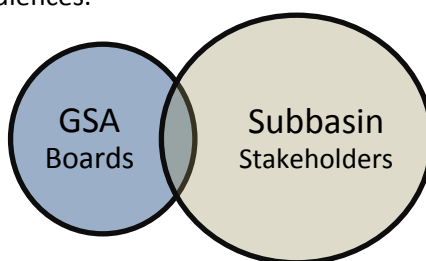


## AUDIENCES AND MESSAGES

GSA formation and GSP(s) development, like most large planning efforts, consists of a broad range of stakeholders with differing interests and influence.

### 4.1. Two Core Audience Segments

This Coms Plan Anticipates two core audience segments. First is the subbasin GSA Boards and the communications among and between themselves. This audience segment is significant in size given that 23 GSAs will be working to develop a GSP(s) and each GSA has its own Board and audiences.



**Figure 9. Two Core Audience Segments**

The second audience is the subbasin stakeholders as identified in SGMA. This audience is also large. Many of the stakeholders are shared by the GSA Boards and some of the larger stakeholder segments are also represented on the GSA Boards (see **Figure 9**).

Nearly all of the communications strategies apply to both segments; however, some strategies apply to one or the other specifically and are so identified.

### 4.2. Communications and Change Management

The process of adopting and implementing a GSP will require significant change management. Communications planning should encompass basic change management approaches. Messages should also evolve over time and be tied to the planning process and key decision points. Then, for each audience and each major planning step, communications must do the following:

1. Describe what the actual proposed plan (change) is
2. Articulate how the change will directly impact the category of stakeholder involved
3. Outline the methods that will be used to implement the plan (change)
4. Define the costs and benefits of changing and not changing, and what future conditions will be if change does not occur
5. Consider unintended consequences and others that may also be impacted by the same change then develop a strategy to engage them
6. Offer opportunities for input and for stakeholders and others to improve the approach

The communications requirements for large changes are often underestimated. Some experts indicate that messages may need to be delivered up to 8 different times to be fully absorbed. Communications needs will also evolve as the GSP planning progresses. **Table 4** provides a sample of early communications that focus on SGMA and groundwater basics.

**Table 4. Sample – Early Phase Message Elements for Subbasin Stakeholders**

| Element                     | What the Change Is   | How it will affect the Stakeholder   | How the change will be Implemented   | Why it is a good idea  |
|-----------------------------|--|--|--|--|
| Early Phase GSP Development | <ul style="list-style-type: none"> <li>• Locally governed GSAs will work together to sustainably manage ground water.</li> <li>• The Subbasin /Basin is required to ensure Sustainable Groundwater Management by submitting a sustainability plan by 2020.</li> <li>• The plan must be implemented and found to result in sustainable management by 2040.</li> </ul> | <p>(Unique to audience type)</p> <ul style="list-style-type: none"> <li>• Changes in the current methods of acquiring and utilizing groundwater may occur.</li> <li>• May affect future decisions related to crop types and decisions related to conjunctively using surface water.</li> <li>• May provide additional project resources to the DAC communities.</li> </ul> | <p>A collaborative approach is being undertaken to prepare the plan with multiple GSAs coordinating with the SLDMWA as the planning organizer.</p> | <ul style="list-style-type: none"> <li>• Sustainable and wise use of groundwater allows for the success of future generations and creates greater certainty for today’s beneficial users.</li> <li>• Failure to act may result in negative regulatory consequences.</li> </ul> |

As part of the GSP planning process, the next phase of communications will also need to communicate the requirements for sustainability and how they are achieved in the context of the Delta-Mendota subbasin. Then, communications related to GSP specifics and adoption will require additional outreach, targeted to specific audiences.

**4.3. Tied to Decision Making**

Communications should also be tightly linked to decision making. For each anticipated decision, stakeholders for that decision should be identified and the following addressed.

1. Who (Is the stakeholder)
  - a. An impacted party?
  - b. A potential planning partner?
  - c. A potential provider of services or resources?
  - d. A regulator of the activity?

(Note: Maybe more than one category.)

2. What (What is the interest of the stakeholder? How will the stakeholder be affected? What are the stakeholders' needs?)
3. Who (Who is the right messenger for the information)
4. How (How should the information be delivered? What are the best methods?)
5. When (What is the appropriate timing for the messages?)
6. Engagement and Knowledge Transfer (How do we create two-way communications?)

Table 5 illustrates some of these ideas.

**Table 5. Communications Planning Questions**

| Who  | Interest   | Messenger  | Delivery  | Timing   | Knowledge Transfer  |
|--|--|--|---|--|---|
| <ul style="list-style-type: none"> <li>• Impacted</li> <li>• Partner</li> <li>• Provider</li> <li>• Regulator</li> </ul> | <ul style="list-style-type: none"> <li>• How will decision affect?</li> <li>• What will stakeholder need?</li> </ul> | <ul style="list-style-type: none"> <li>• Who is a trusted information Source?</li> <li>• How do we ID and Partner</li> </ul> | <ul style="list-style-type: none"> <li>• What are the best delivery methods?</li> </ul> | <ul style="list-style-type: none"> <li>• When should we conduct outreach?</li> </ul> | <ul style="list-style-type: none"> <li>• What do the stakeholders know that we need to know?</li> </ul> |

#### **4.4. GSA Boards**

Due to the multiple subbasin GSAs, specific focus is needed on communications to keep them informed, provide consistent updates and information that the Boards can use in their own outreach, and support their decision making. Primary objectives for communications with the subbasin GSA Boards are to ensure:

- Consistent understanding of the requirements for a GSP and/or GSP coordination
- On-going access to current information
- Timely notice of any significant developments or decision points that may require changes to policies and/or require some other board action
- Confidence that the GSP(s) will be accepted by the GSA's stakeholders

Key communications activities involving the Board include;

1. Providing short and digestible pieces of information to ensure each Board member can quickly articulate to his/her constituents on key matters and remain sufficiently informed so that no decision points are surprises.
2. Provide user-friendly informational materials to be used with public audiences, and will support the Board with their own constituent outreach.
3. Utilize regular Board communications for routine updates and reserve specific Board agenda items for highly significant discussion items.

#### **4.5. Primary Audiences**

There are several core stakeholder groups that will require ongoing communications and tailored messaging throughout the planning process. They are:

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- Agriculture
- Disadvantaged Communities
- Municipals

Other stakeholders requiring special consideration include:

- Industrial Users/ Business
- Regulators (State and Federal)
- Potential Partners
- Environmental Organizations
- Federal Agencies

While all of the stakeholder types are important to engage for development of a GSP, the first three will be most affected by any changes that might be proposed as a result of the *GSP(s)*.

The following provides an outline of key messages and activities in support of each of the audience types.

### 4.2.1. Agricultural

Messages about the GSP(s) development should feature the overall desirability of a sustainable management approach how the plan will contribute to management certainty and protect against regulatory oversight.

In thinking about irrigation users it is also important to remember that one size does not fit all.

### 4.2.2. Disadvantaged Communities

Messages developed for this sector should be tailored and specific to the community. This type of outreach is often best served by use of surrogates and trusted messengers. As identified in the SA, these messages should be aligned with activities of the IRWM, especially given the high, current dependence of many on unsustainable water sources. Messages about ways to access the increased availability of resources due to grant incentives should also be considered.

A specific outreach method to consider relates to the predominance of cells phones within the communities. According to the Pew Research Center, “over 50 percent of low-income households own a smartphone. Smartphone penetration in this demographic creates substantial opportunities for utilities to reach disadvantaged communities with software solutions like customer self-service platforms and targeted digital communications.”<sup>12</sup>

### 4.2.3. Municipals

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<sup>12</sup> Secondary Source: Water Smart. <https://www.watersmart.com/rethinking-disadvantaged-community-engagement/> (accessed June 1, 2017)

Some care will be needed to address tensions related to the relative percentages of use by Municipal agencies and what constitutes highest and best beneficial uses within an agricultural region. A promising interaction with this community would involve collaboration on messaging to achieve mutually beneficial goals.

Some thought it might be possible for the municipal agencies to provide in-kind support to the GSP development process through support for project websites and mailing lists, production of meeting notices, assistance to the planning process from in-house public information professionals and offering access to physical meeting spaces.

Municipals may need assistance in making the case for the need to think at a Basin scale rather than more local terms.

#### 4.2.4. Business and Industry Interests

Business and industry interests seek assurances about the availability of water for operations and the viability of the farming industry in the region. Messages for these audiences should focus on how the GSP(s) development will contribute to sustainability and how these audiences can participate in discussion specific to their interests.

#### 4.2.5. Regional/Statewide Interests and Regulators

Some degree of uncertainty remains in the overall legal, legislative and regulatory environment as it relates to SGMA implementation.

It is in the interest of the subbasin stakeholders to engage state and federal agencies and regulators throughout the process. These parties may have resources to assist the subbasin and a cooperative attitude will build good will in the event that adjustments are needed to achieve SGMA compliance.

#### 4.2.6. Potential Agency Partners

A variety of collaborations to achieve GSP(s) development goals may be possible. The GSAs should consider the potential for collaboration with non-GSA members and inter-basin (adjacent subbasin) partners, as part of plan deliberations.

#### 4.2.7. GSP Coordinators Planning Forum

A planning forum for subbasin GSP coordinators should be established to further inform a coordination strategy. This forum would include agency representatives as well as the consultant teams and be used for the sole purpose of coordination and mutual support. It is anticipated that this body might meet on a quarterly or as needed basis. This forum would also provide a central point of contact for adjacent subbasin coordinators.

#### 4.2.8. Environmental Community

As noted in the SA, this community will be interested in a GSP features. The focus of messaging for this group being on how the GSP(s) development will contribute to a sustainable regional water portfolio. Special effort should be made to identify specific

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topics of interest. For example, as part of GSP development, a list of groundwater dependent species may be created, or impacts to wetlands may be identified. These types of lists would highlight where input from the environmental community might be needed.

### 4.2.9. Federal Government

Federal representatives interviewed for the assessment asked to be kept informed of subbasin SGMA activities. These agencies have a direct interest in surface water integration as well as SGMA activities that could impact wetlands restoration efforts or groundwater dependent ecosystems and species.

## RISK MANAGEMENT

**Risk management** is the identification, assessment, and prioritization of risks (defined as *the effect of uncertainty on achieving objectives*) followed by coordinated, efficient and economical strategies and actions to minimize, monitor, and control the probability and/or impact of negative events. Strategies and actions may also be used to avert risk by leveraging strengths and opportunities.

Risks can come from uncertainty in economic factors, threats from project failures (at any phase), regulatory and legal uncertainties, natural causes and disasters (drought, flood, etc.), as well as dissention from adversaries, or events of uncertain or unpredictable circumstances. Several risk management standards have been developed. This analysis utilizes those from the Project Management Institute.

**Table 6** outlines standardized risk categories and translates them to outreach risks.

**Table 6. Risk Factors**

| RISK CATEGORY                      | Outreach RISK FACTORS  |
|------------------------------------|--|
| Technical, quality, or performance | <ul style="list-style-type: none"> <li>• Realistic performance goals, scope and objectives</li> </ul>  |
| Project management                 | <ul style="list-style-type: none"> <li>• Quality of outreach design</li> <li>• Outreach deployment and change management</li> <li>• Appropriate allocation of time and resources</li> <li>• Adequate support for Outreach in project management plans</li> </ul> |
| Organizational / Internal          | <ul style="list-style-type: none"> <li>• Executive Sponsorship</li> <li>• Proper prioritization of efforts</li> <li>• Conflicts with other functions</li> <li>• Distribution of workload between organizational and consultant teams</li> </ul>                  |
| Historical                         | <ul style="list-style-type: none"> <li>• Past experiences with similar projects</li> <li>• Organizational relations with stakeholders</li> <li>• Policy and data adequacy</li> <li>• Media and stakeholder fatigue*</li> </ul>                                   |
| External                           | <ul style="list-style-type: none"> <li>• Legal and regulatory environment</li> <li>• Changing priorities</li> <li>• Risks related to political dynamics</li> </ul>   |

### **5.1. Technical, quality, or performance**

The subbasin is fortunate to have a high level of water knowledge and skilled personnel available to assist with GSP planning. In general, stakeholder expectations for outreach and performance goals, scope and objectives are attainable. The larger concern in this category is properly communicating the scope of the GSP(s) development and the need for extensive coordination and outreach among a number of parties. Communication of SGMA



requirements for outreach as a planning requirement should be an ongoing consideration and appears to be underestimated in emphasis.

## **5.2. *Project management***

A number of positive project management factors are present for the GSP(s) development outreach. Project managers view outreach as an important planning element. The outreach design is based on best management practices and industry standards. It is not overly complicated and with technical services support from DWR and other sources, sufficient resources should be available to properly execute it. Procedures and practices are already in place that can be leveraged to achieve communication goals.

The primary concern in this category relates to GSP coordination. This type of outreach will require additional assessment as the individual GSAs will determine their own protocols for representation.

## **5.3. *Organizational / Internal***

Conflicts with other GSA member functions and/or conflicts with outreach activities by efforts that include the same stakeholders (e.g. Irrigated Lands, IRWM, and CV-Salts) should be monitored.

One additional consideration will be the distribution of workload between GSA, organizational and consultant teams. Clear roles and responsibilities must be defined and continuous interaction in place to ensure successful execution.

The GSP(s) development process will also need identified, high level spokespersons or champions. These individuals should be able to discuss subbasin planning with the media, in discussions with regulators and potentially at professional conferences.

## **5.4. *External***

The legal and regulatory environment of the GSP(s) development process is complex and evolving. Ongoing issues with surface water deliveries and changing agricultural market conditions are outside of the control of the parties. It will be important for mechanisms to be in place that allow for relatively rapid responses to changing conditions.

## **5.5. *Historical***

The primary stakeholders in this process generally view interactions and meetings as productive. There is a history of cooperation and a willingness to work together to save costs and achieve better outcomes.

## TACTICAL APPROACHES

Following are specific tactical approaches that may be utilized to deliver the activities, messages, and recommendations of the previous chapters. These approaches are based on best communication practices and grounded in the public participation philosophy of the International Association for Public Participation, Public Participation Spectrum as illustrated in **Table 7**.

The Spectrum represents a philosophy that outreach should match the desired level of input from both the stakeholder and the organizational entity.

**Table 7. IAP2 Public Participation Spectrum**

### IAP2 Public Participation Spectrum

Developed by the International Association for Public Participation

| INCREASING LEVEL OF PUBLIC IMPACT  |  |  |  |  |
|--|--|--|--|--|
| INFORM   | CONSULT  | INVOLVE  | COLLABORATE  | EMPOWER  |
| <b>Public Participation Goal:</b>  | <b>Public Participation Goal:</b>  | <b>Public Participation Goal:</b>  | <b>Public Participation Goal:</b>  | <b>Public Participation Goal:</b>  |
| To provide the public with balanced and objective information to assist them in understanding the problems, alternatives and/or solutions. | To obtain public feedback on analysis, alternatives and/or decisions.  | To work directly with the public throughout the process to ensure that public issues and concerns are consistently understood and considered.  | To partner with the public in each aspect of the decision including the development of alternatives and the identification of the preferred solution.                            | To place final decision-making in the hands of the public.   |
| <b>Promise to the Public:</b>  | <b>Promise to the Public:</b>  | <b>Promise to the Public:</b>  | <b>Promise to the Public:</b>  | <b>Promise to the Public:</b>  |
| We will keep You informed.   | We will keep you informed, listen to and acknowledge concerns and provide feedback on how public input influenced the decision.          | We will work with you to ensure that your concerns and issues are directly reflected in the alternatives developed and provide feedback on how public input influenced the decision. | We will look to you for direct advice and innovation in formulating solutions and incorporate your advice and recommendations into the decisions to the maximum extent possible. | We will implement what you decide.   |
| <b>Example Tools:</b>  | <b>Example Tools:</b>  | <b>Example Tools:</b>  | <b>Example Tools:</b>  | <b>Example Tools:</b>  |
| <ul style="list-style-type: none"> <li>● Fact sheets</li> <li>● Web Sites</li> <li>● Open houses</li> </ul>                                | <ul style="list-style-type: none"> <li>● Public comment</li> <li>● Focus groups</li> <li>● Surveys</li> <li>● Public meetings</li> </ul> | <ul style="list-style-type: none"> <li>● Workshops</li> <li>● Deliberate polling</li> </ul>  | <ul style="list-style-type: none"> <li>● Citizen Advisory Committees</li> <li>● Consensus-building</li> <li>● Participatory decision-making</li> </ul>                           | <ul style="list-style-type: none"> <li>● Citizen juries</li> <li>● Ballots</li> <li>● Delegated decisions</li> </ul> |

Based on the assessment findings for the GSP(s) development, most stakeholders would simply like to be INFORMED unless there is a potential for significant changes that may include that stakeholder. Tactics for this group will include fact sheets, websites, open houses, briefings, and informational items placed in publications they already read.

The next largest group of stakeholders, primarily groundwater pumpers and disadvantaged communities, wish to be CONSULTED. This group will have access to all the materials

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prepared as part of the informational phase. In addition they should be invited to provide comments on written materials and planning concepts and participate in focused workshops and/or briefings. They should also be invited to attend larger public meetings.

The development of some GSP features may require a higher degree of INVOLVEMENT. This would focus on engagement of a subset of stakeholders that may experience significant impacts associated with SGMA.

COLLABORATION opportunities have also been identified; however, they are of a different character than defined in the Spectrum. Collaboration in this GSP(s) development process will focus on working with partners that have mutual goals to achieve those goals together. This will more resemble a partnership than a public engagement activity.

### **6.1. Communications Coordination.**

**Each GSA** is required to perform legally mandated outreach activities and the GSP submission guidelines require a minimum level of engagement.

The subbasin GSAs should coordinate outreach activities even if there is a decision to move forward with multiple GSPs. In addition to efficiency and cost savings (the GSAs can share resources) this strategy will allow for consistency in messaging and reduce confusion for stakeholders that may not know what GSA jurisdiction they are in, and/or are in multiple GSA jurisdictions. Following are suggested options for communications coordination.

1. Website
2. Meeting calendar
3. Branded informational Flyers, Templates, PowerPoint Presentations, etc.
4. Periodic newsletter
5. GSP related mailing lists
6. Descriptions of interested parties
7. Issues and interest statements for legally mandatory interested parties
8. Public workshops
9. Message calendar
10. Press releases and guest editorials
11. Speakers Bureau
12. Existing group venues
13. Outreach documentation

### **6.2. Tactics**

#### 6.2.1. Website

As part of the communications plan development, a list of website concepts and draft website content was prepared. The following describes the proposed approach:

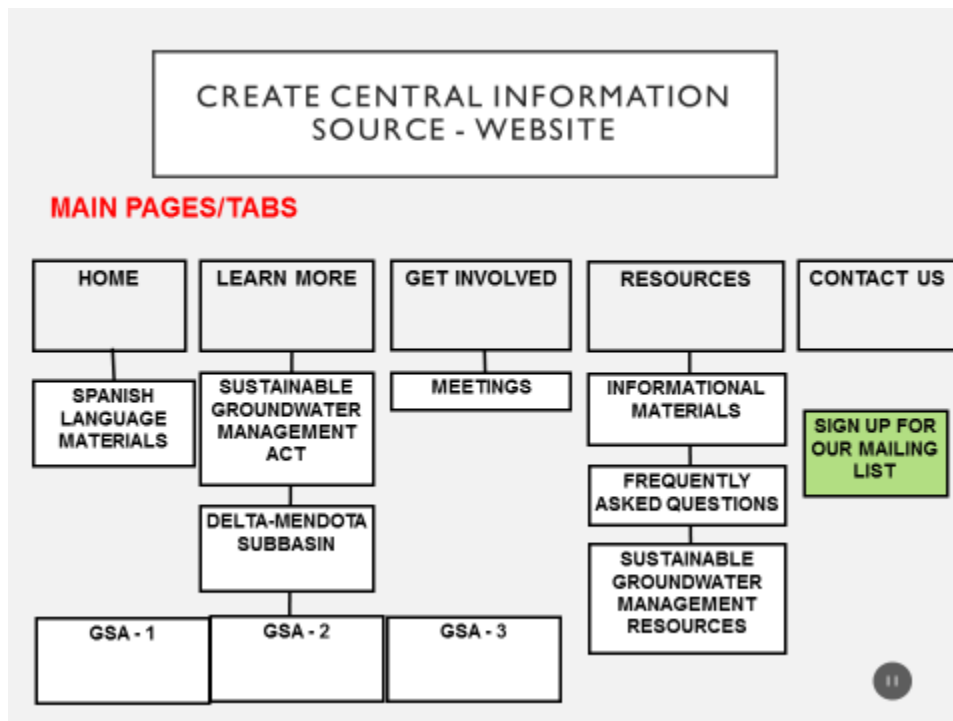


- a. Centralized – Establish a centralized website for the entire subbasin.
- b. Individual GSAs – Posting of material to a website is part of the SGMA requirements. Those GSAs with their own webpages can link to and from the centralized site if they wish to provide their own customized information. For those GSAs without their own website, courtesy pages would be provided as an added feature of the main site. The courtesy pages would all use a single template with the same information to facilitate easy management and updates. Individual GSAs choosing to take advantage of the courtesy pages would be responsible for ensuring that information is current. The page should include a “Last Updated” box to indicate the timeliness of the information.
- c. **Basic features** – A basic website framework has already been developed along with introductory information that has prepopulated each page.

**Figure 10** illustrates the basic content of the site and includes:

1. Background information
2. Information about getting involved, including meeting information
3. A separate link for Spanish Language materials
4. Frequently asked questions
5. Links to GSAs
6. Contact information

Should a GSA decide to not participate in the Central website, a similar structure could be utilized.



**Figure 10. Website Structure**

### 6.2.2. Meeting Calendar

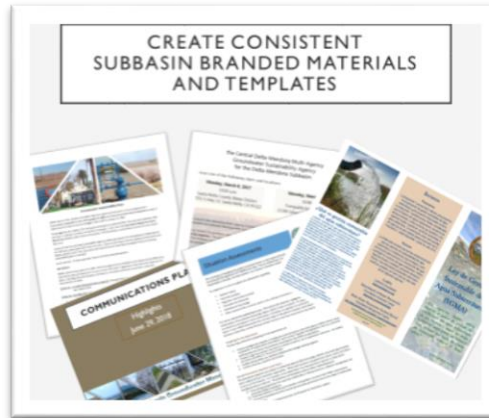
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A shared meeting calendar will provide a one-stop shop for stakeholders and assist in preventing meeting conflicts while creating more potential for shared activities. This calendar should include current and scheduled meetings and workshops as well as serve as the repository for agendas and meeting notes, along with copies of meeting materials and presentation.

An integrated project calendar should also be developed that links planning project milestones with communications milestones.

### 6.2.3. Branded Informational Flyers, Templates, PowerPoint Presentations, etc.

Subbasin level materials should have a single look and feel to create on-going consistency and visual recognition by stakeholders. Use of templates, shared presentations and flyers will create efficiencies and reinforce messaging. This communications plan incorporates some of this type of branding.



### 6.2.4. Periodic Newsletter

The need for regular communications cannot be overstated. One option is production of a periodic newsletter. Given the relatively short GSP(s) development process timeframe and the GSP development requirements for periodic outreach to identified stakeholders, a quarterly schedule would be realistic and achieve compliance with SGMA requirements for periodic updates to stakeholders. The newsletter should be designed so that individual GSAs can add tailored information if they choose to. For Portable Document Format (PDF) versions of the newsletter, a GSA could add a simple one or two page insert and the edition could be used as a handout or mailer. For a professional looking, email version of the newsletter, we recommend free or low cost services such as Mail Chimp or Constant Comment, which can be integrated with mailing lists.

Adding GSA specific information to an email newsletter can be done with web-links in the email to the very same PDF page prepared for the hardcopy mailer. An alternative is emailing the entire newsletter PDF as an attachment (although this format is less likely to be read than the mailer services).

### 6.2.5. GSP related mailing lists

Each GSA is required to develop notification lists. A central list may be utilized for GSP(s) related notifications.

### 6.2.6. Descriptions of Interested Parties

Each GSA is required to develop descriptions of interested parties. These lists should be updated and merged for use in the GSP(s) submittal(s). These can also be provided as background information on the website as part of constructing an administrative record. The SA in Chapter 4 provides an initial start for this documentation.

#### 6.2.7. Issues and Interest Statements for Legally Mandatory Interested Parties

A GSP submission must include a statement of interests for listed stakeholders. As suggested earlier, this can also be included on the website.

#### 6.2.8. Coordinated Public Workshops

SGMA requires a series of public hearings and some public workshops. Such workshops should be coordinated with other subbasin entities.

During the GSA formation process the County of Merced and a forming GSA body conducted a joint workshop to explain more about SGMA and the proposed GSA formation. Distribution of meeting flyers and notices was done concurrently, and DWR attended the event to answer questions. The GSP development process will offer similar opportunities, not only within the subbasin, but with adjacent subbasins.

#### 6.2.9. Message Calendar

Basic messages should be associated with the planning schedule and each stage of GSP(s) development and serve as the theme for the communications materials being generated. For example, during the GSA formation period there was a need to communicate the basics of SGMA and groundwater management. During the GSP(s) initiation phase messages should focus on the basics of groundwater sustainability and the current state of the subbasin. As the GSP(s) begins to take form the specifics of the GSP(s) and what it means for each stakeholder would be the focus.



#### 6.2.10. Press Releases and Guest Editorials

At some point in the GSP development and implementation process, it is likely that stakeholders will be asked to make changes and/or financially support a sustainability effort. It will be more productive for the GSAs and their GSP collaboration partners to frame discussions about these changes than to have others, perhaps with less knowledge, do so on their behalf. For that reason there is a need for press releases and/or guest editorials to offer the media and stakeholders accurate information offered in the context of SGMA. This type of outreach should be closely coordinated as consistency in messages is critical to stakeholder acceptance.

## Chapter 6

### 6.2.11. Speakers Bureau

Efforts should be made to conduct outreach at events and meetings that already occur (e.g. Farm Bureau meetings, Rotary Club, etc.). A list of knowledgeable presenters should be developed in the event an organization or other entity would like a presentation. Speakers Bureau engagements should be recorded on the planning project meeting calendar.

### 6.2.12. Existing Group Venues

Fully leverage the activities of existing groups.

- Maintain a roster of existing groups and typical meeting schedules with a nexus to GSP(s) development. Add the dates to the messaging calendar.
- The list of audiences, messages and existing groups should be referenced when there is a need to deploy information.
- Conduct informal outreach with the leaders of such groups to determine the best way to interact.
- Determine what communications channels these groups are using and equally leverage these, for example by placement of articles in newsletters.

### 6.2.13. Outreach Documentation

A central point of contact should be identified on the website and an outreach statistics inventory should be established that identifies dates, times, audiences and attendance. This information will be also be useful in conducting follow up with stakeholders as well as documenting outreach as part of GSP submittal guidelines.

## **6.3. Procedural and Legally Mandated Outreach**

A discussion of SGMA outreach requirements was provided in Chapter 1 and a full list of requirements is contained in Appendix 1. One major feature of the requirements is a submission to DWR of the opportunities that interested parties will be given to participate in the GSP deliberations. The Situation Assessment provides an initial description that can be added to with additional outreach.

Following are the Required Interested Parties for the purpose of mandated outreach:

**Table 9** provides a list of the mandated outreach and the timeframe in which is required.

**Table 8. Mandated Outreach**

| Timeframe                            | Item  |
|--------------------------------------|---|
| Prior to initiating plan development | 1. Statement of how interested parties may contact the Agency and participate in development and implementation of the plan submitted to DWR. |



| Timeframe                                     | Item  |
|---|---|
|   | 2. Web posting of same information.   |
| Prior to plan development                     | <ol style="list-style-type: none"> <li>1. Must establish and maintain an interested persons list.</li> <li>2. Must prepare a written statement describing the manner in which interested parties may participate in GSP development and implementation. Statement must be provided to: <ol style="list-style-type: none"> <li>a. Legislative body of any city and/or county within the geographic area of the plan</li> <li>b. Public Utilities Commission if the geographic area includes a regulated public water system regulated by that Commission</li> <li>c. DWR</li> <li>d. Interested parties (see Section 10927)</li> <li>e. The public</li> </ol> </li> </ol>                              |
| Prior to and with GSP submission              | <ol style="list-style-type: none"> <li>1. Statements of issues and interests of beneficial users of basin groundwater, including types of parties representing the interests and consultation process</li> <li>2. Lists of public meetings</li> <li>3. Inventory of comments and summary of responses</li> <li>4. Communication section in plan that includes: <ul style="list-style-type: none"> <li>• Agency decision making process</li> <li>• ID of public engagement opportunities and response process</li> <li>• Description of process for inclusion</li> <li>• Method for public information related to progress in implementing the plan (status, projects, actions)</li> </ul> </li> </ol> |
| 90 days prior to GSP Adoption Hearing         | 1. Prior to Public Hearing for adoption or amendment of the GSP, the GSP entities must notify cities and/or counties of geographic area 90 days in advance.   |
| 90 days or less prior to GSP Adoption Hearing | <ol style="list-style-type: none"> <li>2. Prior to Public Hearing for adoption or amendment of the GSP, the GSP entities must: <ol style="list-style-type: none"> <li>a. Consider and review comments</li> <li>b. Conduct consultation within 30 days of receipt with cities or counties so requesting</li> </ol> </li> </ol>   |
| GSP Adoption or Amendment                     | 1. GSP must be adopted or amended at Public Hearing.  |
| 60 days after plan submission                 | 1. 60-day comment period for plans under submission to DWR. Comments will be used to evaluate the submission.   |
| Prior to adoption of fees                     | <ol style="list-style-type: none"> <li>1. Public meeting required prior to adoption of, or increase to fees. Oral or written presentations may be made as part of the meeting.</li> <li>2. Public notice shall include: <ol style="list-style-type: none"> <li>a. Time and place of meeting</li> <li>b. General explanation of matter to be considered</li> </ol> </li> </ol>   |

| Timeframe  | Item  |
|--|---|
|  | <ul style="list-style-type: none"> <li>c. Statement of availability for data required to initiate or amend such fees</li> <li>d. Public posting on Agency Website and provision by mail to interested parties of supporting data (at least 20 days in advance)</li> <li>3. Mailing lists for interested parties are valid for 1 year from date of request and may be renewed by written request of the parties on or before April 1 of each year.</li> <li>4. Includes procedural requirements per Government Code, Section 6066.</li> </ul>                    |
| <p>Prior to conducting a fee adoption hearing.</p> | <ul style="list-style-type: none"> <li>1. Must publish notices in a newspaper of general circulation as prescribed.</li> <li>2. Publication shall be once a week for two successive weeks. Two publications in a newspaper published once a week or oftener, with at least five days intervening between the respective publication dates not counting such publication dates, are sufficient.</li> <li>3. The period of notice begins the first day of publication and terminates at the end of the fourteenth day, (which includes the first day.)</li> </ul> |

**6.4. Items for Future Consideration**

This GSP(s) Coms Plan outlines an outreach effort based on project and stakeholder needs and preferences. This document has been prepared as a working draft living document and should be updated as new information and the GSP(s) development process needs are developed.

## MEASUREMENTS & EVALUATION

A guiding principle for evaluation and measurement of the Coms Plan's success is to provide regular, unbiased reporting of progress toward achieving goals. Success may be evaluated in several ways, including process measures, outcome measures, and an annual evaluation of accomplishments. Optional evaluation measures are described below.

As part of each outreach effort debrief the following process and outcome measures will be discussed and recorded in a check sheet. The check sheets will be prepared with the goal of continuous improvement rather than criticisms.

### **7.2. Process Measures**

Process measures track progress toward meeting the goals of the Coms Plan. These include:

- Level of attendance at outreach meetings
- Shared understanding of the overarching aims, activities, and opportunities presented by different planning approaches and project activities
- Productive dialogue among participants at meetings and events
- Sense of authentic engagement; people understand why they have been asked to participate, and feel that they can contribute meaningfully
- Timely and accurate public reporting of planning milestones
- Feedback from Coordinating Body and GSA members, regulators, stakeholders, and interested parties about the quality and availability of information materials
- Level of stakeholder interest in the GSP(s) development process information

### **7.3. Outcome Measures**

Outcome measures track the level of success of the Coms Plan in meeting its overall goals. Some outcome measures considered for the GSP(s) development process include the following:

- Consistent participation by key stakeholders and interested parties in essential activities. Participants should have no difficulty locating the meetings, and should be informed as to when and where they will be held.
- Response from meeting participants that the engagement methods provided for a fair and balanced exchange of information.
- Feedback from interested parties that they understand how their input is used, where to track data, and what results to expect.
- The project receives quality media coverage that is accurate, complete and fair.

#### ***7.4. Mid-cycle Evaluation of Accomplishments***

A mid-cycle evaluation provides an opportunity to examine the current effectiveness of the Coms Plan and provides a chance to reevaluate strategies to meet the GSP(s) development process objectives. The evaluation tasks may include:

- Preparation of an executive-level summary detailing high-level initiatives and accomplishments of the previous cycle. This evaluation should also include positive news, best practices, goals and objectives, notable changes, timelines, and priorities.
- Identifying gaps and areas for improvement.
- Highlighting how gaps and areas for improvement in the cycle has been addressed.
- Outlining process and outcome measures and their current results.

## ROLES AND RESPONSIBILITIES

The GSP(s) development Coms Plan outlines numerous strategies, activities and tactics. While none are highly complex, there is a requirement for coordination and clarity regarding who will be responsible for executing the tasks.

After the planning team evaluates the timelines and priorities for each of the communications activities a recommended next step is completion of a Responsible, Accountable, Consulted, and Informed (RACI) Chart. This Chart, as displayed in **Table 10**, outlines key tasks and the assignment of roles and responsibilities for accomplishing them.

**Table 9. Sample RACI Chart**

| Activity TYPE  | SPECIFIC PRODUCT                  | RESPONSIBLE         | ACCOUNTABLE | CONSULTED                        | INFORMED     |
|--|-----------------------------------|---------------------|-------------|----------------------------------|--------------|
| Internal Staff Communications, information materials for/briefings | Draft                             | Person A            | Person E    | Person I                         |              |
|  | Final Draft                       | Person A            | Person E    | Person I                         | Project Team |
| List Serves, mailing lists   | Customer Contacts                 | Person B - Person A | Person E    | Person I                         | Project Team |
|  | Concurrent jurisdictions          | Lisa Beutler/MWH    | Person G    | Person I                         | Project Team |
|  | Other - identified stakeholders   | Person A            | Person G    | Person I                         | Project Team |
| Web Content and Maintenance  | Draft Content and Content Refresh | Lisa Beutler/MWH/   | Person G    | Person H                         | Project Team |
|  | Site Administration               | Person A            | Person G    | Person H                         |              |
| General public Intro Packets, Fact Sheets and Brochures            | Draft                             | Person D            | Person E    | Person I- Subject Matter Experts | Person J     |
|  | Revised Draft                     | Person D            | Person E    | Person I- Subject Matter Experts | Person J     |
|  | Final Draft                       | Person D            | Person E    | Person I- Subject Matter Experts | Project Team |
| Newsletter Content   | Draft                             | Lisa Beutler/MWH    | Person E    | Person I- Subject Matter Experts | Person J     |
|  | Revised Draft                     | Person D            | Person E    | Person I- Subject Matter Experts | Person J     |
|  | Final Draft                       | Person D            | Person E    | Person I- Subject Matter Experts | Project Team |

### *Responsible*

Those who do the work to achieve the task. There is at least one person with a role of *responsible*, although others can be delegated to assist in the work required.

### *Accountable (also approver or final approving authority)*

This is the person ultimately answerable for the correct and thorough completion of the deliverable or task, and the one who delegates the work to those responsible. There **may only** be only one *accountable* specified for each task or deliverable.

*Consulted*

Those whose opinions are sought, typically subject matter experts were people that are impacted by the activity; and with whom there is two-way communication.

*Informed*

Those who are kept up-to-date on progress, typically on the launch and completion of the task or deliverable. This is one way communication.

*Role distinction*

There is a distinction between a role and the individual assigned the task. Role is a descriptor of an associated set of tasks that could be performed by just one or many people.

In the case of the RACI Chart, the team may list as many people as is logical except for the Accountable role.

*Scope of Work*

Completion of the RACI Chart will also support development of any future scopes of work for consultant provided communication and outreach services.

## **LIST OF APPENDICES**

**Appendix 1-Public Outreach Requirements under SGMA**

**Appendix 2-Communications Governance**





## Appendix 1. Public Outreach Requirements under SGMA

### GSP Regulations

| CODE   | PUBLIC OUTREACH REQUIREMENT   |
|--|---|
| <p><b>§ 353.6. Initial Notification</b><br/>                     (a) Each Agency shall notify the Department, in writing, prior to initiating development of a Plan. The notification shall provide general information about the Agency’s process for developing the Plan, including the manner in which interested parties may contact the Agency and participate in the development and implementation of the Plan. The Agency shall make the information publicly available by posting relevant information on the Agency’s website.</p>   | <ol style="list-style-type: none"> <li>1. Statement of how interested parties may contact the Agency and participate in development and implementation of the plan submitted to DWR.</li> <li>2. Web posting of same information.</li> </ol> <p><b>Timing:</b> <i>Prior to initiating development of a plan.</i></p>  |
| <p><b>§ 353.8. Comments</b><br/>                     (a) Any person may provide comments to the Department regarding a proposed or adopted Plan.<br/>                     (b) Pursuant to Water Code Section 10733.4, the Department shall establish a comment period of no less than 60 days for an adopted Plan that has been accepted by the Department for evaluation pursuant to Section 355.2.<br/>                     (c) In addition to the comment period required by Water Code Section 10733.4, the Department shall accept comments on an Agency’s decision to develop a Plan as described in Section 353.6, including comments on elements of a proposed Plan under consideration by the Agency.</p>   | <ol style="list-style-type: none"> <li>1. 60-day comment period for plans under submission to DWR. Comments will be used to evaluate the submission.</li> <li>2. Parties may also comment on a GSA’s (or GSAs’) statements submitted under section 353.6</li> </ol> <p><b>Timing:</b> <i>For GSP Submittal - 60 days after submission to DWR</i></p>  |
| <p><b>§ 354.10. Notice and Communication</b><br/>                     Each Plan shall include a summary of information relating to notification and communication by the Agency with other agencies and interested parties including the following:<br/>                     (a) A description of the beneficial uses and users of groundwater in the basin, including the land uses and property interests potentially affected by the use of groundwater in the basin, the types of parties representing those interests, and the nature of consultation with those parties.<br/>                     (b) A list of public meetings at which the Plan was discussed or considered by the Agency.<br/>                     (c) Comments regarding the Plan received by the Agency and a summary of any responses by the Agency.<br/>                     (d) A communication section of the Plan that includes the following:<br/>                     (1) An explanation of the Agency’s decision-making process.<br/>                     (2) Identification of opportunities for public engagement and a discussion of how public input and response will be used.</p> | <ol style="list-style-type: none"> <li>5. Statements of issues and interests of beneficial users of basin groundwater, including types of parties representing the interests and consultation process</li> <li>6. Lists of public meetings</li> <li>7. Inventory of comments and summary of responses</li> <li>8. Communication section in plan that includes:                             <ul style="list-style-type: none"> <li>• Agency decision making process</li> <li>• ID of public engagement opportunities and response process</li> <li>• Description of process for inclusion</li> <li>• Method for public information related to progress in implementing the plan (status, projects, actions)</li> </ul> </li> </ol> <p><b>Timing:</b> <i>For GSP Submittal – with plan</i><br/> <i>For GSP Development – continuous.</i><br/> <i>[Note: activities should be included</i></p> |

| CODE  | PUBLIC OUTREACH REQUIREMENT  |
|---|--|
| <p>(3) A description of how the Agency encourages the active involvement of diverse social, cultural, and economic elements of the population within the basin.</p> <p>(4) The method the Agency shall follow to inform the public about progress implementing the Plan, including the status of projects and actions.</p>  | <p><i>in the project schedule and information posted on web.]</i></p>  |
| <p><b>§ 355.2. (c) Department Review of Adopted Plan</b><br/>           (c) The Department (DWR) shall establish a period of no less than 60 days to receive public comments on the adopted Plan, as described in Section 353.8.</p>  | <p>1. 60 day public review period for public comment on submitted plan.</p> <p><b>Timing:</b> After GSP Submittal to DWR – 60 days</p>   |
| <p><b>§ 355.4. &amp; 355.10 Criteria for Plan Evaluation</b><br/>           The basin shall be sustainably managed within 20 years of the applicable statutory deadline consistent with the objectives of the Act. The Department shall evaluate an adopted Plan for compliance with this requirement as follows:</p> <p>(b) (4) Whether the interests of the beneficial uses and users of groundwater in the basin, and the land uses and property interests potentially affected by the use of groundwater in the basin, have been considered.</p> <p>...</p> <p>(10) Whether the Agency has adequately responded to comments that raise credible technical or policy issues with the Plan.</p> | <p>1. Required public outreach and stakeholder information is submitted, including statement of issues and interests of beneficial users.</p> <p>2. Public and stakeholder comments and questions adequately addressed during planning process.</p> <p><b>Timing:</b> For GSP Submittal – <i>with plan</i><br/>           For resubmittal related to corrective action – <i>with submittal</i></p> |

**California Water Code**

| CODE  | PUBLIC OUTREACH REQUIREMENT  |
|---|--|
| <p><b>10720. This part shall be known, and may be cited, as the “Sustainable Groundwater Management Act.”</b></p> <p><b>10720.3</b></p> <p>(a) This part applies to all groundwater basins in the state.</p> <p>...</p> <p>(c) The federal government or any federally recognized Indian tribe, appreciating the shared interest in assuring the sustainability of groundwater resources, may voluntarily agree to participate in the preparation or administration of a groundwater sustainability plan or groundwater management plan under this part through a joint powers authority or other agreement with local agencies in the basin. A participating tribe shall be eligible to participate fully in planning, financing, and management under this part, including eligibility for grants and technical assistance, if any exercise of regulatory authority, enforcement, or imposition and collection of fees is pursuant to</p> | <p>1. Tribes and the federal government may voluntarily participate in GSA governance and GSP development.</p> <p><b>Timing:</b> <i>Prior to initiating development of a plan.</i></p> |

| CODE  | PUBLIC OUTREACH REQUIREMENT  |
|---|--|
| <p>the tribe’s independent authority and not pursuant to authority granted to a groundwater sustainability agency under this part.</p>  |  |
| <p><b>CHAPTER 4. Establishing Groundwater Sustainability Agencies [10723 - 10724]</b></p>   |  |
| <p><b>10723.</b><br/>                     a) Except as provided in subdivision (c), any local agency or combination of local agencies overlying a groundwater basin may decide to become a groundwater sustainability agency for that basin.<br/>                     (b) Before deciding to become a groundwater sustainability agency, and after publication of notice pursuant to Section 6066 of the Government Code, the local agency or agencies shall hold a public hearing in the county or counties overlying the basin.</p>   | <p>1. Must hold public hearing in the county or counties overlying the basin, prior to becoming a GSA<br/><br/> <b>Timing:</b> <i>Prior to becoming a GSA.</i></p>   |
| <p><b>10723.2</b><br/>                     The groundwater sustainability agency shall consider the interests of all beneficial uses and users of groundwater, as well as those responsible for implementing groundwater sustainability plans. These interests include, but are not limited to, all of the following:<br/>                     (a) Holders of overlying groundwater rights, including:<br/>                         (1) Agricultural users.<br/>                         (2) Domestic well owners.<br/>                     (b) Municipal well operators.<br/>                     (c) Public water systems.<br/>                     (d) Local land use planning agencies.<br/>                     (e) Environmental users of groundwater.<br/>                     (f) Surface water users, if there is a hydrologic connection between surface and groundwater bodies.<br/>                     (g) The federal government, including, but not limited to, the military and managers of federal lands.<br/>                     (h) California Native American tribes.<br/>                     (i) Disadvantaged communities, including, but not limited to, those served by private domestic wells or small community water systems.<br/>                     (j) Entities listed in Section 10927 that are monitoring and reporting groundwater elevations in all or a part of a groundwater basin managed by the groundwater sustainability agency.</p> | <p>1. Must consider interest of all beneficial uses and users of groundwater.<br/>                     2. Includes specific stakeholders as listed.<br/><br/> <b>Timing:</b> <i>During development of a GSP.</i></p> |
| <p><b>10723.4.</b><br/>                     The groundwater sustainability agency shall establish and maintain a list of persons interested in receiving notices regarding plan preparation, meeting announcements, and availability of draft plans, maps, and other relevant documents. Any person may request, in writing, to be placed on the list of interested persons.</p>  | <p>3. Must establish and maintain an interested persons list.<br/>                     4. Any person may ask to be added to the list<br/><br/> <b>Timing:</b> <i>On forming a GSA.</i></p>                           |
| <p><b>10723.8.</b><br/>                     (a) Within 30 days of deciding to become or form a groundwater sustainability agency, the local agency or combination of local agencies shall inform the department of its decision and its intent to undertake sustainable groundwater management. The</p>   | <p>1. Creates notification requirements that include:<br/>                         a. A list of interested parties<br/>                         b. An explanation of how interests will be considered</p>            |

| CODE   | PUBLIC OUTREACH REQUIREMENT  |
|--|--|
| <p>notification shall include the following information, as applicable:</p> <p>...</p> <p>(4) A list of interested parties developed pursuant to Section 10723.2 and an explanation of how their interests will be considered in the development and operation of the groundwater sustainability agency and the development and implementation of the agency’s sustainability plan.</p>  | <p><b>Timing:</b> <i>On forming a GSA &amp; with submittal of GSP</i></p>  |
| <p><b>10727.8</b></p> <p>(a) Prior to initiating the development of a groundwater sustainability plan, the groundwater sustainability agency shall make available to the public and the department a written statement describing the manner in which interested parties may participate in the development and implementation of the groundwater sustainability plan. The groundwater sustainability agency shall provide the written statement to the legislative body of any city, county, or city and county located within the geographic area to be covered by the plan. The groundwater sustainability agency may appoint and consult with an advisory committee consisting of interested parties for the purposes of developing and implementing a groundwater sustainability plan. The groundwater sustainability agency shall encourage the active involvement of diverse social, cultural, and economic elements of the population within the groundwater basin prior to and during the development and implementation of the groundwater sustainability plan. If the geographic area to be covered by the plan includes a public water system regulated by the Public Utilities Commission, the groundwater sustainability agency shall provide the written statement to the commission.</p> <p>(b) For purposes of this section, interested parties include entities listed in Section 10927 that are monitoring and reporting groundwater elevations in all or a part of a groundwater basin managed by the groundwater sustainability agency.</p> | <ol style="list-style-type: none"> <li>2. Agencies preparing a GSP must prepare a written statement describing the manner in which interested parties may participate in its development and implementation.</li> <li>3. Statement must be provided to:               <ol style="list-style-type: none"> <li>a. Legislative body of any city and/or county within the geographic area of the plan</li> <li>b. Public Utilities Commission if the geographic area includes a regulated public water system regulated by that Commission</li> <li>c. DWR</li> <li>d. Interested parties (see Section 10927)</li> <li>e. The public</li> </ol> </li> <li>4. GSP entities may form an advisory committee for the GSP preparation and implementation.</li> <li>5. The GSP entities are to encourage active involvement of diverse social, cultural and economic elements of the affected populations.</li> </ol> <p><b>Timing:</b> <i>On initiating GSP</i></p> |
| <p><b>10728.4 Public Notice of Proposed Adoption, GSP Adoption Public Hearing</b></p> <p>A groundwater sustainability agency may adopt or amend a groundwater sustainability plan after a public hearing, held at least 90 days after providing notice to a city or county within the area of the proposed plan or amendment. The groundwater sustainability agency shall review and consider comments from any city or county that receives notice pursuant to this section and shall consult with a city or county that requests consultation within 30 days of receipt of the notice. Nothing in this section is intended to</p>  | <ol style="list-style-type: none"> <li>3. GSP must be adopted or amended at Public Hearing.</li> <li>4. Prior to Public Hearing for adoption or amendment of the GSP, the GSP entities must:               <ol style="list-style-type: none"> <li>a. Notify cities and/or counties of geographic area 90 days in advance.</li> <li>b. Consider and review comments</li> </ol> </li> </ol>  |

| CODE   | PUBLIC OUTREACH REQUIREMENT   |
|--|---|
| <p>preclude an agency and a city or county from otherwise consulting or commenting regarding the adoption or amendment of a plan.</p>  | <p>c. Conduct consultation within 30 days of receipt with cities or counties so requesting</p>  |
| <p><b>10730 Fees.</b></p> <p>(a) A groundwater sustainability agency may impose fees, including, but not limited to, permit fees and fees on groundwater extraction or other regulated activity, to fund the costs of a groundwater sustainability program, including, but not limited to, preparation, adoption, and amendment of a groundwater sustainability plan, and investigations, inspections, compliance assistance, enforcement, and program administration, including a prudent reserve. A groundwater sustainability agency shall not impose a fee pursuant to this subdivision on a de minimis extractor unless the agency has regulated the users pursuant to this part.</p> <p>(b) (1) Prior to imposing or increasing a fee, a groundwater sustainability agency shall hold at least one public meeting, at which oral or written presentations may be made as part of the meeting.</p> <p>(2) Notice of the time and place of the meeting shall include a general explanation of the matter to be considered and a statement that the data required by this section is available. The notice shall be provided by publication pursuant to Section 6066 of the Government Code, by posting notice on the Internet Web site of the groundwater sustainability agency, and by mail to any interested party who files a written request with the agency for mailed notice of the meeting on new or increased fees. A written request for mailed notices shall be valid for one year from the date that the request is made and may be renewed by making a written request on or before April 1 of each year.</p> <p>(3) At least 20 days prior to the meeting, the groundwater sustainability agency shall make available to the public data upon which the proposed fee is based.</p> <p>(c) Any action by a groundwater sustainability agency to impose or increase a fee shall be taken only by ordinance or resolution.</p> <p>(d) (1) As an alternative method for the collection of fees imposed pursuant to this section, a groundwater sustainability agency may adopt a resolution requesting collection of the fees in the same manner as ordinary municipal ad valorem taxes.</p> <p>(2) A resolution described in paragraph (1) shall be adopted and furnished to the county auditor-controller and board of supervisors on or before August 1 of each year that the alternative collection of the fees is being requested. The resolution shall include a list of parcels and the amount to be collected for each parcel.</p> <p>(e) The power granted by this section is in addition to any powers a groundwater sustainability agency has under any other law.</p> | <p>Related to GSAs</p> <p>5. Public meeting required prior to adoption of, or increase to fees. Oral or written presentations may be made as part of the meeting.</p> <p>6. Public notice shall include:</p> <ul style="list-style-type: none"> <li>a. Time and place of meeting</li> <li>b. General explanation of matter to be considered</li> <li>c. Statement of availability for data required to initiate or amend such fees</li> <li>d. Public posting on Agency Website and provision by mail to interested parties of supporting data (at least 20 days in advance)</li> </ul> <p>7. Mailing lists for interested parties are valid for 1 year from date of request and may be renewed by written request of the parties on or before April 1 of each year.</p> <p>8. Includes procedural requirements per Government Code, Section 6066.</p> <p><b>Timing:</b> <i>Prior to adopting fees.</i></p> |

**California Government Code**

| CODE  | PUBLIC OUTREACH REQUIREMENT   |
|---|---|
| <p><b>6060</b><br/>Whenever any law provides that publication of notice shall be made pursuant to a designated section of this article, such notice shall be published in a newspaper of general circulation for the period prescribed, the number of times, and in the manner provided in that section. As used in this article, "notice" includes official advertising, resolutions, orders, or other matter of any nature whatsoever that are required by law to be published in a newspaper of general circulation.</p> <p><b>6066</b><br/>Publication of notice pursuant to this section shall be once a week for two successive weeks. Two publications in a newspaper published once a week or oftener, with at least five days intervening between the respective publication dates not counting such publication dates, are sufficient. The period of notice commences upon the first day of publication and terminates at the end of the fourteenth day, including therein the first day.</p> | <ol style="list-style-type: none"> <li>4. Must publish notices in a newspaper of general circulation as prescribed.</li> <li>5. Publication shall be once a week for two successive weeks. Two publications in a newspaper published once a week or oftener, with at least five days intervening between the respective publication dates not counting such publication dates, are sufficient.</li> <li>6. The period of notice begins the first day of publication and terminates at the end of the fourteenth day, (which includes the first day.)</li> </ol> <p><b>Timing:</b> <i>Prior to adopting fees</i></p> |

## Appendix 2. Communications Governance

Given the relatively large number of stakeholders, a recommendation for coordinated efforts, and the legal requirements for outreach<sup>13</sup> some form of communications governance is recommended.

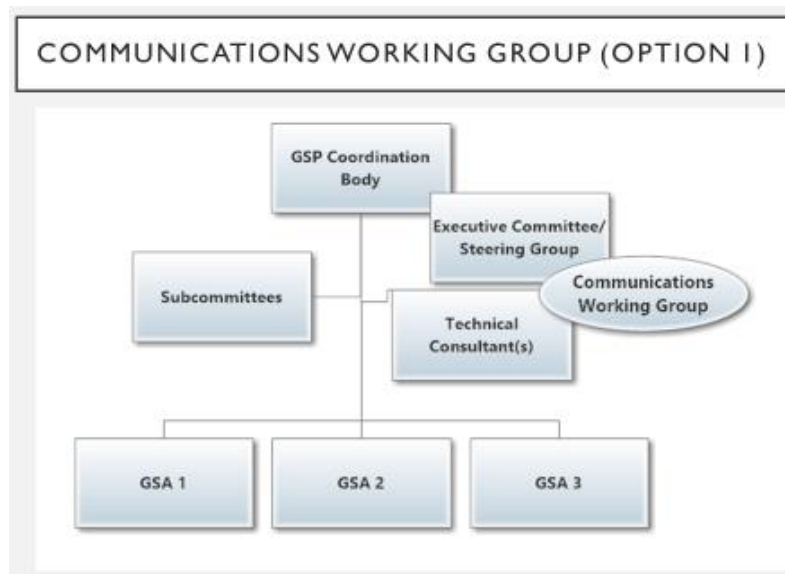
Execution of communications activities can be accomplished by an individual or multiple individuals, and/or include or be solely managed by project consultants. The actual form of the governance is less important than a clear understanding of the roles and responsibilities of those responsible for ensuring required communication. Also essential is a clear chain of command that ensures the elected representatives of GSAs are able to retain communications leadership and guidance.

A driving consideration for establishing a communications governance structure is the level of effort associated with required activities and the fact that communications are highly time dependent. That means that communications activities should be occurring that may happen outside of regularly scheduled GSA meetings. In this case delegation with guidance to a communications team is efficient and effective.

Several governance options for consideration are offered below.

### Communications Option 1

Communications Option 1 is based on an overall GSP(s) development structure that includes a GSA member based leadership function that is guiding the Technical Consultants. A communications working group which might include staff, consultants and GSA elected officials, or some combination of those roles could be formed to serve as a communications working group that would ultimately report to the larger GSP coordinating body.



Communications Governance Option 1

### Communications Option 2

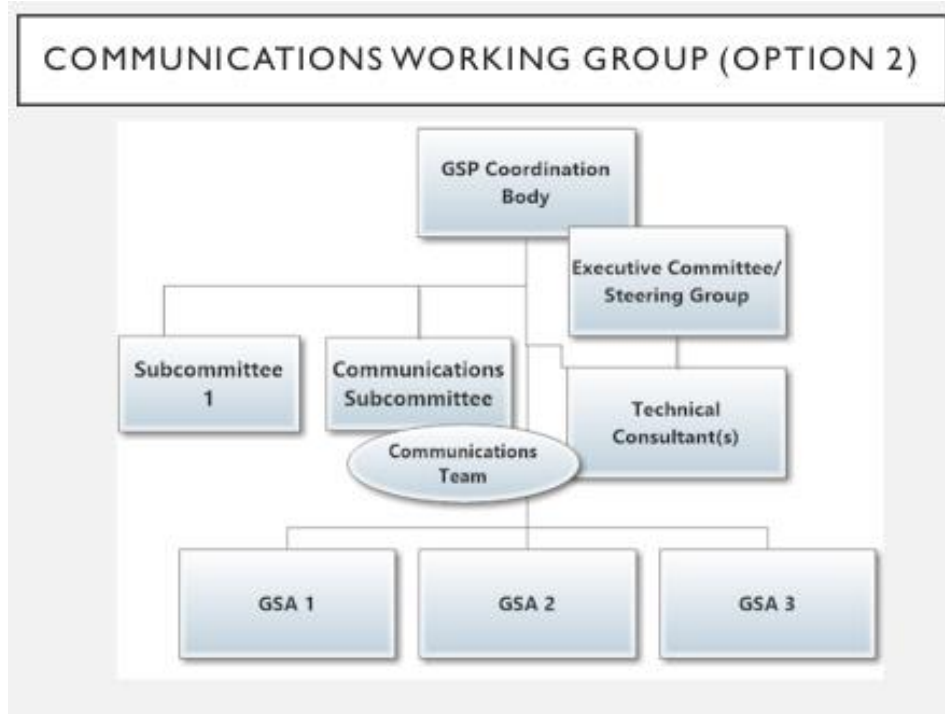
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<sup>13</sup> See Appendix 1



Appendix 1

Communications Option 1 is based on an overall GSP(s) development structure that includes a GSA member based subcommittee guiding the Technical Consultants. A communications working group which might include staff, consultants and GSA elected officials, or some combination of those roles could be formed to serve as a communications team that is affiliated with a subcommittee and would ultimately report to the larger GSP coordinating body



Communications Governance Option 2

**ATTACHMENT B. COORDINATED PUBLIC WORKSHOP SUMMARIES**



## **DELTA-MENDOTA SUBBASIN SUSTAINABLE GROUNDWATER MANAGEMENT ACT SPRING 2018 COORDINATED WORKSHOPS**

**Monday, May 14, 2018, Los Banos**

**Wednesday, May 16, 2018, Patterson**

**Thursday, May 17, 2018, Mendota**

### **WORKSHOP SUMMARY**

- Three workshops were held in the northern, central, and southern parts of the Delta-Mendota Subbasin. The purpose of the workshops was to educate stakeholders and members about the public about the Sustainable Groundwater Management Act (SGMA) and introduce participants to their local Groundwater Sustainability Agency representatives. Topics covered during the workshop included what is SGMA, the Delta-Mendota Subbasin, and opportunities for public engagement.
- Workshop participants' questions and feedback are summarized as follows:
  - Are the local groundwater regulations going to be re-set on an annual basis based on the water year, snowpack, etc.?
  - Who is the governing board that will make these decisions?
  - If this is a state-wide initiative, who is the decision-making body?
  - Will the California Department of Fish and Wildlife be involved?
  - Has the State provided criteria to what is considered a "chronic loss" of groundwater?
  - Are natural springs included under SGMA?
  - What criteria will you use to measure whether or not springs are overused?
  - What is the ultimate goal of SGMA? What does it mean to us?
  - How is the water budget going to be developed?
  - The Irrigated Lands Program already has a lot of requirements for growers. Is this going to be the same level of detail and effort?
  - What is the goal SGMA is trying to achieve? How are we going to get to sustainability?
  - What will happen when the State and districts do not receive their full surface water allocation and cities keep expanding?
  - It seems to me that the biggest problem is that the State wants to export water to Southern California. How can we come up with a solution if there are factors out of our control?

- How will you know how much I am pumping?



## **DELTA-MENDOTA SUBBASIN SUSTAINABLE GROUNDWATER MANAGEMENT ACT FALL 2018 COORDINATED WORKSHOPS**

Monday, October 22, Firebaugh  
5:00 – 7:00 PM  
Firebaugh Middle School MPR

Wednesday, October 24, Los Banos  
4:00 – 6:00 PM  
College Greens Building

Thursday, October 25, Patterson  
4:00 – 6:00 PM  
Patterson Senior Center

### **WORKSHOP SUMMARY**

- Three workshops were held in the northern, central, and southern parts of the Delta-Mendota Subbasin. The purpose of the workshops was to educate stakeholders and members about the public about key Sustainable Groundwater Management Act (SGMA) topics in preparation for Groundwater Sustainability Plan (GSP) development workshops in 2019.
- The format and content of each workshop was the same. The workshops began with a 45-minute presentation, followed by an open house period for participants to talk with their Groundwater Sustainability Agency (GSA) representative. Spanish interpretation was provided at each workshop.
- In total, approximately 45 individuals (not including GSA representatives and supporting staff) participated in the workshops. Attendance by location was as follows: Firebaugh – 5 participants; Los Banos – 23 participants; Patterson – 17 participants. Three participants requested Spanish interpretation.
- Most participants heard about the workshops through emails from their local water or irrigation district, or direct flyers and bill inserts sent to them by their water/irrigation district or municipality.
- Presentation topics included: Overview of SGMA, GSP development and implementation process, data management, hydrogeologic conceptual model, numerical and analytical models, and the water budget.
- Workshop participants' questions and feedback are summarized as follows:

#### Data

- How much historical data are the GSAs using to make their assumptions?
- Will data from counties be used?

- Is the numerical data available on the Delta-Mendota website?
- How big will the GSAs' monitoring network be? Do the GSAs anticipate drilling new monitoring wells?
- How will the GSAs monitor water quality and subsidence? Do the GSAs already have subsidence monitoring wells and data?
- How much data have the GSAs gathered? When will the GSAs stop gathering data?
- How much data will the GSAs be collecting from individual landowners?

#### Models

- Will the models take into account availability of surface water supplies?
- Will the models take into account changing crops?
- Will the models take into account agricultural areas that are being converted to commercial or urban areas?

#### Water Budget and Sustainable Yield

- What is the sustainable yield for the Delta-Mendota Subbasin?
- It sounds like the sustainable yield will be a number that oscillates around a baseline. What is this baseline?
- How will the GSAs determine the minimum threshold for the subbasin?
- How will the water budgets account for existing and new wells?
- What are the years for the historic water budget? How was this period set?

#### Projects and Management Actions

- Based on what is currently known, will the GSAs be able to limit groundwater pumping in the future?
- When the GSAs come up with groundwater management policies, will the policies impact groundwater pumping on an individual level, regional level, or basin-wide level?
- Will the California Department of Water Resources (DWR) or the GSAs be the ones to limit pumping?
- Could a potential management action be limiting pumping?
- Will the GSAs be the agencies to determine if new wells can or cannot be drilled?

#### Integration with Other Programs/Organizations

- How much are the GSAs integrating with the Irrigated Lands Program?
- How closely do GSAs work with local farm bureaus?

#### Other

- Will there be an administrative fee for the GSAs to oversee GSP implementation?
- How will the costs for GSP development and implementation be covered?
- Do the GSAs know what DWR's GSP review and certification process will consist of?

- Will the GSAs in the region have influence over how surface water resources are managed on a state-wide level?
- How many GSAs were formed after SGMA passed in 2014?



## **DELTA-MENDOTA SUBBASIN SUSTAINABLE GROUNDWATER MANAGEMENT ACT WINTER 2019 COORDINATED WORKSHOPS**

**Tuesday, February 19, 2019, Los Banos**

**4:00 – 6:00 PM**

College Greens Building

**Wednesday, February 20, 2019, Patterson**

**4:00 – 6:00 pm**

City of Patterson City Hall

**Monday, March 4, 2019, Santa Nella**

**6:00 – 8:00 PM**

Romero Elementary School

### **WORKSHOP SUMMARY**

- Three workshops were held in the northern, central, and southern parts of the Delta-Mendota Subbasin during February and March 2019. The purpose of the workshops was to educate stakeholders and members about the public about topics covered in the draft Groundwater Sustainability Plans (GSP) being developed for the subbasin. Topics covered during the workshop included historic and current water budgets, sustainability criteria, undesirable results, and projects and management actions.
- Workshops were promoted via emails sent to each GSA's interested parties database, flyers and utility bill inserts, and social media posts.
- The format and content of each workshop was the same. The workshops began with a short presentation, followed by an open house period for participants to talk with their Groundwater Sustainability Agency (GSA) representative. Spanish interpretation was provided at each workshop.
- In total, approximately 30 individuals (not including GSA representatives and supporting staff) participated in the workshops. Attendance by location was as follows: Patterson – 14, Los Banos – 4, and Santa Nella – 12. Participants represented a range of beneficial users in the subbasin, including domestic well owners, agricultural water users, public water systems, and disadvantaged communities.



- Workshop participants' questions and feedback are summarized as follows:

Water Budgets

- Does the land surface budget include inflows from precipitation and applied water to crops?
- Who provides the information about the inflows and outflows of the aquifer?
- How is the aquifer recharged?
- Do reservoirs lose water?
- What happened between 1985 – now [regarding the historic water budget]?
- What affect does precipitation have on the aquifer?

Projects and Management Actions

- Who will make the decision on who can drill wells and how much can well owners can pump?
- Will GSAs in the subbasin be able to restrict selling of groundwater outside of the subbasin?
- Projects and management actions should emphasize flood and stormwater capture and increased stormwater storage.
- Will use of recycled water in new developments be considered a source of water to balance the water budget?
- Are there percolation ponds by golf course?

Sustainability Criteria and Undesirable Results

- Is it the GSAs' responsibility to set the sustainability criteria for the subbasin?
- Could this region experience seawater intrusion?
- What's going to happen in areas like Dos Palos that have poor groundwater quality?

Other

- Does the GSP only cover of agricultural uses of groundwater or does it also cover residential and commercial uses of groundwater?
- Who is doing the work to prepare the GSP?
- How much does it cost to prepare a GSP?
- Are there any agencies currently monitoring groundwater pumping and levels?
- How is groundwater currently being removed from the groundwater basin?
- How many monitoring stations have been identified? Have GSAs already identified where these monitoring pumps are?
- Does the California Aqueduct affect the water table in the subbasin?
- What is the rationale for the North-Central GSP group's boundaries? The north and south areas of the North-Central GSP group are very different.
- Do water agencies in the subbasin send water to the Santa Clara Valley Water District?
- Where are the coordinated meetings are held? What time are these meetings?
- Will this raise our water rates?
- The community of Tranquillity is currently experiencing land subsidence.



## **DELTA-MENDOTA SUBBASIN SUSTAINABLE GROUNDWATER MANAGEMENT ACT SPRING 2019 COORDINATED WORKSHOPS**

**Monday, May 20, 2019, Patterson**  
**4:00 – 6:00 pm**  
City of Patterson City Hall

**Tuesday, May 21, 2019, Los Banos**  
**4:00 – 6:00 PM**  
College Greens Building

**Wednesday, May 22, 2019, Santa Nella**  
**6:30 – 8:30 PM**  
Romero Elementary School

**Thursday, May 23, 2019, Mendota**  
**6:00 – 8:00 PM**  
Mendota Library

### **WORKSHOP SUMMARY**

- Four workshops were held in the northern, central, and southern parts of the Delta-Mendota Subbasin. The purpose of the workshops was to educate stakeholders and members about the public about topics covered in the draft Groundwater Sustainability Plans (GSP) being developed for the subbasin. Topics covered during the workshop included water budgets, sustainable yield, projects and management actions, and groundwater monitoring networks.
- Workshops were promoted via emails sent to each GSA's interested parties database, flyers and utility bill inserts, social media posts, and direct outreach to community stakeholders.
- The format and content of each workshop was the same. The workshops began with a short presentation, followed by an open house period for participants to talk with their Groundwater Sustainability Agency (GSA) representative. Spanish interpretation was provided at each workshop.
- In total, approximately 30 individuals participated in the workshops. Attendance by location was as follows: Patterson – 7, Los Banos – 10, Santa Nella – 4, and Mendota – 9. Participants represented a range of beneficial users in the subbasin, including domestic well owners, agricultural water users, public water systems, and disadvantaged communities.

- Workshop participants' questions and feedback are summarized as follows:

Water Budgets

- Why is there a difference between the water budgets for the upper and lower aquifers?
- Why is the change in storage negative?
- Is there a water budget for each aquifer?
- When the projected water budgets are finalized, will they include specific projects and management actions?
- How was the data for the climate change factors developed?
- Historically, California goes through periodic droughts. Do the projected water budgets account for future droughts?
- Do the projected water budgets account for future population growth and new developments?
- Do the water budgets account for percolation from water applied to crops?

Projects and Management Actions

- Will management actions include a charge for water pumping?
- Will pumping restrictions be implemented during dry periods or drought?
- Will the GSPs identify specific projects and management actions?
- Will GSAs in the subbasin form a water bank?
- If pumping restrictions are enacted, GSPs should include a provision that allows private well owners to demonstrate that they aren't overpumping or causing undesirable results.
- The region needs more surface water storage to supplement groundwater pumping.
- There should be restrictions on development in the region.

Sustainable Yield

- Does increases in groundwater demand relate to the cost of surface water supplies?

Groundwater Monitoring

- When local agencies monitor for groundwater, how far down do they monitor?

GSP Adoption, Implementation and Enforcement

- What agency approves the GSPs?
- Will the California Department of Water Resources be the lead agency for providing oversight after the GSP is submitted?
- Could the State Water Resources Control Board mandate pumping restrictions?
- Will the state be looking at the drawdown of individual, private wells?
- Where does the funding to implement GSPs come from?
- How much will GSP implementation cost?
- Who has to submit the annual report?

Other

- GSAs should be divided into even smaller units to manage projects and management actions locally.

**ATTACHMENT C. EXAMPLE PUBLIC WORKSHOP PROMOTION MATERIALS**



**Groundwater management in our community is changing.**

**Learn more about how this may impact you.**

Collaborating local agencies are hosting a series of public workshops about the Sustainable Groundwater Management Act. Come learn how this landmark legislation may impact our community, what we are doing about it, and how you can get involved. Representatives from local groundwater sustainability agencies will be available to answer questions. You have three opportunities to attend:

**Los Banos**

**Monday, May 14**

4:00 - 6:00 PM

San Luis & Delta-Mendota  
Water Authority Office  
842 6th St, Los Banos

**Patterson**

**Wednesday, May 16**

4:00 - 6:00 PM

Hammon Senior Center  
1033 W Las Palmas Ave, Patterson

**Mendota**

**Thursday, May 17**

4:00 - 6:00 PM

Mendota Branch Library  
Mendota Meeting Room  
1246 Belmont Ave, Mendota

The content of each workshop will be the same. The first thirty minutes of each workshop will consist of an informational presentation, followed by an open house until 6:00 PM. For more information, please visit our website at: [www.deltamendota.org](http://www.deltamendota.org).

We look forward to seeing you there!



**El manejo del agua subterránea en nuestra comunidad está cambiando.**

**Obtenga más información sobre como esto puede afectarlo.**

Las agencias locales colaboradoras están organizando una serie de talleres públicos sobre la Ley de gestión sostenible del agua subterránea. Venga y aprenda como esta histórica legislación puede afectar a nuestra comunidad, que estamos haciendo al respecto y como puede participar. Los representantes de las agencias locales de sostenibilidad del agua subterránea estarán disponibles para responder preguntas. Tienes tres oportunidades para asistir:

**Los Baños**

**Martes, 14 de Mayo**

4:00 - 6:00 PM

San Luis & Delta-Mendota  
Water Authority Office  
842 6th St, Los Baños

**Patterson**

**Miércoles, 16 de Mayo**

4:00 - 6:00 PM

Hammon Senior Center  
1033 W Las Palmas Ave, Patterson

**Mendota**

**Jueves, 17 de Mayo**

4:00 - 6:00 PM

Mendota Branch Library  
Mendota Meeting Room  
1246 Belmont Ave, Mendota

El contenido de cada taller será el mismo. Los primeros treinta minutos de cada taller serán consisten de una presentación informativa, seguida de una jornada de puertas abiertas hasta las 6:00 P.M. Para obtener más información, visite nuestro sitio web en: [www.deltamendota.org](http://www.deltamendota.org).

## **Public Notice**

### **Public Groundwater Meeting**

Santa Nella County Water District and other local water agencies are developing plans for the future of our groundwater resources. We want to hear from you! Come to an upcoming public workshop to learn more:

Santa Nella  
Monday, March 4, 6:00 - 8:00 PM  
Romero Elementary School MPR  
13500 Luis Ave, Gustine, CA 95322

The first forty minutes of the workshop will consist of a bilingual informational presentation. The presentation will be followed by an interactive discussion on the region's groundwater "budget" and how to define "sustainability" for our groundwater resources. This workshop is open to people with all level of knowledge about water.

Spanish-language interpreters and materials will be available.

For more information, please visit our website at [www.deltamendota.org](http://www.deltamendota.org) and [www.sncwd.com](http://www.sncwd.com).

For questions or comments, email [DMSGMA@sldmwa.org](mailto:DMSGMA@sldmwa.org) or contact Amy Montgomery, Santa Nella County Water District, at [amontgomery@sncwd.com](mailto:amontgomery@sncwd.com).

We look forward to seeing you there!

# Engage in the Future of Our Water Resources!

## Week of May 20<sup>th</sup>



Delta-Mendota SGMA invite you to learn why your local agencies are developing groundwater sustainability plans for the future of our groundwater. Please come to one

- **Patterson:** Mon., May 20, 4:00 – 6:00pm Patterson City Hall 1 Plaza Circle
- **Los Banos:** Tue., May 21, 4:00 – 6:00pm College Greens Building 1815 Scripps Drive
- **Santa Nella:** Wed., May 22, 6:30 – 8:30pm Romero Elem. School 13500 Luis Ave.
- **Mendota:** Thu., May 23, 6:00 – 8:00pm Mendota Library 1246 Belmont Ave.

For more information please visit [www.deltamendota.org](http://www.deltamendota.org), To register visit: [tinyurl.com/y3bxw3yv](https://tinyurl.com/y3bxw3yv)



#DeltaMendotaSGMA | #SLDMWA | #SGMA2020







*Su Opinión es Importante!*

**Participe en una serie de talleres  
sobre el futuro de sus recursos hídricos!  
Semana del 20 de mayo**

Agencias locales están desarrollando planes de sostenibilidad para el futuro de los recursos hídricos del agua subterránea en la región y necesitan su opinión.

Acompáñenos en uno de los siguientes talleres:

- **Patterson:** Lun., 20 de Mayo , 4–6pm Ayuntamiento de Patterson 1 Plaza Circle
- **Los Banos:** Mar., 21 de May, 4–6pm College Greens Building 1815 Scripps Dr.
- **Santa Nella:** Mie., 22 de Mayo, 6:30–8:30pm Escuela Pri. Romero 13500 Luis Ave.
- **Mendota:** Jue., 23 de Mayo, 6–8pm Biblioteca de Mendota 1246 Belmont Ave.

Para más información visite:

[www.deltamendota.org](http://www.deltamendota.org)

Tel: 916-418-8288

#DeltaMendotaSGMA | #SLDMWA





**Contact:** Kirsten Pringle, Delta-Mendota Subbasin, Stantec  
(916) 418-8243, [Kirsten.Pringle@stantec.com](mailto:Kirsten.Pringle@stantec.com)

**FOR IMMEDIATE RELEASE**  
October 19, 2018

## **MEDIA ADVISORY**

### **Sustainable Groundwater Management Act Public Workshops**

- What:** Collaborating local agencies are hosting a series of public workshops about the Sustainable Groundwater Management Act. Learn how this landmark legislation may impact our communities, the planning process, and how people can get involved. Spanish translation will be provided.
- Format:** There are three workshop opportunities to attend; the content of each workshop will be the same. The first 45 minutes of each workshop will consist of an informational presentation, followed by an open house.
- When:** **Firebaugh – Monday, October 22, 2018**  
5:00 - 7:00 PM  
Firebaugh Middle School MPR  
1600 16th Street, Firebaugh, CA
- Los Banos – Wednesday, October 24, 2018**  
4:00 – 6:00 PM  
College Greens Building  
1815 Scripps Drive, Los Banos, CA
- Patterson – Thursday, October 25, 2018**  
4:00 – 6:00 PM  
Hammon Senior Center  
1033 W. Las Palmas Avenue, Patterson, CA
- Who:** Representatives from local groundwater sustainability agencies will be available to answer questions.

**Additional Resources:** [The Sustainable Groundwater Management Act, www.deltamendota.org/.](http://www.deltamendota.org/)

**Background:** *The Sustainable Groundwater Management Act (SGMA) is a package of three bills (AB 1739, SB 1168, and SB 1319) that provides local agencies with a framework for managing groundwater basins in a sustainable manner. Recognizing that groundwater is most effectively managed at the local level, the SGMA empowers local agencies to achieve sustainability within 20 years.*

**ATTACHMENT D. STAKEHOLDER AND COMMUNITY ORGANIZATIONS CONTACTED  
REGARDING COORDINATED PUBLIC WORKSHOPS**

## Stakeholder and Community Organizations Contacted Regarding Coordinated SGMA Workshops

| Organization Name   | Organization Type        |
|---|--------------------------|
| Fresno County Farm Bureau                                     | Agriculture              |
| Merced County Farm Bureau                                     | Agriculture              |
| North Grassland Wildlife Foundation                           | Agriculture              |
| Patterson Apricot Fiesta                                      | Agriculture              |
| Stanislaus County Farm Bureau                                 | Agriculture              |
| Asociación de Charros La Internacional del Valle de Patterson | Business                 |
| Adobe Valley Ranch  | Business                 |
| Gustine Chamber of Commerce                                   | Business                 |
| Los Banos Chamber of Commerce                                 | Business                 |
| Patterson-Westley Chamber of Commerce                         | Business                 |
| Santa Nella Chamber of Commerce                               | Business                 |
| American Association of University Women                      | Civic                    |
| Gustine Rotary Club   | Civic                    |
| International Association of Lions Clubs - Patterson          | Civic                    |
| League of United Latin American Citizens                      | Civic                    |
| Los Banos Lions Club  | Civic                    |
| Los Banos Rotary Club   | Civic                    |
| Mendota Community Corporation                                 | Civic                    |
| Newman Lions Club   | Civic                    |
| Newman Rotary Club  | Civic                    |
| Newman Women's Club   | Civic                    |
| Patterson Lions Club  | Civic                    |
| International Association of Lions Clubs - Mendota            | Civic                    |
| International Association of the Lions Clubs - Los Banos      | Civic                    |
| Italian Catholic Federation of CA Inc.                        | Civic                    |
| Kiwanis International   | Civic                    |
| Rotary International - Los Banos                              | Civic                    |
| Rotary International - Patterson                              | Civic                    |
| Firebaugh Rotary Club Inc.                                    | Community General Public |
| Casa Mobile Home Park   | Community/General Public |
| Center for Environmental Science Accuracy & Reliability       | Community/General Public |
| Firebaugh Senior Center                                       | Community/General Public |
| Friends of Green Valley Charter                               | Community/General Public |
| Friends of the Public Library                                 | Community/General Public |
| Habitat for Humanity International                            | Community/General Public |
| Los Banos Senior Center                                       | Community/General Public |
| Mendota Community Center                                      | Community/General Public |
| Mendota Senior Center   | Community/General Public |
| Merced County Library - Dos Palos                             | Community/General Public |
| Merced County Library - Gustine                               | Community/General Public |
| Merced County Library - Los Banos                             | Community/General Public |
| Merced County Library - Santa Nella                           | Community/General Public |
| San Joaquin River Resource Mgmt. Coalition                    | Community/General Public |

|  |                          |
|--|--------------------------|
| Santa Nella RV Park                                | Community/General Public |
| Stanislaus County Library - Newman                 | Community/General Public |
| Stanislaus County Library - Patterson              | Community/General Public |
| Dos Palos Oro Loma Joint Unified School District   | Education                |
| Firebaugh-Las Deltas Unified School District       | Education                |
| Gustine Unified School District                    | Education                |
| Los Banos Unified School District                  | Education                |
| Mendota Unified School District                    | Education                |
| Merced College                                     | Education                |
| Creekside Parent Club                              | Education                |
| Academy West Insurance                             | Other                    |
| Academy West Insurance Firebaugh                   | Other                    |
| Amaral & Associates Realty                         | Other                    |
| American Legion                                    | Other                    |
| American Legion Auxiliary Elijah B Hayes           | Other                    |
| Andrea Brandt State Farm Insurance                 | Other                    |
| Benevolent & Protective Order of Elks              | Other                    |
| Borelli Real Estate Services                       | Other                    |
| California Garden Clubs Inc.                       | Other                    |
| Century 21 M&M & Assoc - Los Banos                 | Other                    |
| Century 21 M&M & Assoc - Patterson                 | Other                    |
| Coldwell Banker Kaljian & Assoc                    | Other                    |
| Eric Rodriguez - Patterson                         | Other                    |
| Farmers Insurance Antonio Gonzales                 | Other                    |
| First Priority of the Central Valley               | Other                    |
| Greg Nunes Real Estate                             | Other                    |
| Joe G. Gutierrez State Farm Insurance              | Other                    |
| Mendota Land Co                                    | Other                    |
| Noah's Ark Foundation of Tracy Inc.                | Other                    |
| PMZ Real Estate - Patterson                        | Other                    |
| PMZ Real Estate - Los Banos                        | Other                    |
| Rafael Ruiz - Patterson                            | Other                    |
| Shane P. Donion Ranch Broker                       | Other                    |
| The Boyd Company                                   | Other                    |
| Valley West Properties                             | Other                    |
| Adventure Christian Church of Patterson            | Religious                |
| Agape Baptist Church                               | Religious                |
| Bethel Community Church                            | Religious                |
| Church of Christ of Patterson                      | Religious                |
| Church of God of Prophecy                          | Religious                |
| Connections Christian Church                       | Religious                |
| Evangelical Church of Los Banos                    | Religious                |
| Family Christian Center                            | Religious                |
| First Baptist Church                               | Religious                |
| Full Gospel Businessmen's Fellowship International | Religious                |
| Harvest Samoan Assembly of God                     | Religious                |

|  |           |
|--|-----------|
| Mountain House Foursquare Church       | Religious |
| Movimiento Familiar Cristiano Catolico | Religious |
| Patterson Covenant Church              | Religious |
| Patterson Christian Fellowship         | Religious |
| Patterson Seventh Day Adventist Church | Religious |